

# CAPRICORN DISTRICT MUNICIPALITY



3rd QUARTER  
PERFORMANCE REPORT 2019/20

## **1. REPORTING ON SDBIP**

This section covers reporting on the SDBIP as a way of linking the SDBIP with the oversight and monitoring operations of the Municipal administration. Both the Executive Mayor and the Accounting Officer have clear roles to play in preparing and presenting these reports. The SDBIP provides an excellent basis for generating the reports for which MFMA requires. The reports then allow the Municipality to monitor the implementation of service delivery programs and initiatives across the District.

### **1.1. QUARTERLY REPORTING**

1.1.1 Section 52 (d) of the MFMA compels the mayor to submit a report to the Council on the implementation of the budget and the financial state of affairs of the municipality within 30 days of the end of each quarter. The quarterly performance projections captured in the SDBIP form the basis for the mayor's quarterly report.

1.1.2 Section 42 of the Municipal Systems Act stipulate that, A municipality, in a manner determined by its Council, must make known both internally and to the general public, the key performance indicators and performance targets set by it for purposes of its performance management system.

1.1.3 Section 46 of the Municipal Systems Act requires a municipality to prepare, for each financial year, performance report reflecting the performance of the municipality and each external service provider during that financial year.

1.1.4 Section 53 of the MFMA stipulates that the Mayor should approve the SDBIP within 28 days after the approval of the budget. The Executive Mayor must also ensure that the revenue and expenditure projections for each month and the service delivery targets and performance indicators as set out in the SDBIP are made public within 14 days after their approval.

1.1.5 Section 121(b) of the MFMA requires all municipal entities to, for each financial year, prepare annual reports and submit them within nine months after the end of a financial year.

1.1.6 Section 72 (1) of the MFMA outlines the requirements for the mid- year reporting. The Accounting Officer is required by 25 January of each year assess the performance of the municipality during the first of the year taking into account:

- i) the monthly statements referred to in section 71 of the first half of the year
- ii) the municipalities service delivery performance during the first half of the financial year, and the service delivery targets and performance indicators set in the service delivery and budget implementation plan,
- iii) the past year’s annual report , and progress on resolving problems identified in the annual report
- iv) the performance of every municipal entity under the sole or shared control of the municipalities, taking into account reports in terms of section 88 from any such entities.

**2. ANALYSIS OF 3rd QUARTER ORGANISATIONAL PERFORMANCE**

**3. PURPOSE**

- To present the 3rd quarter analysis organisational performance report
- The report was done looking at key performance areas per the departments in line with the approved 2019/20 SDBIP

**4. SUMMARY OF DEPARTMENTAL PERFORMANCE**

**4.1 FINANCE DEPARTMENT**

|                     |           |
|---------------------|-----------|
| Total targets       | 23        |
| Not Applicable      | 11        |
| Achieved            | 10        |
| <b>Not achieved</b> | <b>02</b> |

**4.2 INFRASTRUCTURE SERVICES**

|                |    |
|----------------|----|
| Total targets  | 34 |
| Not Applicable | 04 |
| Achieved       | 30 |
| Not Achieved   | 00 |

**4.3 COMMUNITY SERVICES**

|                |    |
|----------------|----|
| Total targets  | 27 |
| Not Applicable | 14 |
| Achieved       | 12 |
| Not Achieved   | 00 |
| Discontinued   | 01 |

**4.4 DEVELOPMENT PLANNING AND ENVIRONMENTAL MANAGEMENT SERVICES**

|                 |    |
|-----------------|----|
| Total targets – | 39 |
| Not Applicable  | 04 |
| Achieved        | 34 |
| Not Achieved    | 01 |

**4.5 CORPORATE SERVICES**

|                 |    |
|-----------------|----|
| Total targets – | 45 |
| Not Applicable  | 15 |
| Achieved        | 24 |
| Not Achieved    | 06 |

**4.6 STRATEGIC EXECUTIVE MANAGEMENT SERVICES**

|                |    |
|----------------|----|
| Total targets  | 45 |
| Not Applicable | 07 |
| Achieved       | 32 |

|              |    |
|--------------|----|
| Not Achieved | 06 |
|--------------|----|

**5. OVERALL 3rd QUARTER ORGANISATIONAL PERFORMANCE**

| Departments                               | Total Targets | Targets not Applicable for the Quarter | Quarterly Targets Achieved | Quarterly Targets not Achieved | Discontinued Targets | Achievement % |
|---|---------------|--|----------------------------|--------------------------------|----------------------|---------------|
| <b>Vote 1 - SEMS</b>                      | 45            | 07                                     | 32                         | 06                             | 00                   | 84%           |
| <b>Vote 2 - Infrastructure Services</b>   | 34            | 04                                     | 30                         | 00                             | 00                   | 100%          |
| <b>Vote 3 - Corporate Services</b>        | 45            | 15                                     | 24                         | 06                             | 00                   | 80%           |
| <b>Vote 4 - Finance</b>                   | 23            | 11                                     | 10                         | 02                             | 00                   | 83%           |
| <b>Vote 5 - DPEMS</b>                     | 39            | 04                                     | 34                         | 01                             | 00                   | 97%           |
| <b>Vote 6 - Community Services</b>        | 27            | 14                                     | 12                         | 00                             | 01                   | 100%          |
| <b>Overall Organizational Performance</b> | <b>213</b>    | <b>55</b>                              | <b>142</b>                 | <b>15</b>                      | <b>01</b>            | <b>90%</b>    |

**1. Below is the detailed organizational scorecard for 3rd Quarter 19/20 FY**

**1.1 STRATEGIC EXECUTIVE MANAGEMENT SERVICES - VOTE 1**

| Business Unit                            |  |   |              |  | Strategic Executive Management Services –Vote 1   |                                    |                              |                              |                              |                             |                            |   |                       |                                |             |            |                    |                              |   |
|--|--|---|--------------|--|---|------------------------------------|------------------------------|------------------------------|------------------------------|-----------------------------|----------------------------|---|-----------------------|--------------------------------|-------------|------------|--------------------|------------------------------|---|
| Outcome 9:                               |  |   |              |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                |                                    |                              |                              |                              |                             |                            |   |                       |                                |             |            |                    |                              |   |
| Outputs 5:                               |  |   |              |  | <ul style="list-style-type: none"> <li>Administrative and financial viability</li> <li>Deepen democracy through a refined ward committee model</li> </ul> |                                    |                              |                              |                              |                             |                            |   |                       |                                |             |            |                    |                              |   |
| Key Strategic Organizational Objectives: |  |   |              |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver on its mandate</li> </ul>                                      |                                    |                              |                              |                              |                             |                            |   |                       |                                |             |            |                    |                              |   |
| Project No.                              | Key performance Area                     | Strategic Objectives  | Project Name | Project Description (major activities) | Location  | Key performance indicator          | Baseline                     | 2019/20 Annual Targets       | Reviewed 19/20 annual target | Quarter 3 Targets           | Reviewed Quarter 3 Targets | Quarter 3 Progress                          | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measure | Reasons for review/ variance | Means of verification                                 |
| SEM SD-01                                | Good governance and public participation | To promote and facilitate effective intergovernmental relations | IGR meetings | Coordination of IGR meetings           | CDM   | Number of IGR meetings coordinated | 109 IGR meetings coordinated | 100 IGR meetings coordinated | Target not revised           | 25 IGR meetings coordinated | Target not revised         | <b>Achieved</b> 26 IGR meetings coordinated | 200 000               | 300 000                        | 170 383.25  | None       | None               | Insufficient budget          | Correspondence /Attendance registers/ Minutes/Reports |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |  |   |                   |  | Strategic Executive Management Services –Vote 1   |   |                                   |                                   |                              |                                  |                            |   |                       |                                |             |            |                    |                              |   |
|--|--|---|-------------------|--|---|---|-----------------------------------|-----------------------------------|------------------------------|----------------------------------|----------------------------|---|-----------------------|--------------------------------|-------------|------------|--------------------|------------------------------|---|
| Outcome 9:                               |  |   |                   |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                |   |                                   |                                   |                              |                                  |                            |   |                       |                                |             |            |                    |                              |   |
| Outputs 5:                               |  |   |                   |  | <ul style="list-style-type: none"> <li>Administrative and financial viability</li> <li>Deepen democracy through a refined ward committee model</li> </ul> |   |                                   |                                   |                              |                                  |                            |   |                       |                                |             |            |                    |                              |   |
| Key Strategic Organizational Objectives: |  |   |                   |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver on its mandate</li> </ul>                                      |   |                                   |                                   |                              |                                  |                            |   |                       |                                |             |            |                    |                              |   |
| Project No.                              | Key performance Area                     | Strategic Objectives  | Project Name      | Project Description (major activities) | Location  | Key performance indicator                 | Baseline                          | 2019/20 Annual Targets            | Reviewed 19/20 annual target | Quarter 3 Targets                | Reviewed Quarter 3 Targets | Quarter 3 Progress                                  | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measure | Reasons for review/ variance | Means of verification                                 |
| SEM SD-02                                | Good governance and public participation | To promote and facilitate effective intergovernmental relations | District Lekgotla | Coordination of District Lekgotla      | CDM   | Number of District Lekgotla coordinated   | 1 District Lekgotla coordinated   | 1 District Lekgotla coordinated   | Target not revised           | No target for the quarter        | Target not revised         | <b>Not Applicable</b>                               | 400 000               | Budget not revised             |             | None       | None               | None                         | Correspondence /Attendance registers/Concept document |
| SEM SD-03                                | Good governance and public participation | To strengthen accountability through proactive audit            | Internal Audit    | Perform internal audit                 | CDM   | Number of internal audit reports produced | 4 internal audit reports produced | 4 internal audit reports produced | Target not revised           | 1 internal audit report produced | Target not revised         | <b>Achieved</b><br>1 internal audit report produced | 10 000                | Budget not revised             | 0.00        | None       | None               | None                         | Internal Audit Reports                                |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |  |  |                   |   | Strategic Executive Management Services –Vote 1   |                                      |                               |                                    |                              |                              |                            |   |                       |                                |             |            |                    |                              |                       |   |
|--|--|--|-------------------|---|---|--------------------------------------|-------------------------------|------------------------------------|------------------------------|------------------------------|----------------------------|---|-----------------------|--------------------------------|-------------|------------|--------------------|------------------------------|-----------------------|---|
| Outcome 9:                               |  |  |                   |   | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                |                                      |                               |                                    |                              |                              |                            |   |                       |                                |             |            |                    |                              |                       |   |
| Outputs 5:                               |  |  |                   |   | <ul style="list-style-type: none"> <li>Administrative and financial viability</li> <li>Deepen democracy through a refined ward committee model</li> </ul> |                                      |                               |                                    |                              |                              |                            |   |                       |                                |             |            |                    |                              |                       |   |
| Key Strategic Organizational Objectives: |  |  |                   |   | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver on its mandate</li> </ul>                                      |                                      |                               |                                    |                              |                              |                            |   |                       |                                |             |            |                    |                              |                       |   |
| Project No.                              | Key performance Area                     | Strategic Objectives   | Project Name      | Project Description (major activities)  | Location  | Key performance indicator            | Baseline                      | 2019/20 Annual Targets             | Reviewed 19/20 annual target | Quarter 3 Targets            | Reviewed Quarter 3 Targets | Quarter 3 Progress                              | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measure | Reasons for review/ variance | Means of verification |   |
|  |  | oversight  |                   |   |   |                                      | duced                         |                                    |                              |                              |                            |   |                       |                                |             |            |                    |                              |                       |   |
| SEM SD-04                                | Good governance and public participation | To strengthen accountability through proactive audit oversight | Audit meetings    | Coordinate external audit process, audit committee activities and Municipal support | CDM   | Number of audit meetings coordinated | 15 audit meetings coordinated | 13 audit meetings coordinated      | Target not revised           | 2 audit meetings coordinated | Target not revised         | <b>Achieved</b><br>2 audit meetings coordinated | 840 000               | Budget not revised             | 622 905.26  | None       | None               | None                         | None                  | Correspondence /Attendance Registers/ Minutes |
| SEM SD-05                                | Good governance and public               | To strengthen accountability through                           | Municipal Support | Provide technical support to  | CDM   | Number of municipal support          | 4 Municipal support           | 4 Municipal support reports issued | Target not revised           | 1 Municipal support report   | Target not revised         | <b>Achieved</b><br>1 Municipal support          | OPEX                  | OPEX                           | OPEX        | None       | None               | None                         | None                  | Municipal support report                      |



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| Business Unit                            |  |   |   |  | Strategic Executive Management Services –Vote 1   |   |  |  |                              |   |                            |  |                       |                                |             |            |                    |                              |   |  |
|--|--|---|---|--|---|---|--|--|------------------------------|---|----------------------------|--|-----------------------|--------------------------------|-------------|------------|--------------------|------------------------------|---|--|
| Outcome 9:                               |  |   |   |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                |   |  |  |                              |   |                            |  |                       |                                |             |            |                    |                              |   |  |
| Outputs 5:                               |  |   |   |  | <ul style="list-style-type: none"> <li>Administrative and financial viability</li> <li>Deepen democracy through a refined ward committee model</li> </ul> |   |  |  |                              |   |                            |  |                       |                                |             |            |                    |                              |   |  |
| Key Strategic Organizational Objectives: |  |   |   |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver on its mandate</li> </ul>                                      |   |  |  |                              |   |                            |  |                       |                                |             |            |                    |                              |   |  |
| Project No.                              | Key performance Area                     | Strategic Objectives                            | Project Name  | Project Description (major activities)   | Location  | Key performance indicator   | Baseline   | 2019/20 Annual Targets   | Reviewed 19/20 annual target | Quarter 3 Targets   | Reviewed Quarter 3 Targets | Quarter 3 Progress   | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measure | Reasons for review/ variance | Means of verification   |  |
|  | participation                            | proactive audit oversight                       |   | Local municipalities   |   | reports issued improved audit outcomes  | reports issued   | on improved audit outcomes   |                              | issued on improved audit outcomes   |                            | report issued on improved audit outcomes   |                       |                                |             |            |                    |                              |   |  |
| SEM SD-06                                | Good governance and public participation | To protect the municipality from potential risk | Risk assessment workshop, monitoring of risk implementations and training of management and | Develop and monitor the risk management register for all departments and risk training | CDM   | Number of risk registers produced, number of risk monitoring reports issued and | 1 risk register produced, 4 Risk Monitoring reports issued and | 1 risk register produced, 4 Risk Monitoring reports issued and 1 risk training of management and staff | Target not revised           | 1 risk register produced, 1 Risk Monitoring report issued and 1 risk training of management | Target not revised         | <b>Achieved</b><br>1 risk register produced, 1 Risk Monitoring report issued and 1 risk training of management | OPEX                  | OPEX                           | OPEX        | None       | None               | None                         | Correspondence /Risk Register ,Attendance Registers /Monitoring reports |  |

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| Business Unit                            |  |   |                           |  | Strategic Executive Management Services –Vote 1   |  |   |                                       |                              |                                      |                            |   |                       |                                |             |            |                    |                              |  |
|--|--|---|---------------------------|--|---|--|---|---------------------------------------|------------------------------|--------------------------------------|----------------------------|---|-----------------------|--------------------------------|-------------|------------|--------------------|------------------------------|--|
| Outcome 9:                               |  |   |                           |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                |  |   |                                       |                              |                                      |                            |   |                       |                                |             |            |                    |                              |  |
| Outputs 5:                               |  |   |                           |  | <ul style="list-style-type: none"> <li>Administrative and financial viability</li> <li>Deepen democracy through a refined ward committee model</li> </ul> |  |   |                                       |                              |                                      |                            |   |                       |                                |             |            |                    |                              |  |
| Key Strategic Organizational Objectives: |  |   |                           |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver on its mandate</li> </ul>                                      |  |   |                                       |                              |                                      |                            |   |                       |                                |             |            |                    |                              |  |
| Project No.                              | Key performance Area                     | Strategic Objectives                            | Project Name              | Project Description (major activities) | Location  | Key performance indicator                                    | Baseline  | 2019/20 Annual Targets                | Reviewed 19/20 annual target | Quarter 3 Targets                    | Reviewed Quarter 3 Targets | Quarter 3 Progress                                      | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measure | Reasons for review/ variance | Means of verification                        |
|  |  |   | staff on risk management. | g of management and staff              |   | number of risk trainings of management and staff coordinated | 1 risk training of management and staff coordinated | coordinated                           |                              | and staff coordinated                |                            | and staff coordinated                                   |                       |                                |             |            |                    |                              |  |
| SEM SD-07                                | Good governance and public participation | To protect the municipality from potential risk | Risk Committee meetings   | Coordinate risk committee activities.  | CDM   | Number of risk committee meetings coordinated                | 5 risk committee meetings coordinated               | 4 risk committee meetings coordinated | Target not revised           | 1 risk committee meeting coordinated | Target not revised         | <b>Achieved</b><br>1 risk committee meeting coordinated | OPEX                  | OPEX                           | OPEX        | None       | None               | None                         | Correspondence/Attendance Registers/ Minutes |

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| Business Unit                            |  |  |  |  | Strategic Executive Management Services –Vote 1   |   |  |   |                              |  |                            |   |                       |                                |               |            |                    |                              |   |
|--|--|--|--|--|---|---|--|---|------------------------------|--|----------------------------|---|-----------------------|--------------------------------|---------------|------------|--------------------|------------------------------|---|
| Outcome 9:                               |  |  |  |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                |   |  |   |                              |  |                            |   |                       |                                |               |            |                    |                              |   |
| Outputs 5:                               |  |  |  |  | <ul style="list-style-type: none"> <li>Administrative and financial viability</li> <li>Deepen democracy through a refined ward committee model</li> </ul> |   |  |   |                              |  |                            |   |                       |                                |               |            |                    |                              |   |
| Key Strategic Organizational Objectives: |  |  |  |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver on its mandate</li> </ul>                                      |   |  |   |                              |  |                            |   |                       |                                |               |            |                    |                              |   |
| Project No.                              | Key performance Area                     | Strategic Objectives                         | Project Name                                     | Project Description (major activities) | Location  | Key performance indicator   | Baseline   | 2019/20 Annual Targets  | Reviewed 19/20 annual target | Quarter 3 Targets  | Reviewed Quarter 3 Targets | Quarter 3 Progress  | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure   | Challenges | Corrective Measure | Reasons for review/ variance | Means of verification                         |
| SEM SD-08                                | Good governance and public participation | Reduction of fraud and corruption activities | Fraud prevention programmes (awareness campaign) | Facilitate fraud prevention programmes | CDM & LMs   | Number of fraud prevention programmes facilitated(Awareness campaign) | 4 fraud prevention programmes facilitated          | 4 fraud prevention programmes facilitated(Awareness campaign) | Target not revised           | 1 fraud prevention programme facilitated(Awareness campaign) | Target not revised         | <b>Achieved</b><br>1 fraud prevention programme facilitated(Awareness campaign) | 58 000                | Budget not revised             | 27 000        | None       | None               | None                         | Correspondence /Attendance Registers/ Minutes |
| SEM SD-09                                | Good governance and public participation | Reduction of fraud and corruption activities | Forensic investigations                          | Facilitate fraud prevention programmes | CDM & LMs   | Percentage of investigations reports as per requests                  | 100 percent investigations reports as per requests | 100 percent investigations reports as per requests            | Target not revised           | 100 percent investigations reports as per requests           | Target not revised         | <b>Achieved</b><br>100 percent investigations reports as per requests           | 80 000                | 1 080 000                      | 14 480 287.68 | None       | None               | Insufficient budget          | investigations reports                        |

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| Business Unit                            |  |                                |                              |   | Strategic Executive Management Services –Vote 1   |                                   |          |                            |                              |                           |                            |   |                       |                                |             |            |                    |                              |                                |  |
|--|--|--------------------------------|------------------------------|---|---|-----------------------------------|----------|----------------------------|------------------------------|---------------------------|----------------------------|---|-----------------------|--------------------------------|-------------|------------|--------------------|------------------------------|--------------------------------|--|
| Outcome 9:                               |  |                                |                              |   | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                |                                   |          |                            |                              |                           |                            |   |                       |                                |             |            |                    |                              |                                |  |
| Outputs 5:                               |  |                                |                              |   | <ul style="list-style-type: none"> <li>Administrative and financial viability</li> <li>Deepen democracy through a refined ward committee model</li> </ul> |                                   |          |                            |                              |                           |                            |   |                       |                                |             |            |                    |                              |                                |  |
| Key Strategic Organizational Objectives: |  |                                |                              |   | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver on its mandate</li> </ul>                                      |                                   |          |                            |                              |                           |                            |   |                       |                                |             |            |                    |                              |                                |  |
| Project No.                              | Key performance Area                     | Strategic Objectives           | Project Name                 | Project Description (major activities)  | Location  | Key performance indicator         | Baseline | 2019/20 Annual Targets     | Reviewed 19/20 annual target | Quarter 3 Targets         | Reviewed Quarter 3 Targets | Quarter 3 Progress                          | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measure | Reasons for review/ variance | Means of verification          |  |
|  |  |                                |                              |   |   |                                   | uests    |                            |                              |                           |                            |   |                       |                                |             |            |                    |                              |                                |  |
| SEM SD-10                                | Good governance and public participation | Prevention of theft and losses | Security Management services | Provision of sound physical security services to all municipal premises and employees | CDM   | Number of security reports issued | 12       | 12 security reports issued | Target not revised           | 3 security reports issued | Target not revised         | <b>Achieved</b><br>3 security report issued | 17 600 000            | Budget not revised             |             | None       | None               | None                         | Security reports/Risk register |  |

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| Business Unit                            |  |   |                                  |  | Strategic Executive Management Services –Vote 1   |   |  |  |                              |   |                            |  |                       |                                |             |            |                    |                              |  |
|--|--|---|----------------------------------|--|---|---|--|--|------------------------------|---|----------------------------|--|-----------------------|--------------------------------|-------------|------------|--------------------|------------------------------|--|
| Outcome 9:                               |  |   |                                  |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                |   |  |  |                              |   |                            |  |                       |                                |             |            |                    |                              |  |
| Outputs 5:                               |  |   |                                  |  | <ul style="list-style-type: none"> <li>Administrative and financial viability</li> <li>Deepen democracy through a refined ward committee model</li> </ul> |   |  |  |                              |   |                            |  |                       |                                |             |            |                    |                              |  |
| Key Strategic Organizational Objectives: |  |   |                                  |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver on its mandate</li> </ul>                                      |   |  |  |                              |   |                            |  |                       |                                |             |            |                    |                              |  |
| Project No.                              | Key performance Area                     | Strategic Objectives  | Project Name                     | Project Description (major activities)   | Location  | Key performance indicator   | Baseline   | 2019/20 Annual Targets   | Reviewed 19/20 annual target | Quarter 3 Targets   | Reviewed Quarter 3 Targets | Quarter 3 Progress   | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measure | Reasons for review/ variance | Means of verification  |
| SEM SD-11                                | Good governance and public participation | To keep stakeholders informed about the affairs of the municipality | Communicate municipal programmes | Review and Implementation of communication strategy, events management guideline, Social Media policy and corporate image Manual | CDM   | Number of Monitoring Report on communication, events management guideline, Social Media policy and corporate image Manual developed | 4 Monitoring Reports on communication, events management guideline, Social Media policy and corporate image Manual developed | 4 Monitoring Reports on communication, events management guideline, Social Media policy and corporate image Manual developed | Target not revised           | 1 Monitoring Report on communication, events management guideline, Social Media policy and corporate image Manual developed | Target not revised         | <b>Achieved</b><br>1 Monitoring Report on communication, events management guideline, Social Media policy and corporate image Manual developed | OPEX                  | OPEX                           | OPEX        | None       | None               | None                         | Monitoring Report on communication, events management guideline and corporate image strategy |

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| Business Unit                            |  |   |  |  | Strategic Executive Management Services –Vote 1   |  |  |  |                              |  |                            |   |                       |                                |             |            |                    |                              |  |  |
|--|--|---|--|--|---|--|--|--|------------------------------|--|----------------------------|---|-----------------------|--------------------------------|-------------|------------|--------------------|------------------------------|--|--|
| Outcome 9:                               |  |   |  |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                |  |  |  |                              |  |                            |   |                       |                                |             |            |                    |                              |  |  |
| Outputs 5:                               |  |   |  |  | <ul style="list-style-type: none"> <li>Administrative and financial viability</li> <li>Deepen democracy through a refined ward committee model</li> </ul> |  |  |  |                              |  |                            |   |                       |                                |             |            |                    |                              |  |  |
| Key Strategic Organizational Objectives: |  |   |  |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver on its mandate</li> </ul>                                      |  |  |  |                              |  |                            |   |                       |                                |             |            |                    |                              |  |  |
| Project No.                              | Key performance Area                     | Strategic Objectives  | Project Name   | Project Description (major activities) | Location  | Key performance indicator  | Baseline   | 2019/20 Annual Targets   | Reviewed 19/20 annual target | Quarter 3 Targets  | Reviewed Quarter 3 Targets | Quarter 3 Progress  | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measure | Reasons for review/ variance | Means of verification                            |  |
|  |  |   |  |  |   |  | orate<br>image<br>Manual<br>developed  |  |                              |  |                            |   |                       |                                |             |            |                    |                              |  |  |
| SEM SD-12                                | Good governance and public participation | To keep stakeholders informed about the affairs of the municipality | Communication of municipal programmes (Advertising, publications, publicity, stake | Communication of municipal programme   | CDM   | Percentage of communication programmes coordinated and publicised (Advertising, public | 100 percent of municipal programmes coordinated and publicised (Advertising and commun | 100 percent of communication programme coordinated and publicised (Advertising, publications, publicity, | Target not revised           | 100 percent of communication programme coordinated and publicised (Advertising, public | Target not revised         | <b>Achieved</b><br>100 percent of communication programme coordinated and publicised (Advertising, publicat | 3 625 000             | 4 425 000                      |             | None       | None               | Insufficient budget          | Communication programme s/Correspondence/Reports |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |  |   |  |  | Strategic Executive Management Services –Vote 1   |  |               |  |                              |  |                            |  |                       |                                |             |            |                    |                              |  |
|--|--|---|--|--|---|--|---------------|--|------------------------------|--|----------------------------|--|-----------------------|--------------------------------|-------------|------------|--------------------|------------------------------|--|
| Outcome 9:                               |  |   |  |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                |  |               |  |                              |  |                            |  |                       |                                |             |            |                    |                              |  |
| Outputs 5:                               |  |   |  |  | <ul style="list-style-type: none"> <li>Administrative and financial viability</li> <li>Deepen democracy through a refined ward committee model</li> </ul> |  |               |  |                              |  |                            |  |                       |                                |             |            |                    |                              |  |
| Key Strategic Organizational Objectives: |  |   |  |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver on its mandate</li> </ul>                                      |  |               |  |                              |  |                            |  |                       |                                |             |            |                    |                              |  |
| Project No.                              | Key performance Area                     | Strategic Objectives  | Project Name                                       | Project Description (major activities)         | Location  | Key performance indicator  | Baseline      | 2019/20 Annual Targets                                       | Reviewed 19/20 annual target | Quarter 3 Targets  | Reviewed Quarter 3 Targets | Quarter 3 Progress   | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measure | Reasons for review/ variance | Means of verification                            |
|  |  |   | holder participation and media relation programme) |  |   | ations, publicity, stakeholder participation and media relation programme) | icate         | stakeholder participation and media relation programme)      |                              | ations, publicity, stakeholder participation and media relation programme) |                            | publicity, stakeholder participation and media relation programme) |                       |                                |             |            |                    |                              |  |
| SEM SD-13                                | Good Governance and Public Participation | To keep stakeholders informed about the affairs of the municipality | District communicators programme                   | District communicators programme organised and | CDM   | Number of district communicators programme organised                       | New indicator | 4 district communicators programme organised and coordinated | Target not revised           | 1 district communicators programme organised                               | Target not revised         | <b>Achieved</b><br>1 district communicators programme organised.   | OPEX                  | OPEX                           | OPEX        | None       | None               | None                         | Correspondence /District Communicator programmes |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |  |   |                                       |  | Strategic Executive Management Services –Vote 1   |   |                                     |  |                              |  |                            |  |                       |                                |             |            |                    |                              |  |
|--|--|---|---------------------------------------|--|---|---|-------------------------------------|--|------------------------------|--|----------------------------|--|-----------------------|--------------------------------|-------------|------------|--------------------|------------------------------|--|
| Outcome 9:                               |  |   |                                       |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                |   |                                     |  |                              |  |                            |  |                       |                                |             |            |                    |                              |  |
| Outputs 5:                               |  |   |                                       |  | <ul style="list-style-type: none"> <li>Administrative and financial viability</li> <li>Deepen democracy through a refined ward committee model</li> </ul> |   |                                     |  |                              |  |                            |  |                       |                                |             |            |                    |                              |  |
| Key Strategic Organizational Objectives: |  |   |                                       |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver on its mandate</li> </ul>                                      |   |                                     |  |                              |  |                            |  |                       |                                |             |            |                    |                              |  |
| Project No.                              | Key performance Area                     | Strategic Objectives                                    | Project Name                          | Project Description (major activities) | Location  | Key performance indicator                       | Baseline                            | 2019/20 Annual Targets   | Reviewed 19/20 annual target | Quarter 3 Targets  | Reviewed Quarter 3 Targets | Quarter 3 Progress   | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measure | Reasons for review/ variance | Means of verification                                  |
|  |  |   |                                       | coordinated                            |   | ised and coordinated                            |                                     |  |                              | and coordinated  |                            |  |                       |                                |             |            |                    |                              |  |
| SEM SD-14                                | Good Governance and Public Participation | To maximize customer care and stakeholders satisfaction | Monitoring of Thusong Service Centres | Monitor all Thusong Service Centres    | CDM   | Percentage of Thusong Service Centres monitored | 4 Thusong Service Centres monitored | 100 percent of Thusong Service Centres monitored and 4 consolidated monitoring report produced | Target not revised           | 100 percent of Thusong Service Centres monitored and 1 consolidated monitoring report produced | Target not revised         | <b>Achieved</b> 100 percent of Thusong Service Centres monitored and 1 consolidated monitoring report produced | OPEX                  | OPEX                           | OPEX        | None       | None               | None                         | Consolidated Thusong Service Centres monitoring report |



CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |  |  |                                  |   | Strategic Executive Management Services –Vote 1   |   |  |  |   |  |   |   |                       |                                |             |            |                    |   |                       |
|--|--|--|----------------------------------|---|---|---|--|--|---|--|---|---|-----------------------|--------------------------------|-------------|------------|--------------------|---|-----------------------|
| Outcome 9:                               |  |  |                                  |   | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                |   |  |  |   |  |   |   |                       |                                |             |            |                    |   |                       |
| Outputs 5:                               |  |  |                                  |   | <ul style="list-style-type: none"> <li>Administrative and financial viability</li> <li>Deepen democracy through a refined ward committee model</li> </ul> |   |  |  |   |  |   |   |                       |                                |             |            |                    |   |                       |
| Key Strategic Organizational Objectives: |  |  |                                  |   | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver on its mandate</li> </ul>                                      |   |  |  |   |  |   |   |                       |                                |             |            |                    |   |                       |
| Project No.                              | Key performance Area                     | Strategic Objectives                       | Project Name                     | Project Description (major activities)        | Location  | Key performance indicator                   | Baseline   | 2019/20 Annual Targets   | Reviewed 19/20 annual target                                | Quarter 3 Targets  | Reviewed Quarter 3 Targets                                  | Quarter 3 Progress  | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measure | Reasons for review/ variance                  | Means of verification |
| SEM SD-15                                | Good Governance and Public Participation | To maximize customer care and stakeholders | Call Centre for district hotline | Operation of call Centre for district hotline | CDM   | Percentage of queries received and resolved | 100 percent of received hotline and internal queries investigated and resolved | 100 percent of received hotline and internal queries investigated and resolved | 100 percent of queries received and resolved within 30 days | 100 percent of received hotline and internal queries investigated and resolved | 100 percent of queries received and resolved within 30 days | <b>Achieved</b><br>100 percent of received hotline and internal queries investigated and resolved | 80 000                | Budget not revised             |             | None       | None               | Target revised in line with service standards | Queries register      |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |  |   |  |   | Strategic Executive Management Services –Vote 1   |  |  |   |                              |   |                            |  |                       |                                |             |            |                    |                              |   |
|--|--|---|--|---|---|--|--|---|------------------------------|---|----------------------------|--|-----------------------|--------------------------------|-------------|------------|--------------------|------------------------------|---|
| Outcome 9:                               |  |   |  |   | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                |  |  |   |                              |   |                            |  |                       |                                |             |            |                    |                              |   |
| Outputs 5:                               |  |   |  |   | <ul style="list-style-type: none"> <li>Administrative and financial viability</li> <li>Deepen democracy through a refined ward committee model</li> </ul> |  |  |   |                              |   |                            |  |                       |                                |             |            |                    |                              |   |
| Key Strategic Organizational Objectives: |  |   |  |   | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver on its mandate</li> </ul>                                      |  |  |   |                              |   |                            |  |                       |                                |             |            |                    |                              |   |
| Project No.                              | Key performance Area                     | Strategic Objectives  | Project Name   | Project Description (major activities)                        | Location  | Key performance indicator                                  | Baseline                                     | 2019/20 Annual Targets                                | Reviewed 19/20 annual target | Quarter 3 Targets   | Reviewed Quarter 3 Targets | Quarter 3 Progress   | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measure | Reasons for review/ variance | Means of verification   |
| SEM SD-16                                | Good Governance and Public Participation | To enhance organizational performance   | District Batho pele campaign                                 | Conduct district Batho pele campaign                          | CDM   | Number of District Batho pele campaign conducted           | 1 District Batho pele campaign conducted     | 4 District Batho pele awareness campaigns conducted   | Target not revised           | 1 District Batho pele awareness campaign conducted          | Target not revised         | <b>Achieved</b><br>1 District Batho pele awareness campaign conducted    | 100 000               | Budget not revised             |             | None       | None               | None                         | Correspondence /Attendance Registers/P programmes                                     |
| SEM SD-17                                | Good Governance and Public Participation | Involve ment of communities in the planning and development of water and sanitation | Facilitation of Water and Sanitation Infrastructure Projects | Facilitation of Project Steering Committee s, key stake holde | CDM   | Percentage of approved water and sanitation infrastructure | 100 percent of approved water and sanitation | 100 percent of approved water and sanitation projects | Target not revised           | 100 percent of approved water and sanitation infrastructure | Target not revised         | <b>Achieved</b><br>100 percent of approved water and sanitation infrastr | OPEX                  | OPEX                           | OPEX        | None       | None               | None                         | Means of verification revised from Project scope agreement to ISD facilitation report |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                            |   |                           |  | Strategic Executive Management Services –Vote 1   |   |   |  |                              |  |                            |   |                       |                                |             |  |                    |                              |                       |  |
|--|----------------------------|---|---------------------------|--|---|---|---|--|------------------------------|--|----------------------------|---|-----------------------|--------------------------------|-------------|--|--------------------|------------------------------|-----------------------|--|
| Outcome 9:                               |                            |   |                           |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                |   |   |  |                              |  |                            |   |                       |                                |             |  |                    |                              |                       |  |
| Outputs 5:                               |                            |   |                           |  | <ul style="list-style-type: none"> <li>Administrative and financial viability</li> <li>Deepen democracy through a refined ward committee model</li> </ul> |   |   |  |                              |  |                            |   |                       |                                |             |  |                    |                              |                       |  |
| Key Strategic Organizational Objectives: |                            |   |                           |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver on its mandate</li> </ul>                                      |   |   |  |                              |  |                            |   |                       |                                |             |  |                    |                              |                       |  |
| Project No.                              | Key performance Area       | Strategic Objectives  | Project Name              | Project Description (major activities)   | Location  | Key performance indicator                                 | Baseline  | 2019/20 Annual Targets   | Reviewed 19/20 annual target | Quarter 3 Targets                                    | Reviewed Quarter 3 Targets | Quarter 3 Progress  | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges   | Corrective Measure | Reasons for review/ variance | Means of verification |  |
|  |                            | on projects   |                           | rs, scope of works agreements, site hand overs, conflict management and resolution |   | projects facilitated for planning and implementation      | n infrastructure projects facilitated for planning and implementation | facilitated for planning and implementation                      |                              | projects facilitated for planning and implementation |                            | ucture projects facilitated for planning and implementation             |                       |                                |             |  |                    |                              |                       |  |
| SEM SD-18                                | Local Economic Development | Facilitation of job opportunities in the planning, implementation | Job creation facilitation | Facilitation of job opportunities and training in the implementation               | CDM   | Number of job opportunities created in the implementation | 762 job opportunities created in the implementation                   | 800 job opportunities created in the implementation of water and | Target not revised           | 250 job opportunities created in the implementation  | Target not revised         | <b>Not Achieved 136 job opportunities created in the implementation</b> | OPEX                  | OPEX                           | OPEX        | <b>Declaration of State of Disaster and Lockdown</b> | None               | None                         | Job creation report   |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |  |   |   |   | Strategic Executive Management Services –Vote 1   |  |  |  |                              |   |                            |  |                       |                                |             |  |                    |                              |                       |
|--|--|---|---|---|---|--|--|--|------------------------------|---|----------------------------|--|-----------------------|--------------------------------|-------------|--|--------------------|------------------------------|-----------------------|
| Outcome 9:                               |  |   |   |   | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                |  |  |  |                              |   |                            |  |                       |                                |             |  |                    |                              |                       |
| Outputs 5:                               |  |   |   |   | <ul style="list-style-type: none"> <li>Administrative and financial viability</li> <li>Deepen democracy through a refined ward committee model</li> </ul> |  |  |  |                              |   |                            |  |                       |                                |             |  |                    |                              |                       |
| Key Strategic Organizational Objectives: |  |   |   |   | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver on its mandate</li> </ul>                                      |  |  |  |                              |   |                            |  |                       |                                |             |  |                    |                              |                       |
| Project No.                              | Key performance Area                     | Strategic Objectives  | Project Name                                      | Project Description (major activities)                          | Location  | Key performance indicator                      | Baseline   | 2019/20 Annual Targets                 | Reviewed 19/20 annual target | Quarter 3 Targets                       | Reviewed Quarter 3 Targets | Quarter 3 Progress   | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges   | Corrective Measure | Reasons for review/ variance | Means of verification |
|  |  | n and operations and maintenance of water and sanitation projects   |   | mentation of water and sanitation projects using EPW guidelines |   | mentation of water and sanitation projects     | imple mentation of water and sanitation projects | sanitati on projects                   |                              | ation of water and sanitation projec ts |                            | n of water and sanitati on projects                                  |                       |                                |             |  |                    |                              |                       |
| SEM SD-19                                | Good Governance and Public Participation | To keep stakeholders informed about the affairs of the municipality | Water and Sanitation Community Forum coordination | Coordination of Water and Sanitation Community Forum            | CDM   | Number of Water and Sanitation Community Forum | 4 Water and Sanitation Community Forum           | 4 Water and Sanitation Community Forum | Target not revised           | 1 Water and Sanitation Community Forum  | Target not revised         | <b>Not Achieved</b><br>Water and Sanitation Community Forum not held | OPEX                  | OPEX                           | OPEX        | <b>Declaration of State of Disaster and Lockdown</b> | None               | None                         | Attendance register   |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |  |   |   |  | Strategic Executive Management Services –Vote 1   |   |  |  |                              |   |                            |  |                       |                                |             |            |                    |                              |   |
|--|--|---|---|--|---|---|--|--|------------------------------|---|----------------------------|--|-----------------------|--------------------------------|-------------|------------|--------------------|------------------------------|---|
| Outcome 9:                               |  |   |   |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                |   |  |  |                              |   |                            |  |                       |                                |             |            |                    |                              |   |
| Outputs 5:                               |  |   |   |  | <ul style="list-style-type: none"> <li>Administrative and financial viability</li> <li>Deepen democracy through a refined ward committee model</li> </ul> |   |  |  |                              |   |                            |  |                       |                                |             |            |                    |                              |   |
| Key Strategic Organizational Objectives: |  |   |   |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver on its mandate</li> </ul>                                      |   |  |  |                              |   |                            |  |                       |                                |             |            |                    |                              |   |
| Project No.                              | Key performance Area                     | Strategic Objectives  | Project Name  | Project Description (major activities)                       | Location  | Key performance indicator   | Baseline                                     | 2019/20 Annual Targets   | Reviewed 19/20 annual target | Quarter 3 Targets                                 | Reviewed Quarter 3 Targets | Quarter 3 Progress   | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measure | Reasons for review/ variance | Means of verification                                     |
| SEM SD-20                                | Good Governance and Public Participation | To keep stakeholders informed about the affairs of the municipality | District Sanitation Task Team coordination            | Coordination of District Sanitation Task Team                | CDM   | Number of District Sanitation Task Team Coordinated                 | 2 District Sanitation Task Team Coordinated  | 4 District Sanitation Task Team Coordinated                              | Target not revised           | 1 District Sanitation Task Team Coordinated       | Target not revised         | <b>Achieved</b><br>1 District Sanitation Task Team Coordinated               | OPEX                  | OPEX                           | OPEX        | None       | None               | None                         | Attendance register                                       |
| SEM SD-21                                | Good Governance and Public Participation | To enhance organizational performance                               | Development and Review of Service Delivery and Budget | Coordination of the development and review of organizational | CDM   | Number of Organizational Service Delivery and Budget Implementation | 2 Service Delivery and Budget Implementation | 2 Service Delivery and Budget Implementation Plans (SDBIP) developed and | Target not revised           | 1 Service Delivery and Budget Implementation Plan | Target not revised         | <b>Achieved</b><br>1 Service Delivery and Budget Implementation Plan (SDBIP) | OPEX                  | OPEX                           | OPEX        | None       | None               | None                         | Service Delivery and Budget Implementation Plans approved |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |   |                                       |                             |   | Strategic Executive Management Services –Vote 1   |   |  |   |                              |  |                            |   |                       |                                |             |  |                    |                              |                                    |  |
|--|---|---------------------------------------|-----------------------------|---|---|---|--|---|------------------------------|--|----------------------------|---|-----------------------|--------------------------------|-------------|--|--------------------|------------------------------|------------------------------------|--|
| Outcome 9:                               |   |                                       |                             |   | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                |   |  |   |                              |  |                            |   |                       |                                |             |  |                    |                              |                                    |  |
| Outputs 5:                               |   |                                       |                             |   | <ul style="list-style-type: none"> <li>Administrative and financial viability</li> <li>Deepen democracy through a refined ward committee model</li> </ul> |   |  |   |                              |  |                            |   |                       |                                |             |  |                    |                              |                                    |  |
| Key Strategic Organizational Objectives: |   |                                       |                             |   | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver on its mandate</li> </ul>                                      |   |  |   |                              |  |                            |   |                       |                                |             |  |                    |                              |                                    |  |
| Project No.                              | Key performance Area                                    | Strategic Objectives                  | Project Name                | Project Description (major activities)                  | Location  | Key performance indicator   | Baseline   | 2019/20 Annual Targets                        | Reviewed 19/20 annual target | Quarter 3 Targets                            | Reviewed Quarter 3 Targets | Quarter 3 Progress  | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges   | Corrective Measure | Reasons for review/ variance | Means of verification              |  |
|  |   |                                       | Implementation Plan (SDBIP) | Service Delivery and Budget Implementation Plan SDBIP   |   | ment<br>ation<br>Plans<br>(SDBIP)<br>developed<br>and<br>reviewed | Plans<br>(SD<br>BIP)<br>developed<br>and<br>reviewed | reviewed.                                     |                              | (SDBIP)<br>reviewed.                         |                            | reviewed.   |                       |                                |             |  |                    |                              |                                    |  |
| SEM SD-22                                | Municipal Transformation and Organizational Development | To enhance organizational performance | Monitoring and Evaluation   | Monitoring and evaluation of organizational performance | CDM   | Number of organizational performance reports produced             | 4 organizational performance reports produced        | 4 organizational performance reports produced | Target not revised           | 1 organizational performance report produced | Target not revised         | <b>Not Achieved</b><br>1 organizational performance report not produced | OPEX                  | OPEX                           | OPEX        | <b>Declaration of State of Disaster and Lockdown</b> | None               | None                         | Organisational performance reports |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |  |   |                          |  | Strategic Executive Management Services –Vote 1   |   |                                   |   |                              |                                       |                            |  |                       |                                |             |  |                    |                              |   |
|--|--|---|--------------------------|--|---|---|-----------------------------------|---|------------------------------|---------------------------------------|----------------------------|--|-----------------------|--------------------------------|-------------|--|--------------------|------------------------------|---|
| Outcome 9:                               |  |   |                          |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                |   |                                   |   |                              |                                       |                            |  |                       |                                |             |  |                    |                              |   |
| Outputs 5:                               |  |   |                          |  | <ul style="list-style-type: none"> <li>Administrative and financial viability</li> <li>Deepen democracy through a refined ward committee model</li> </ul> |   |                                   |   |                              |                                       |                            |  |                       |                                |             |  |                    |                              |   |
| Key Strategic Organizational Objectives: |  |   |                          |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver on its mandate</li> </ul>                                      |   |                                   |   |                              |                                       |                            |  |                       |                                |             |  |                    |                              |   |
| Project No.                              | Key performance Area                     | Strategic Objectives                                | Project Name             | Project Description (major activities) | Location  | Key performance indicator                 | Baseline                          | 2019/20 Annual Targets                                    | Reviewed 19/20 annual target | Quarter 3 Targets                     | Reviewed Quarter 3 Targets | Quarter 3 Progress   | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges   | Corrective Measure | Reasons for review/ variance | Means of verification                       |
|  |  |   |                          | reports                                |   |   | duced                             |   |                              |                                       |                            |  |                       |                                |             |  |                    |                              |   |
| SEM SD-23                                | Good Governance and Public Participation | To enhance organizational performance               | Back to Basics           | Compilation of Back to Basics reports  | CDM   | Number of Back to Basics reports produced | 4 Back to Basics reports produced | 4 Back to Basics reports produced                         | Target not revised           | 1 Back to Basics report produced      | Target not revised         | <b>Not Achieved</b><br>1 Back to Basics report not produced  | OPEX                  | OPEX                           | OPEX        | <b>Declaration of State of Disaster and Lockdown</b> | None               | None                         | Back to Basics reports                      |
| SEM SD-24                                | Good Governance and Public Participation | To promote the needs and interests of special focus | Special Focus Programmes | Special Focus Programmes Coordination  | All local municipalities  | Number of Special Focus Programmes        | 98 Special Programmes coordinated | 80 Special Programmes coordinated (12 children programme) | Target not revised           | 20 Special Programmes coordinated (3) | Target not revised         | <b>Not Achieved</b><br>19 Special Programmes coordinated (2) | 529 000               | Budget not revised             |             | <b>Declaration of State of Disaster and Lockdown</b> |                    | None                         | Correspondence /Attendance register/Reports |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                      |                      |              |  | Strategic Executive Management Services –Vote 1   |                           |   |                        |                              |  |                            |  |                       |                                |             |            |                    |                              |                       |  |
|--|----------------------|----------------------|--------------|--|---|---------------------------|---|------------------------|------------------------------|--|----------------------------|--|-----------------------|--------------------------------|-------------|------------|--------------------|------------------------------|-----------------------|--|
| Outcome 9:                               |                      |                      |              |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                |                           |   |                        |                              |  |                            |  |                       |                                |             |            |                    |                              |                       |  |
| Outputs 5:                               |                      |                      |              |  | <ul style="list-style-type: none"> <li>Administrative and financial viability</li> <li>Deepen democracy through a refined ward committee model</li> </ul> |                           |   |                        |                              |  |                            |  |                       |                                |             |            |                    |                              |                       |  |
| Key Strategic Organizational Objectives: |                      |                      |              |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver on its mandate</li> </ul>                                      |                           |   |                        |                              |  |                            |  |                       |                                |             |            |                    |                              |                       |  |
| Project No.                              | Key performance Area | Strategic Objectives | Project Name | Project Description (major activities)                             | Location  | Key performance indicator | Baseline  | 2019/20 Annual Targets | Reviewed 19/20 annual target | Quarter 3 Targets  | Reviewed Quarter 3 Targets | Quarter 3 Progress   | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measure | Reasons for review/ variance | Means of verification |  |
|  |                      | groupings            |              | (Children, Disability, Gender, Older Persons and Youth Programmes) |   | Coordinated               | 12 children programmes, 24 gender programmes, 12 Disability programmes, 20 Youth programmes, 12 Older persons |                        |                              | children programmes, 3 Disability programmes, 6 gender programmes, 3 Older persons programmes, 5 Youth programmes) |                            | children programmes, 4 Disability programmes, 3 gender programmes, 5 Older persons programmes, 5 Youth programmes) |                       |                                |             |            |                    |                              |                       |  |



CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |  |   |  |  | Strategic Executive Management Services –Vote 1   |   |  |  |                              |  |                            |  |                       |                                |             |            |                    |                              |                                      |  |
|--|--|---|--|--|---|---|--|--|------------------------------|--|----------------------------|--|-----------------------|--------------------------------|-------------|------------|--------------------|------------------------------|--------------------------------------|--|
| Outcome 9:                               |  |   |  |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                |   |  |  |                              |  |                            |  |                       |                                |             |            |                    |                              |                                      |  |
| Outputs 5:                               |  |   |  |  | <ul style="list-style-type: none"> <li>Administrative and financial viability</li> <li>Deepen democracy through a refined ward committee model</li> </ul> |   |  |  |                              |  |                            |  |                       |                                |             |            |                    |                              |                                      |  |
| Key Strategic Organizational Objectives: |  |   |  |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver on its mandate</li> </ul>                                      |   |  |  |                              |  |                            |  |                       |                                |             |            |                    |                              |                                      |  |
| Project No.                              | Key performance Area                     | Strategic Objectives  | Project Name   | Project Description (major activities) | Location  | Key performance indicator                   | Baseline   | 2019/20 Annual Targets   | Reviewed 19/20 annual target | Quarter 3 Targets  | Reviewed Quarter 3 Targets | Quarter 3 Progress   | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measure | Reasons for review/ variance | Means of verification                |  |
|  |  |   |  |  |   |   | ons programmes, 20 Youth programmes)   |  |                              |  |                            |  |                       |                                |             |            |                    |                              |                                      |  |
| SEM SD-25                                | Good Governance and Public Participation | To contribute towards the reduction of HIV, AIDS, STI & TB Infections by 2021 | HIV & AIDS Programmes (Governance, Coordination, Prevention Care & | HIV & AIDS Programmes Coordination     | All local municipalities  | Number of HIV & AIDS Programmes Coordinated | 41 HIV & AIDS Programmes coordinated (Governance, Coordination, Prevention, Care & Support | 32 HIV & AIDS Programmes coordinated (Governance, Coordination, Prevention, Care & Support | Target not revised           | 8 HIV & AIDS Programmes coordinated (Governance, Coordination, Preve | Target not revised         | <b>Achieved</b><br>8 HIV & AIDS Programmes coordinated (Governance, Coordination, Prevention, Care & | 481 000               | Budget not revised             |             | None       | None               | None                         | Correspondence /Attendance registers |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| <b>Business Unit</b>                            |                      |                      |  |  | <b>Strategic Executive Management Services –Vote 1</b>  |                           |  |   |                              |  |                            |  |                       |                                |             |            |                    |                              |                       |
|---|----------------------|----------------------|--|--|---|---------------------------|--|---|------------------------------|--|----------------------------|--|-----------------------|--------------------------------|-------------|------------|--------------------|------------------------------|-----------------------|
| <b>Outcome 9:</b>                               |                      |                      |  |  | <ul style="list-style-type: none"> <li>• Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                  |                           |  |   |                              |  |                            |  |                       |                                |             |            |                    |                              |                       |
| <b>Outputs 5:</b>                               |                      |                      |  |  | <ul style="list-style-type: none"> <li>• Administrative and financial viability</li> <li>• Deepen democracy through a refined ward committee model</li> </ul> |                           |  |   |                              |  |                            |  |                       |                                |             |            |                    |                              |                       |
| <b>Key Strategic Organizational Objectives:</b> |                      |                      |  |  | <ul style="list-style-type: none"> <li>• To increase the capacity of the district to deliver on its mandate</li> </ul>  |                           |  |   |                              |  |                            |  |                       |                                |             |            |                    |                              |                       |
| Project No.                                     | Key performance Area | Strategic Objectives | Project Name   | Project Description (major activities) | Location  | Key performance indicator | Baseline   | 2019/20 Annual Targets  | Reviewed 19/20 annual target | Quarter 3 Targets  | Reviewed Quarter 3 Targets | Quarter 3 Progress                                     | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measure | Reasons for review/ variance | Means of verification |
|   |                      |                      | Support, Capacity Building, CBO/NGOs Summit and Monitoring & Evaluation) |  |   |                           | e, Coordination, Prevention, Care & Support, Capacity Building, CBO/NGOs Summit and Monitoring | Capacity Building, CBO/NGOs Summit and Monitoring & Evaluation) |                              | ntion, Care & Support, Capacity Building and Monitoring & Evaluation |                            | Support, Capacity Building and Monitoring & Evaluation |                       |                                |             |            |                    |                              |                       |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |  |   |                              |  | Strategic Executive Management Services –Vote 1   |   |                                 |                                 |                              |                                 |                            |   |                       |                                |             |            |                    |                              |   |  |
|--|--|---|------------------------------|--|---|---|---------------------------------|---------------------------------|------------------------------|---------------------------------|----------------------------|---|-----------------------|--------------------------------|-------------|------------|--------------------|------------------------------|---|--|
| Outcome 9:                               |  |   |                              |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                |   |                                 |                                 |                              |                                 |                            |   |                       |                                |             |            |                    |                              |   |  |
| Outputs 5:                               |  |   |                              |  | <ul style="list-style-type: none"> <li>Administrative and financial viability</li> <li>Deepen democracy through a refined ward committee model</li> </ul> |   |                                 |                                 |                              |                                 |                            |   |                       |                                |             |            |                    |                              |   |  |
| Key Strategic Organizational Objectives: |  |   |                              |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver on its mandate</li> </ul>                                      |   |                                 |                                 |                              |                                 |                            |   |                       |                                |             |            |                    |                              |   |  |
| Project No.                              | Key performance Area                     | Strategic Objectives  | Project Name                 | Project Description (major activities) | Location  | Key performance indicator               | Baseline                        | 2019/20 Annual Targets          | Reviewed 19/20 annual target | Quarter 3 Targets               | Reviewed Quarter 3 Targets | Quarter 3 Progress                              | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measure | Reasons for review/ variance | Means of verification                         |  |
|  |  |   |                              |  |   |   | Monitoring & Evaluation)        |                                 |                              |                                 |                            |   |                       |                                |             |            |                    |                              |   |  |
| SEM SD-26                                | Good Governance and Public Participation | To build accountable and transparent governance structures responsive to the needs of the community | Whippery Management meetings | Coordination of Whippery meetings      | CDM   | Number of Whippery meetings coordinated | 9 Whippery meetings coordinated | 6 Whippery meetings coordinated | Target not revised           | 2 Whippery meetings coordinated | Target not revised         | <b>Achieved</b> 3 Whippery meetings coordinated | 40 000                | Budget not revised             | 27 930.00   | None       | None               | None                         | Correspondence /Attendance Registers/ Minutes |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |  |   |                       |   | Strategic Executive Management Services –Vote 1   |  |                             |  |                              |   |                            |   |                       |                                |             |            |                    |                              |   |
|--|--|---|-----------------------|---|---|--|-----------------------------|--|------------------------------|---|----------------------------|---|-----------------------|--------------------------------|-------------|------------|--------------------|------------------------------|---|
| Outcome 9:                               |  |   |                       |   | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                |  |                             |  |                              |   |                            |   |                       |                                |             |            |                    |                              |   |
| Outputs 5:                               |  |   |                       |   | <ul style="list-style-type: none"> <li>Administrative and financial viability</li> <li>Deepen democracy through a refined ward committee model</li> </ul> |  |                             |  |                              |   |                            |   |                       |                                |             |            |                    |                              |   |
| Key Strategic Organizational Objectives: |  |   |                       |   | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver on its mandate</li> </ul>                                      |  |                             |  |                              |   |                            |   |                       |                                |             |            |                    |                              |   |
| Project No.                              | Key performance Area                     | Strategic Objectives  | Project Name          | Project Description (major activities)                                  | Location  | Key performance indicator  | Baseline                    | 2019/20 Annual Targets                                     | Reviewed 19/20 annual target | Quarter 3 Targets   | Reviewed Quarter 3 Targets | Quarter 3 Progress  | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measure | Reasons for review/ variance | Means of verification                         |
| SEM SD-27                                | Good Governance and Public Participation | To build accountable and transparent governance structures responsive to the needs of the community | Reports of Chief Whip | Compilation of mandatory reports of the chief whip submitted to Council | CDM   | Number of mandatory reports of the chief whip submitted to Council | 4 reports of the Chief Whip | 4 mandatory reports of the chief whip submitted to Council | Target not revised           | 1 mandatory report of the chief whip submitted to Council | Target not revised         | <b>Achieved 1</b> mandatory report of the chief whip submitted to Council | OPEX                  | OPEX                           | OPEX        | None       | None               | None                         | Mandatory Reports submitted to Council        |
| SEM SD-28                                | Good Governance and Public Participation | To provide strategic and administrative support to Council  | Council meetings      | Coordination of Council meetings  | CDM   | Number of Meetings coordinated                                     | 11 Council meetings         | 6 Council meetings coordinated                             | Target not revised           | 2 Council meetings coordinated                            | Target not revised         | <b>Achieved 2</b> Council meetings coordinated                            | OPEX                  | OPEX                           | OPEX        | None       | None               | None                         | Correspondence /Attendance Registers/ Minutes |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |  |  |                          |  | Strategic Executive Management Services –Vote 1   |  |          |                            |                              |                           |                            |   |                       |                                |             |            |                    |                              |   |  |
|--|--|--|--------------------------|--|---|--|----------|----------------------------|------------------------------|---------------------------|----------------------------|---|-----------------------|--------------------------------|-------------|------------|--------------------|------------------------------|---|--|
| Outcome 9:                               |  |  |                          |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                |  |          |                            |                              |                           |                            |   |                       |                                |             |            |                    |                              |   |  |
| Outputs 5:                               |  |  |                          |  | <ul style="list-style-type: none"> <li>Administrative and financial viability</li> <li>Deepen democracy through a refined ward committee model</li> </ul> |  |          |                            |                              |                           |                            |   |                       |                                |             |            |                    |                              |   |  |
| Key Strategic Organizational Objectives: |  |  |                          |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver on its mandate</li> </ul>                                      |  |          |                            |                              |                           |                            |   |                       |                                |             |            |                    |                              |   |  |
| Project No.                              | Key performance Area                     | Strategic Objectives   | Project Name             | Project Description (major activities) | Location  | Key performance indicator                | Baseline | 2019/20 Annual Targets     | Reviewed 19/20 annual target | Quarter 3 Targets         | Reviewed Quarter 3 Targets | Quarter 3 Progress                      | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measure | Reasons for review/ variance | Means of verification                         |  |
|  |  | and Administrative structures  |                          |  |   |  |          |                            |                              |                           |                            |   |                       |                                |             |            |                    |                              |   |  |
| SEM SD-29                                | Good Governance and Public Participation | To provide strategic and administrative support to Council and Administrative structures | Committee Meetings       | Coordination of Committee Meetings     | CDM   | Number of Committee Meetings coordinated | 124      | 99 meetings coordinated    | Target not revised           | 25 meetings coordinated   | Target not revised         | <b>Achieved</b> 34 meetings coordinated | OPEX                  | OPEX                           | OPEX        | None       | None               | None                         | Correspondence /Attendance Registers/ Minutes |  |
| SEM SD-30                                | Good Governance and Public               | To provide strategic and adminis   | Mandatory Reports of the | Compilation of Mandatory               | CDM   | Number of Mandatory report               | 4        | 4 Mandatory reports of the | Target not revised           | 1 Mandatory report of the | Target not revised         | <b>Achieved</b> 1 Mandatory report      | OPEX                  | OPEX                           | OPEX        | None       | None               | None                         | Mandatory reports                             |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |  |   |  |  | Strategic Executive Management Services –Vote 1   |  |   |   |                              |   |                            |   |                       |                                |             |            |                    |                              |  |  |
|--|--|---|--|--|---|--|---|---|------------------------------|---|----------------------------|---|-----------------------|--------------------------------|-------------|------------|--------------------|------------------------------|--|--|
| Outcome 9:                               |  |   |  |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                |  |   |   |                              |   |                            |   |                       |                                |             |            |                    |                              |  |  |
| Outputs 5:                               |  |   |  |  | <ul style="list-style-type: none"> <li>Administrative and financial viability</li> <li>Deepen democracy through a refined ward committee model</li> </ul> |  |   |   |                              |   |                            |   |                       |                                |             |            |                    |                              |  |  |
| Key Strategic Organizational Objectives: |  |   |  |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver on its mandate</li> </ul>                                      |  |   |   |                              |   |                            |   |                       |                                |             |            |                    |                              |  |  |
| Project No.                              | Key performance Area                     | Strategic Objectives  | Project Name                                 | Project Description (major activities)                       | Location  | Key performance indicator  | Baseline  | 2019/20 Annual Targets                                      | Reviewed 19/20 annual target | Quarter 3 Targets   | Reviewed Quarter 3 Targets | Quarter 3 Progress  | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measure | Reasons for review/ variance | Means of verification                        |  |
|  | Participation                            | trative support to Council and Administrative structures                                  | Speaker                                      | Reports of the Speaker                                       |   | s of the speaker submitted to Council                              | orts of the speaker submitted to Council        | speaker submitted to Council                                |                              | speaker submitted to Council                                |                            | of the speaker submitted to Council   |                       |                                |             |            |                    |                              |  |  |
| SEM SD-31                                | Good Governance and Public Participation | To provide strategic and administrative support to Council and Administrative structures. | Management and Executive Management meetings | Coordination of Management and Executive Management meetings | CDM   | Number of management and Executive Management meetings coordinated | 51 management and Executive Management meetings | 49 management and Executive Management meetings coordinated | Target not revised           | 12 management and Executive Management meetings coordinated | Target not revised         | <b>Achieved</b> 12 management and Executive Management meetings coordinated | OPEX                  | OPEX                           | OPEX        | None       | None               | None                         | Correspondence /Minutes/Attendance Registers |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |  |   |                     |  | Strategic Executive Management Services –Vote 1   |                                   |                            |                            |                              |                           |                            |  |                       |                                |             |            |                    |                              |  |  |
|--|--|---|---------------------|--|---|-----------------------------------|----------------------------|----------------------------|------------------------------|---------------------------|----------------------------|--|-----------------------|--------------------------------|-------------|------------|--------------------|------------------------------|--|--|
| Outcome 9:                               |  |   |                     |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                |                                   |                            |                            |                              |                           |                            |  |                       |                                |             |            |                    |                              |  |  |
| Outputs 5:                               |  |   |                     |  | <ul style="list-style-type: none"> <li>Administrative and financial viability</li> <li>Deepen democracy through a refined ward committee model</li> </ul> |                                   |                            |                            |                              |                           |                            |  |                       |                                |             |            |                    |                              |  |  |
| Key Strategic Organizational Objectives: |  |   |                     |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver on its mandate</li> </ul>                                      |                                   |                            |                            |                              |                           |                            |  |                       |                                |             |            |                    |                              |  |  |
| Project No.                              | Key performance Area                     | Strategic Objectives  | Project Name        | Project Description (major activities) | Location  | Key performance indicator         | Baseline                   | 2019/20 Annual Targets     | Reviewed 19/20 annual target | Quarter 3 Targets         | Reviewed Quarter 3 Targets | Quarter 3 Progress                         | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measure | Reasons for review/ variance | Means of verification  |  |
|  |  |   |                     |  |   |                                   | coordinated                |                            |                              |                           |                            |  |                       |                                |             |            |                    |                              |  |  |
| SEM SD-32                                | Good Governance and Public Participation | To build accountable and transparent governance structures responsive to the needs of the community | Project Site visits | Coordination of Committees Site visits | CDM   | Number of Site Visits coordinated | 45 Site Visits coordinated | 30 Site Visits coordinated | Target not revised           | 8 Site Visits coordinated | Target not revised         | <b>Achieved</b> 13 Site Visits coordinated | 40 000                | Budget not revised             | 16 996.41   | None       | None               | None                         | Correspondence /Attendance Registers/Programmes /Site Visit Report |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |  |   |                             |  | Strategic Executive Management Services –Vote 1   |  |                                     |  |                              |   |                            |   |                       |                                |             |  |                    |                              |   |
|--|--|---|-----------------------------|--|---|--|-------------------------------------|--|------------------------------|---|----------------------------|---|-----------------------|--------------------------------|-------------|--|--------------------|------------------------------|---|
| Outcome 9:                               |  |   |                             |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                |  |                                     |  |                              |   |                            |   |                       |                                |             |  |                    |                              |   |
| Outputs 5:                               |  |   |                             |  | <ul style="list-style-type: none"> <li>Administrative and financial viability</li> <li>Deepen democracy through a refined ward committee model</li> </ul> |  |                                     |  |                              |   |                            |   |                       |                                |             |  |                    |                              |   |
| Key Strategic Organizational Objectives: |  |   |                             |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver on its mandate</li> </ul>                                      |  |                                     |  |                              |   |                            |   |                       |                                |             |  |                    |                              |   |
| Project No.                              | Key performance Area                     | Strategic Objectives  | Project Name                | Project Description (major activities) | Location  | Key performance indicator              | Baseline                            | 2019/20 Annual Targets                             | Reviewed 19/20 annual target | Quarter 3 Targets                               | Reviewed Quarter 3 Targets | Quarter 3 Progress  | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges   | Corrective Measure | Reasons for review/ variance | Means of verification                           |
| SEM SD-33                                | Good Governance and Public Participation | To build accountable and transparent governance structures responsive to the needs of the community | Oversight programmes (MPAC) | Coordination of Public Hearings        | CDM   | Number of Public Hearings coordinated  | 06 Programmes coordinated           | 6 Public Hearings/Oversight Programmes Coordinated | Target not revised           | 2 Public Hearings/Oversight Program Coordinated | Target not revised         | <b>Achieved</b> 3 Public Hearings/Oversight Program Coordinated | 300 000               | Budget not revised             | 30 089.21   | None   | None               | None                         | Correspondence /Attendance Registers/R eports   |
| SEM SD-34                                | Good Governance and Public Participation | To build accountable and transparent governance   | Ethics programmes           | Coordination of Ethics programmes      | CDM   | Number of working sessions coordinated | 1 Ethics Committee working sessions | 1 working session coordinated                      | Target not revised           | 1 working session coordinated                   | Target not revised         | <b>Not Achieved</b> 1 working session not coordinated.          | 50 000                | Budget not revised             | 0.00        | <b>Declaration of State of Disaster and Lockdown</b> | None               | None                         | Correspondence /programmes/Attendance registers |



CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |  |  |  | Strategic Executive Management Services –Vote 1   |          |                                      |                  |   |                              |                                       |                            |  |                       |                                |             |            |                    |                              |  |  |
|--|--|--|--|---|----------|--------------------------------------|------------------|---|------------------------------|---------------------------------------|----------------------------|--|-----------------------|--------------------------------|-------------|------------|--------------------|------------------------------|--|--|
| Outcome 9:                               |  |  |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                |          |                                      |                  |   |                              |                                       |                            |  |                       |                                |             |            |                    |                              |  |  |
| Outputs 5:                               |  |  |  | <ul style="list-style-type: none"> <li>Administrative and financial viability</li> <li>Deepen democracy through a refined ward committee model</li> </ul> |          |                                      |                  |   |                              |                                       |                            |  |                       |                                |             |            |                    |                              |  |  |
| Key Strategic Organizational Objectives: |  |  |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver on its mandate</li> </ul>                                      |          |                                      |                  |   |                              |                                       |                            |  |                       |                                |             |            |                    |                              |  |  |
| Project No.                              | Key performance Area                     | Strategic Objectives   | Project Name   | Project Description (major activities)  | Location | Key performance indicator            | Baseline         | 2019/20 Annual Targets                  | Reviewed 19/20 annual target | Quarter 3 Targets                     | Reviewed Quarter 3 Targets | Quarter 3 Progress                                     | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measure | Reasons for review/ variance | Means of verification                                    |  |
|  |  | structures responsive to the needs of the community                            |  |   |          |                                      | sion coordinated |   |                              |                                       |                            |  |                       |                                |             |            |                    |                              |  |  |
| SEM SD-35                                | Good Governance and Public Participation | To engage in Programmes that foster participation, interaction and partnership | Public Participation programmes (Council Outreaches /Imbizo) | Coordination of Council Outreaches /Imbizo  | CDM      | Number of Council Outreaches /Imbizo | 4                | 4 Council Outreaches/imbizo coordinated | Target not revised           | 1 Council Outreach/imbizo coordinated | Target not revised         | <b>Achieved 1</b> Council Outreach/imbizo coordinated. | 521 000               | 1 040 950                      | 310 800.50  | None       | None               | Insufficient budget          | Correspondence /Attendance Registers/Programmes /Reports |  |
| SEM SD-36                                | Good Governance and Public               | To engage in Programmes  | Youth Parliament   | Coordination of Youth   | CDM      | Number of Youth Parliament           | 1                | 1 Youth Parliament coordinated          | Target not revised           | No target for the                     | Target not revised         | <b>Not Applicable</b>                                  | 100 000               | Budget not revised             | 0.00        | None       | None               | None                         | Correspondence /Attendance                               |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |  |  |                        |  | Strategic Executive Management Services –Vote 1   |  |                                |                                    |                              |                           |                            |                       |                       |                                |             |            |                    |                              |   |                        |
|--|--|--|------------------------|--|---|--|--------------------------------|------------------------------------|------------------------------|---------------------------|----------------------------|-----------------------|-----------------------|--------------------------------|-------------|------------|--------------------|------------------------------|---|------------------------|
| Outcome 9:                               |  |  |                        |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                |  |                                |                                    |                              |                           |                            |                       |                       |                                |             |            |                    |                              |   |                        |
| Outputs 5:                               |  |  |                        |  | <ul style="list-style-type: none"> <li>Administrative and financial viability</li> <li>Deepen democracy through a refined ward committee model</li> </ul> |  |                                |                                    |                              |                           |                            |                       |                       |                                |             |            |                    |                              |   |                        |
| Key Strategic Organizational Objectives: |  |  |                        |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver on its mandate</li> </ul>                                      |  |                                |                                    |                              |                           |                            |                       |                       |                                |             |            |                    |                              |   |                        |
| Project No.                              | Key performance Area                     | Strategic Objectives   | Project Name           | Project Description (major activities) | Location  | Key performance indicator              | Baseline                       | 2019/20 Annual Targets             | Reviewed 19/20 annual target | Quarter 3 Targets         | Reviewed Quarter 3 Targets | Quarter 3 Progress    | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measure | Reasons for review/ variance | Means of verification                             |                        |
|  | Participation                            | that foster participation, interaction and partnership                         |                        | Parliament                             |   | coordinated                            | ent coordinated                |                                    |                              | quarter                   |                            |                       |                       |                                |             |            |                    |                              |   | Registers/P programmes |
| SEM SD-37                                | Good Governance and Public Participation | To engage in Programmes that foster participation, interaction and partnership | Women Parliament       | Coordination Women Parliament          | CDM   | Number of Women Parliament coordinated | 1 Women Parliament coordinated | 1 Women Parliament coordinated     | Target not revised           | No target for the quarter | Target not revised         | <b>Not Applicable</b> | 85 000                | Budget not revised             | 84 941      | None       | None               | None                         | Correspondence /Attendance Registers/P programmes |                        |
| SEM SD-38                                | Good Governance and Public Participation | To engage in Programmes that   | Ward Committee Support | Strengthen capacity of ward            | CDM   | Number of capacity building            | 1 Ward Committee               | 1 Ward Committee Capacity Building | Target not revised           | No target for the quarter | Target not revised         | <b>Not Applicable</b> | 400 000               | 431 050                        | 430 848     | None       | None               | Insufficient budget          | Correspondence /Attendance Registers/P programmes |                        |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |  |  |                               |   | Strategic Executive Management Services –Vote 1   |   |   |   |                              |                           |                            |                       |                       |                                |             |            |                    |   |  |  |
|--|--|--|-------------------------------|---|---|---|---|---|------------------------------|---------------------------|----------------------------|-----------------------|-----------------------|--------------------------------|-------------|------------|--------------------|---|--|--|
| Outcome 9:                               |  |  |                               |   | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                |   |   |   |                              |                           |                            |                       |                       |                                |             |            |                    |   |  |  |
| Outputs 5:                               |  |  |                               |   | <ul style="list-style-type: none"> <li>Administrative and financial viability</li> <li>Deepen democracy through a refined ward committee model</li> </ul> |   |   |   |                              |                           |                            |                       |                       |                                |             |            |                    |   |  |  |
| Key Strategic Organizational Objectives: |  |  |                               |   | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver on its mandate</li> </ul>                                      |   |   |   |                              |                           |                            |                       |                       |                                |             |            |                    |   |  |  |
| Project No.                              | Key performance Area                     | Strategic Objectives   | Project Name                  | Project Description (major activities)        | Location  | Key performance indicator                           | Baseline                                    | 2019/20 Annual Targets                      | Reviewed 19/20 annual target | Quarter 3 Targets         | Reviewed Quarter 3 Targets | Quarter 3 Progress    | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measure | Reasons for review/ variance                    | Means of verification                            |  |
|  | icipation                                | foster participation, interaction and partnership                              |                               | committees                                    |   | programmes coordinated                              | Capacity Building Programme coordinated     |   |                              |                           |                            |                       |                       |                                |             |            |                    |   |  |  |
| SEM SD-39                                | Good Governance and Public Participation | To engage in Programmes that foster participation, interaction and partnership | State of the District Address | Coordination of State of the District Address | CDM   | Number of State of the District Address coordinated | 1 State of the District Address coordinated | 1 State of the District Address coordinated | Target not revised           | No target for the quarter | Target not revised         | <b>Not Applicable</b> | 700 000               | 550 000                        |             | None       | None               | Budget reduced to assist with critical projects | Correspondence /Programmes/ Attendance Registers |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |  |  |                               |   | Strategic Executive Management Services –Vote 1   |   |   |   |                              |   |                            |   |                       |                                |             |            |                    |   |   |
|--|--|--|-------------------------------|---|---|---|---|---|------------------------------|---|----------------------------|---|-----------------------|--------------------------------|-------------|------------|--------------------|---|---|
| Outcome 9:                               |  |  |                               |   | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                |   |   |   |                              |   |                            |   |                       |                                |             |            |                    |   |   |
| Outputs 5:                               |  |  |                               |   | <ul style="list-style-type: none"> <li>Administrative and financial viability</li> <li>Deepen democracy through a refined ward committee model</li> </ul> |   |   |   |                              |   |                            |   |                       |                                |             |            |                    |   |   |
| Key Strategic Organizational Objectives: |  |  |                               |   | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver on its mandate</li> </ul>                                      |   |   |   |                              |   |                            |   |                       |                                |             |            |                    |   |   |
| Project No.                              | Key performance Area                     | Strategic Objectives   | Project Name                  | Project Description (major activities)        | Location  | Key performance indicator                           | Baseline                                  | 2019/20 Annual Targets                      | Reviewed 19/20 annual target | Quarter 3 Targets                           | Reviewed Quarter 3 Targets | Quarter 3 Progress  | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measure | Reasons for review/ variance                    | Means of verification                                       |
| SEM SD-40                                | Good Governance and Public Participation | To engage in Programmes that foster participation, interaction and partnership | Mayoral outreach programme    | Coordination Mayoral outreach programmes      | CDM   | Number of Mayoral outreach programmes coordinated   | 4 Mayoral outreach programmes coordinated | 4 Mayoral outreach programmes coordinated   | Target not revised           | 1 Mayoral outreach programmes coordinated   | Target not revised         | <b>Achieved 2</b> Mayoral outreach programmes coordinated   | 535 000               | 385 000                        |             | None       | None               | Budget reduced to assist with critical projects | Correspondence /Programmes/Attendance Registers             |
| SEM SD-41                                | Good Governance and Public Participation | To engage in Programmes that foster participation, interaction and partnership | Educational support programme | Coordination of educational support programme | CDM   | Number of educational support programme coordinated | 1 back to school campaign coordinated     | 2 educational support programme coordinated | Target not revised           | 1 educational support programme coordinated | Target not revised         | <b>Achieved 1</b> educational support programme coordinated | 185 000               | Budget not revised             |             | None       | None               | None  | Correspondence /Programmes/invitations/ Attendance register |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |  |   |  |  | Strategic Executive Management Services –Vote 1   |   |  |  |                              |                             |                            |   |                       |                                |             |            |                    |                              |   |
|--|--|---|--|--|---|---|--|--|------------------------------|-----------------------------|----------------------------|---|-----------------------|--------------------------------|-------------|------------|--------------------|------------------------------|---|
| Outcome 9:                               |  |   |  |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                |   |  |  |                              |                             |                            |   |                       |                                |             |            |                    |                              |   |
| Outputs 5:                               |  |   |  |  | <ul style="list-style-type: none"> <li>Administrative and financial viability</li> <li>Deepen democracy through a refined ward committee model</li> </ul> |   |  |  |                              |                             |                            |   |                       |                                |             |            |                    |                              |   |
| Key Strategic Organizational Objectives: |  |   |  |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver on its mandate</li> </ul>                                      |   |  |  |                              |                             |                            |   |                       |                                |             |            |                    |                              |   |
| Project No.                              | Key performance Area                         | Strategic Objectives  | Project Name                                   | Project Description (major activities)                     | Location  | Key performance indicator   | Baseline                                       | 2019/20 Annual Targets                                 | Reviewed 19/20 annual target | Quarter 3 Targets           | Reviewed Quarter 3 Targets | Quarter 3 Progress                          | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measure | Reasons for review/ variance | Means of verification                                       |
| SEM SD-42                                | Good Governance and Public Participation     | To promote and facilitate effective intergovernmental relations | Support to traditional authority/Magoshi forum | Coordination of support programme to traditional authority | CDM   | Number of traditional authority support programme/forum coordinated | New indicator                                  | 4 Magoshi Forums coordinated                           | Target not revised           | 1 Magoshi Forum coordinated | Target not revised         | <b>Achieved</b> 1 Magoshi Forum coordinated | 150 000               | Budget not revised             |             | None       | None               | None                         | Correspondence /Programmes/invitations/ Attendance register |
| FD-02                                    | Municipal Financial Viability and Management | To prepare a and submit credible financial information          | Financial reporting                            | Budget Treasury  | CDM   | Number of Unqualified audit opinion                                 | 1 Unqualified audit opinion (without material) | 1 Unqualified audit opinion (without material matters) | Target not revised           | No target for the quarter   | Target not revised         | <b>Not Applicable</b>                       | OPEX                  | OPEX                           | OPEX        | None       | None               | None                         | Unqualified audit opinion report                            |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |  |   |                   |  | Strategic Executive Management Services –Vote 1   |  |  |  |                              |                           |                            |                       |                       |                                |             |            |                    |                              |                            |  |
|--|--|---|-------------------|--|---|--|--|--|------------------------------|---------------------------|----------------------------|-----------------------|-----------------------|--------------------------------|-------------|------------|--------------------|------------------------------|----------------------------|--|
| Outcome 9:                               |  |   |                   |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                |  |  |  |                              |                           |                            |                       |                       |                                |             |            |                    |                              |                            |  |
| Outputs 5:                               |  |   |                   |  | <ul style="list-style-type: none"> <li>Administrative and financial viability</li> <li>Deepen democracy through a refined ward committee model</li> </ul> |  |  |  |                              |                           |                            |                       |                       |                                |             |            |                    |                              |                            |  |
| Key Strategic Organizational Objectives: |  |   |                   |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver on its mandate</li> </ul>                                      |  |  |  |                              |                           |                            |                       |                       |                                |             |            |                    |                              |                            |  |
| Project No.                              | Key performance Area                         | Strategic Objectives  | Project Name      | Project Description (major activities)     | Location  | Key performance indicator                                      | Baseline   | 2019/20 Annual Targets                                 | Reviewed 19/20 annual target | Quarter 3 Targets         | Reviewed Quarter 3 Targets | Quarter 3 Progress    | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measure | Reasons for review/ variance | Means of verification      |  |
|  |  |   |                   |  |   |  | matters)   |  |                              |                           |                            |                       |                       |                                |             |            |                    |                              |                            |  |
| FD-06                                    | Municipal Financial Viability and Management | To ensure that the resources required to fulfil the needs identified in the strategic plan of the institution are efficient and effective (at the correct | Demand management | Develop and implement the procurement plan | CDM   | Number of municipal procurement plan developed and implemented | 1 municipal procurement plan developed and implemented | 1 municipal procurement plan developed and implemented | Target not revised           | No target for the quarter | Target not revised         | <b>Not Applicable</b> | OPEX                  | OPEX                           | OPEX        | None       | None               | None                         | municipal procurement plan |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                      |   |                        |  | Strategic Executive Management Services –Vote 1   |  |  |   |                              |   |                            |   |                       |                                |             |            |                    |                              |  |  |
|--|----------------------|---|------------------------|--|---|--|--|---|------------------------------|---|----------------------------|---|-----------------------|--------------------------------|-------------|------------|--------------------|------------------------------|--|--|
| Outcome 9:                               |                      |   |                        |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                |  |  |   |                              |   |                            |   |                       |                                |             |            |                    |                              |  |  |
| Outputs 5:                               |                      |   |                        |  | <ul style="list-style-type: none"> <li>Administrative and financial viability</li> <li>Deepen democracy through a refined ward committee model</li> </ul> |  |  |   |                              |   |                            |   |                       |                                |             |            |                    |                              |  |  |
| Key Strategic Organizational Objectives: |                      |   |                        |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver on its mandate</li> </ul>                                      |  |  |   |                              |   |                            |   |                       |                                |             |            |                    |                              |  |  |
| Project No.                              | Key performance Area | Strategic Objectives  | Project Name           | Project Description (major activities) | Location  | Key performance indicator  | Baseline   | 2019/20 Annual Targets  | Reviewed 19/20 annual target | Quarter 3 Targets   | Reviewed Quarter 3 Targets | Quarter 3 Progress  | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measure | Reasons for review/ variance | Means of verification                        |  |
|  |                      | time, price and place and that the quantity and quality will satisfy those needs) |                        |  |   |  |  |   |                              |   |                            |   |                       |                                |             |            |                    |                              |  |  |
| FD-07                                    | Financial Viability  | Municipal Financial Viability and Management                                      | Acquisition management | Compliance to the SCM regulations      | CDM   | Percentage of compliance to the SCM regulations that result in R nil irregular | 100 percent of compliance to the SCM regulations | 100 percent of compliance to the SCM regulations that result in R nil irregular | Target not revised           | 100 percent of compliance to the SCM regulations that result in R nil irregular | Target not revised         | <b>Achieved</b> 100 percent of compliance to the SCM regulations that result in R nil irregular | OPEX                  | OPEX                           | OPEX        | None       | None               | None                         | Zero irregular expenditure /Payment Vouchers |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| <b>Business Unit</b>                            |                      |                      |              |  | <b>Strategic Executive Management Services –Vote 1</b>  |                           |  |                        |                              |                   |                            |                    |                       |                                |             |            |                    |                              |                       |  |
|---|----------------------|----------------------|--------------|--|---|---------------------------|--|------------------------|------------------------------|-------------------|----------------------------|--------------------|-----------------------|--------------------------------|-------------|------------|--------------------|------------------------------|-----------------------|--|
| <b>Outcome 9:</b>                               |                      |                      |              |  | <ul style="list-style-type: none"> <li>• Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                  |                           |  |                        |                              |                   |                            |                    |                       |                                |             |            |                    |                              |                       |  |
| <b>Outputs 5:</b>                               |                      |                      |              |  | <ul style="list-style-type: none"> <li>• Administrative and financial viability</li> <li>• Deepen democracy through a refined ward committee model</li> </ul> |                           |  |                        |                              |                   |                            |                    |                       |                                |             |            |                    |                              |                       |  |
| <b>Key Strategic Organizational Objectives:</b> |                      |                      |              |  | <ul style="list-style-type: none"> <li>• To increase the capacity of the district to deliver on its mandate</li> </ul>  |                           |  |                        |                              |                   |                            |                    |                       |                                |             |            |                    |                              |                       |  |
| Project No.                                     | Key performance Area | Strategic Objectives | Project Name | Project Description (major activities) | Location  | Key performance indicator | Baseline                                   | 2019/20 Annual Targets | Reviewed 19/20 annual target | Quarter 3 Targets | Reviewed Quarter 3 Targets | Quarter 3 Progress | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measure | Reasons for review/ variance | Means of verification |  |
|   |                      |                      |              |  |   | lar expenditure           | that result in R nil irregular expenditure | expenditure            |                              | lar expenditure   |                            | r expenditure      |                       |                                |             |            |                    |                              |                       |  |



### 1. 2 INFRASTRUCTURE DEPARTMENT- VOTE 2

| Business Unit                            |                      |  |                          | Infrastructure Department -Vote 2  |          |  |   |  |                        |   |                            |   |                       |                                |             |            |                     |                             |  |
|--|----------------------|--|--------------------------|--|----------|--|---|--|------------------------|---|----------------------------|---|-----------------------|--------------------------------|-------------|------------|---------------------|-----------------------------|--|
| Outcome 9:                               |                      |  |                          | Responsive, Accountable, Effective and Efficient Local Government System |          |  |   |  |                        |   |                            |   |                       |                                |             |            |                     |                             |  |
| Outputs:                                 |                      |  |                          | Improving access to basic services                                       |          |  |   |  |                        |   |                            |   |                       |                                |             |            |                     |                             |  |
| Key Strategic Organizational Objectives: |                      |  |                          | To provide sustainable basic services and infrastructure development     |          |  |   |  |                        |   |                            |   |                       |                                |             |            |                     |                             |  |
| Project Number                           | Key performance Area | Strategic Objectives   | Project Name             | Project Description  | Location | Key Performance Indicator              | Baseline                                | 2019 /20 Annual Targets                      | Reviewed Annual target | Quarter 3 Targets                           | Reviewed Quarter 3 Targets | Quarter 3 Progress  | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for review/ variance | Means of verification                        |
| INFR-01                                  | Basic Services       | To ensure tools are available for the operation and maintenance of water and wastewater infrastructure | Procurement of O&M Tools | Procurement of O&M Tools   | CD M     | Percentage of requested tools procured | 100 percent of requested tools procured | 100 percent of requested O&M tools procured. | Target not revised     | 100 percent of requested O&M tools procured | Target not revised         | <b>Achieved</b> 100 percent of requested O&M tools procured | 220 000               | Budget not revised             | 190 849.00  | None       | None                | None                        | Material requisition/order and delivery note |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                      |   |   | Infrastructure Department -Vote 2   |          |  |   |  |                        |  |                            |  |                       |                                |               |            |                     |                             |  |
|--|----------------------|---|---|---|----------|--|---|--|------------------------|--|----------------------------|--|-----------------------|--------------------------------|---------------|------------|---------------------|-----------------------------|--|
| Outcome 9:                               |                      |   |   | Responsive, Accountable, Effective and Efficient Local Government System                  |          |  |   |  |                        |  |                            |  |                       |                                |               |            |                     |                             |  |
| Outputs:                                 |                      |   |   | Improving access to basic services  |          |  |   |  |                        |  |                            |  |                       |                                |               |            |                     |                             |  |
| Key Strategic Organizational Objectives: |                      |   |   | To provide sustainable basic services and infrastructure development                      |          |  |   |  |                        |  |                            |  |                       |                                |               |            |                     |                             |  |
| Project Number                           | Key performance Area | Strategic Objectives  | Project Name  | Project Description   | Location | Key Performance Indicator                  | Baseline  | 2019/20 Annual Targets   | Reviewed Annual target | Quarter 3 Targets  | Reviewed Quarter 3 Targets | Quarter 3 Progress   | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure   | Challenges | Corrective Measures | Reason for review/w/ariance | Means of verification                          |
| INFR-05                                  | Basic Services       | To ensure the community receives basic water services by attending to all reported breakdowns | Water infrastructure Repairs and Maintenance (term contractors) | Replacement of pipeline, flow meters, major repairs of water equipment and infrastructure | CDM      | Percentage of reported breakdowns attended | 100% of reported breakdowns attended through the services of Maintenance Term Contractors | 80% of reported breakdowns attended through the services of Maintenance Term Contractors | Target not revised     | 80% of reported breakdowns attended through the services of Maintenance Term Contractors | Target not revised         | Achieved 100% of reported breakdowns attended through the services of Maintenance of Ter | 20 685 000            | 38 18 500.00                   | 31 775 991.00 | None       | None                | Insufficient Budget         | Work Orders issued for repairs and maintenance |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                      |   |                              | Infrastructure Department -Vote 2  |          |  |   |                                       |                        |                                       |                            |   |                       |                                |              |            |                     |                            |  |
|--|----------------------|---|------------------------------|--|----------|--|---|---------------------------------------|------------------------|---------------------------------------|----------------------------|---|-----------------------|--------------------------------|--------------|------------|---------------------|----------------------------|--|
| Outcome 9:                               |                      |   |                              | Responsive, Accountable, Effective and Efficient Local Government System |          |  |   |                                       |                        |                                       |                            |   |                       |                                |              |            |                     |                            |  |
| Outputs:                                 |                      |   |                              | Improving access to basic services                                       |          |  |   |                                       |                        |                                       |                            |   |                       |                                |              |            |                     |                            |  |
| Key Strategic Organizational Objectives: |                      |   |                              | To provide sustainable basic services and infrastructure development     |          |  |   |                                       |                        |                                       |                            |   |                       |                                |              |            |                     |                            |  |
| Project Number                           | Key performance Area | Strategic Objectives  | Project Name                 | Project Description  | Location | Key Performance Indicator                      | Baseline                                | 2019 /20 Annual Targets               | Reviewed Annual target | Quarter 3 Targets                     | Reviewed Quarter 3 Targets | Quarter 3 Progress                                      | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure  | Challenges | Corrective Measures | Reason for review/variance | Means of verification                  |
|  |                      |   |                              |  |          |  |   |                                       |                        |                                       |                            | m<br>Contractors  |                       |                                |              |            |                     |                            |  |
| INFR-06                                  | Basic Services       | To ensure that areas experiencing breakdown continue to receive basic water services and sustainable water supply | Procurement of O&M Material. | Procurement of O&M Material.   | CD M     | Percentage of requested O&M material procured. | 100 % of required O&M material procured | 70% of required O&M material procured | Target not revised     | 70% of required O&M material procured | Target not revised         | <b>Achieved</b> 100 % of required O&M material procured | 4 000 000             | 5 500 000                      | 5 225 558.00 | None       | None                | Insufficient Budget        | Work orders issued for material supply |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                      |   |   | Infrastructure Department -Vote 2  |                            |  |  |  |                        |  |                            |   |                       |                                |             |            |                     |                               |   |
|--|----------------------|---|---|--|----------------------------|--|--|--|------------------------|--|----------------------------|---|-----------------------|--------------------------------|-------------|------------|---------------------|-------------------------------|---|
| Outcome 9:                               |                      |   |   | Responsive, Accountable, Effective and Efficient Local Government System |                            |  |  |  |                        |  |                            |   |                       |                                |             |            |                     |                               |   |
| Outputs:                                 |                      |   |   | Improving access to basic services                                       |                            |  |  |  |                        |  |                            |   |                       |                                |             |            |                     |                               |   |
| Key Strategic Organizational Objectives: |                      |   |   | To provide sustainable basic services and infrastructure development     |                            |  |  |  |                        |  |                            |   |                       |                                |             |            |                     |                               |   |
| Project Number                           | Key performance Area | Strategic Objectives  | Project Name  | Project Description  | Location                   | Key Performance Indicator  | Baseline   | 2019/20 Annual Targets   | Reviewed Annual target | Quarter 3 Targets  | Reviewed Quarter 3 Targets | Quarter 3 Progress  | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for review/w/ variance | Means of verification   |
| INFR-07                                  | Basic Services       | To achieve 95% compliance of drinking water supply systems to Blue drop and 70% compliance of wastewater treatment works effluent to Green Drop Assessment requirement by 2021 population by 2030 | Procurement of Water Quality Laboratory Equipment /Instruments. | Supply, delivery & installation of Water Analysis Instruments            | CD M/University of Limpopo | Percentage of all required water quality laboratory instruments/ equipment procured. | 100 percent of all required water quality laboratory instruments/ equipment procured | 95 percent of all required water quality laboratory instruments/ equipment procured. | Target not revised     | 30 percent of all required water quality laboratory instruments/ equipment procured. | Target not revised         | Achieved 100 percent of all required water quality laboratory instruments/ equipment proc | 700 000               | 73 10 00. 00                   | 692 375. 51 | None       | None                | Insufficient Budget           | Approved TOR Appointment of Service provider Progress reports |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                      |                      |   | Infrastructure Department -Vote 2  |          |  |                                     |   |                        |   |                            |  |                       |                                |             |            |                     |                            |   |  |
|--|----------------------|----------------------|---|--|----------|--|-------------------------------------|---|------------------------|---|----------------------------|--|-----------------------|--------------------------------|-------------|------------|---------------------|----------------------------|---|--|
| Outcome 9:                               |                      |                      |   | Responsive, Accountable, Effective and Efficient Local Government System |          |  |                                     |   |                        |   |                            |  |                       |                                |             |            |                     |                            |   |  |
| Outputs:                                 |                      |                      |   | Improving access to basic services                                       |          |  |                                     |   |                        |   |                            |  |                       |                                |             |            |                     |                            |   |  |
| Key Strategic Organizational Objectives: |                      |                      |   | To provide sustainable basic services and infrastructure development     |          |  |                                     |   |                        |   |                            |  |                       |                                |             |            |                     |                            |   |  |
| Project Number                           | Key performance Area | Strategic Objectives | Project Name                                      | Project Description  | Location | Key Performance Indicator  | Baseline                            | 2019/20 Annual Targets                      | Reviewed Annual target | Quarter 3 Targets   | Reviewed Quarter 3 Targets | Quarter 3 Progress   | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for review/variance | Means of verification                                   |  |
|  |                      |                      |   |  |          |  |                                     |   |                        |   |                            | ured   |                       |                                |             |            |                     |                            |   |  |
| INFR-08                                  | Basic Services       |                      | Implementation of water safety and security plans | Implementation of water safety & security Plans recommendations          | CDM      | Percentage of interventions on the Water Safety & Security Plans recommendations | 4 reservoirs cleaned and 17 ladders | 50 percent of interventions on water safety | Target not revised     | 10 percent of interventions on water safety plans recommendations | Target not revised         | <b>Achieved</b> 35 percent of intervention on of water safety plan | 525 000               | 53 900.00                      | 411 648.00  | None       | None                | Insufficient Budget        | Water safety plans report/delivery note/Purchase orders |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                      |   |                                       | Infrastructure Department -Vote 2  |                  |   |   |   |                        |   |                            |   |                       |                                |             |            |                     |                            |                             |  |
|--|----------------------|---|---------------------------------------|--|------------------|---|---|---|------------------------|---|----------------------------|---|-----------------------|--------------------------------|-------------|------------|---------------------|----------------------------|-----------------------------|--|
| Outcome 9:                               |                      |   |                                       | Responsive, Accountable, Effective and Efficient Local Government System |                  |   |   |   |                        |   |                            |   |                       |                                |             |            |                     |                            |                             |  |
| Outputs:                                 |                      |   |                                       | Improving access to basic services                                       |                  |   |   |   |                        |   |                            |   |                       |                                |             |            |                     |                            |                             |  |
| Key Strategic Organizational Objectives: |                      |   |                                       | To provide sustainable basic services and infrastructure development     |                  |   |   |   |                        |   |                            |   |                       |                                |             |            |                     |                            |                             |  |
| Project Number                           | Key performance Area | Strategic Objectives  | Project Name                          | Project Description  | Location         | Key Performance Indicator                       | Baseline                                      | 2019/20 Annual Targets                        | Reviewed Annual target | Quarter 3 Targets                             | Reviewed Quarter 3 Targets | Quarter 3 Progress                              | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for review/variance | Means of verification       |  |
|  |                      |   |                                       |  |                  | mme ndations completed                          | repla   | y plans reco mme ndations completed           |                        | Com plete d                                   |                            | s reco mm end atio ns Com plete                 |                       |                                |             |            |                     |                            |                             |  |
| INFR-09                                  | Basic Services       | To achieve 95% compliance of drinking water supply systems to Blue drop and 70% compliance of | Water Quality monitoring and sampling | Collection of water and wastewater samples through                       | CD M (all LM's ) | Number of chemicals and microbiological samples | 961 chemicals and 997 microbiological samples | 600 chemicals and 800 microbiological samples | Target not revised     | 150 chemicals and 200 microbiological samples | Target not revised         | <b>Achieved</b> 353 chemicals and 308 microbiol | 400 000               | 10 10 00. 00                   | 0           | None       | None                | Insufficient Budget        | Sample reception log sheets |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                      |   |                                       | Infrastructure Department -Vote 2  |                |   |  |   |                        |  |                            |   |                       |                                |             |            |                     |                            |   |  |
|--|----------------------|---|---------------------------------------|--|----------------|---|--|---|------------------------|--|----------------------------|---|-----------------------|--------------------------------|-------------|------------|---------------------|----------------------------|---|--|
| Outcome 9:                               |                      |   |                                       | Responsive, Accountable, Effective and Efficient Local Government System |                |   |  |   |                        |  |                            |   |                       |                                |             |            |                     |                            |   |  |
| Outputs:                                 |                      |   |                                       | Improving access to basic services                                       |                |   |  |   |                        |  |                            |   |                       |                                |             |            |                     |                            |   |  |
| Key Strategic Organizational Objectives: |                      |   |                                       | To provide sustainable basic services and infrastructure development     |                |   |  |   |                        |  |                            |   |                       |                                |             |            |                     |                            |   |  |
| Project Number                           | Key performance Area | Strategic Objectives  | Project Name                          | Project Description  | Location       | Key Performance Indicator                 | Baseline                                   | 2019/20 Annual Targets                      | Reviewed Annual target | Quarter 3 Targets                          | Reviewed Quarter 3 Targets | Quarter 3 Progress  | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for review/variance | Means of verification   |  |
|  |                      | wastewater treatment works effluent to Green Drop Assessment requirement by 2021 population by 2030 |                                       | throughout the district  |                | collected                                 | collected                                  | collected                                   |                        | collected                                  |                            | logical samples collected                                   |                       |                                |             |            |                     |                            |   |  |
| INFR-10                                  | Basic Services       |   | Procurement of Disinfection chemicals | Procurement of Disinfection chemicals                                    | CDM (all LM's) | Number of Disinfection chemicals procured | 2000 Kg of disinfection chemicals procured | 2 500 Kg of disinfection chemicals procured | Target not revised     | 1250 Kg of disinfection chemicals procured | Target not revised         | <b>Achieved</b> 2 500 Kg of disinfection chemicals procured | 210 000               | 37 400.00                      | 332 230.00  | None       | None                | Insufficient Budget        | Approved terms TOR Appointment letter Delivery note and Invoice |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                      |                      |  | Infrastructure Department -Vote 2  |                            |   |  |  |                        |  |                            |  |                       |                                |             |            |                     |                            |  |
|--|----------------------|----------------------|--|--|----------------------------|---|--|--|------------------------|--|----------------------------|--|-----------------------|--------------------------------|-------------|------------|---------------------|----------------------------|--|
| Outcome 9:                               |                      |                      |  | Responsive, Accountable, Effective and Efficient Local Government System   |                            |   |  |  |                        |  |                            |  |                       |                                |             |            |                     |                            |  |
| Outputs:                                 |                      |                      |  | Improving access to basic services   |                            |   |  |  |                        |  |                            |  |                       |                                |             |            |                     |                            |  |
| Key Strategic Organizational Objectives: |                      |                      |  | To provide sustainable basic services and infrastructure development       |                            |   |  |  |                        |  |                            |  |                       |                                |             |            |                     |                            |  |
| Project Number                           | Key performance Area | Strategic Objectives | Project Name                                     | Project Description  | Location                   | Key Performance Indicator   | Baseline   | 2019/20 Annual Targets   | Reviewed Annual target | Quarter 3 Targets  | Reviewed Quarter 3 Targets | Quarter 3 Progress   | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for review/variance | Means of verification                                |
| INFR-11                                  | Basic Services       |                      | Procurement of Water and Wastewater consumables. | Procurement of consumable reagents to enable functioning of the Laboratory | CD M/University of Limpopo | Percentage of all requested water and wastewater consumables procured | 100 percent of all requested water and wastewater consumables procured | 100 percent of all requested water and wastewater consumables procured | Target not revised     | 100 percent of all requested water and wastewater consumables procured | Target not revised         | <b>Achieved</b> 100 percent of all requested water and wastewater consumables procured | 615 000               | 675 000.00                     | 673 641.00  | None       | None                | Insufficient Budget        | Letter to request consumables/ Delivery note Invoice |



CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                      |                      |                    | Infrastructure Department -Vote 2  |                  |   |  |  |                        |                         |                            |   |                       |                                |             |            |                     |                            |  |
|--|----------------------|----------------------|--------------------|--|------------------|---|--|--|------------------------|-------------------------|----------------------------|---|-----------------------|--------------------------------|-------------|------------|---------------------|----------------------------|--|
| Outcome 9:                               |                      |                      |                    | Responsive, Accountable, Effective and Efficient Local Government System                   |                  |   |  |  |                        |                         |                            |   |                       |                                |             |            |                     |                            |  |
| Outputs:                                 |                      |                      |                    | Improving access to basic services   |                  |   |  |  |                        |                         |                            |   |                       |                                |             |            |                     |                            |  |
| Key Strategic Organizational Objectives: |                      |                      |                    | To provide sustainable basic services and infrastructure development                       |                  |   |  |  |                        |                         |                            |   |                       |                                |             |            |                     |                            |  |
| Project Number                           | Key performance Area | Strategic Objectives | Project Name       | Project Description  | Location         | Key Performance Indicator                                     | Baseline                                     | 2019 /20 Annual Targets                      | Reviewed Annual target | Quarter 3 Targets       | Reviewed Quarter 3 Targets | Quarter 3 Progress  | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for review/variance | Means of verification                                    |
| INFR-12                                  | Basic Services       |                      | Unit Process Audit | Assess the capacity and operational effectiveness of the Water Supply & Wastewater systems | CD M (All LM's ) | Number of Water Supply & Wastewater Systems Assessed/ audited | 3 Water Supply & Wastewater Systems Assessed | 3 Water Supply & Wastewater Systems Assessed | Target not revised     | 1 Water Supply Assessed | Target not revised         | Achieved 3 Water Supply & 2 wastewater Systems Assessed in the mid-year . | 365 000               | 43 600.00                      | 234 438.00  | None       | None                | Insufficient Budget        | Approved TOR<br>Appointment letter<br>Assessment reports |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                      |                      |   | Infrastructure Department -Vote 2  |                             |  |   |   |                        |   |                            |  |                       |                                |             |            |                     |                            |                             |  |
|--|----------------------|----------------------|---|--|-----------------------------|--|---|---|------------------------|---|----------------------------|--|-----------------------|--------------------------------|-------------|------------|---------------------|----------------------------|-----------------------------|--|
| Outcome 9:                               |                      |                      |   | Responsive, Accountable, Effective and Efficient Local Government System |                             |  |   |   |                        |   |                            |  |                       |                                |             |            |                     |                            |                             |  |
| Outputs:                                 |                      |                      |   | Improving access to basic services                                       |                             |  |   |   |                        |   |                            |  |                       |                                |             |            |                     |                            |                             |  |
| Key Strategic Organizational Objectives: |                      |                      |   | To provide sustainable basic services and infrastructure development     |                             |  |   |   |                        |   |                            |  |                       |                                |             |            |                     |                            |                             |  |
| Project Number                           | Key performance Area | Strategic Objectives | Project Name  | Project Description  | Location                    | Key Performance Indicator                          | Baseline  | 2019 /20 Annual Targets                             | Reviewed Annual target | Quarter 3 Targets                                   | Reviewed Quarter 3 Targets | Quarter 3 Progress                           | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for review/variance | Means of verification       |  |
| INFR-13                                  | Basic services       |                      | Maintenance of Water Quality Laboratory accreditation status. | Maintain accreditation status of the Water Quality                       | CD M/Unive rsity of Limpopo | Percentage participation on SANAS, NLA and SABS by | 100 percent participation on SANAS, NLA and SABS by | 100 percent participation on SANAS, NLA and SABS by | Target not revised     | 100 percent participation on SANAS, NLA and SABS by | Target not revised         | Achieved 100 percent participation on SANAS, | 400 000               | 46 500.00                      | 392 323.00  | None       | None                | Insufficient Budget        | SANAS, NLA and SABS reports |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                      |                      |  | Infrastructure Department -Vote 2  |             |  |                                    |                                    |                        |                                    |                            |   |                       |                                |             |            |                     |                            |                                |
|--|----------------------|----------------------|--|--|-------------|--|------------------------------------|------------------------------------|------------------------|------------------------------------|----------------------------|---|-----------------------|--------------------------------|-------------|------------|---------------------|----------------------------|--------------------------------|
| Outcome 9:                               |                      |                      |  | Responsive, Accountable, Effective and Efficient Local Government System |             |  |                                    |                                    |                        |                                    |                            |   |                       |                                |             |            |                     |                            |                                |
| Outputs:                                 |                      |                      |  | Improving access to basic services                                       |             |  |                                    |                                    |                        |                                    |                            |   |                       |                                |             |            |                     |                            |                                |
| Key Strategic Organizational Objectives: |                      |                      |  | To provide sustainable basic services and infrastructure development     |             |  |                                    |                                    |                        |                                    |                            |   |                       |                                |             |            |                     |                            |                                |
| Project Number                           | Key performance Area | Strategic Objectives | Project Name                                       | Project Description  | Location    | Key Performance Indicator              | Baseline                           | 2019/20 Annual Targets             | Reviewed Annual target | Quarter 3 Targets                  | Reviewed Quarter 3 Targets | Quarter 3 Progress                            | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for review/variance | Means of verification          |
|  |                      |                      |  | Laboratory   |             | the Water Quality Laboratory           | the Water Quality Laboratory       | the Water Quality Laboratory       |                        | the Water Quality Laboratory       |                            | NLA and SAB S by the Water Quality Laboratory |                       |                                |             |            |                     |                            |                                |
| INFR-14                                  | Basic Services       |                      | Implementation of Waste Water Risk Abatement Plans | Implementation of Wastewater Risk Assessment                             | CD M (LM's) | Percentage of completion on Green Drop | 50 percent completed on Green Drop | 50 percent completed on Green Drop | Target not revised     | 10 percent completed on Green Drop | Target not revised         | <b>Achieved</b> 33 percent completed on       | 300 000               | Budget not revised             | 255 510     | None       | None                | None                       | Green Drop intervention report |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                      |                      |   | Infrastructure Department -Vote 2  |            |  |                                      |                                      |                        |                                      |                            |                               |                       |                                |              |            |                     |                            |                                     |  |
|--|----------------------|----------------------|---|--|------------|--|--------------------------------------|--------------------------------------|------------------------|--------------------------------------|----------------------------|-------------------------------|-----------------------|--------------------------------|--------------|------------|---------------------|----------------------------|-------------------------------------|--|
| Outcome 9:                               |                      |                      |   | Responsive, Accountable, Effective and Efficient Local Government System |            |  |                                      |                                      |                        |                                      |                            |                               |                       |                                |              |            |                     |                            |                                     |  |
| Outputs:                                 |                      |                      |   | Improving access to basic services                                       |            |  |                                      |                                      |                        |                                      |                            |                               |                       |                                |              |            |                     |                            |                                     |  |
| Key Strategic Organizational Objectives: |                      |                      |   | To provide sustainable basic services and infrastructure development     |            |  |                                      |                                      |                        |                                      |                            |                               |                       |                                |              |            |                     |                            |                                     |  |
| Project Number                           | Key performance Area | Strategic Objectives | Project Name                              | Project Description  | Location   | Key Performance Indicator                | Baseline                             | 2019/20 Annual Targets               | Reviewed Annual target | Quarter 3 Targets                    | Reviewed Quarter 3 Targets | Quarter 3 Progress            | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure  | Challenges | Corrective Measures | Reason for review/variance | Means of verification               |  |
|  |                      |                      |   | ssment outcomes  |            | Interventions                            | Interventions                        | Interventions                        |                        | Interventions                        |                            | Green Drop Interventions      |                       |                                |              |            |                     |                            |                                     |  |
| INFR-15                                  | Basic Services       |                      | Operations of waste water treatment works | Operations of waste water treatment                                      | CDM (LM's) | Percentage of waste water treatment work | 100 percent of waste water treatment | 100 percent of waste water treatment | Target not revised     | 100 percent of waste water treatment | Target not revised         | Achieved 100 percent of waste | 3 200 000             | 3 245 000.00                   | 2 963 859.00 | None       | None                | Insufficient Budget        | Waste water treatment works reports |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                      |   |   | Infrastructure Department -Vote 2  |          |  |               |  |                        |                           |                            |                                |                       |                                |             |            |                     |                             |   |
|--|----------------------|---|---|--|----------|--|---------------|--|------------------------|---------------------------|----------------------------|--------------------------------|-----------------------|--------------------------------|-------------|------------|---------------------|-----------------------------|---|
| Outcome 9:                               |                      |   |   | Responsive, Accountable, Effective and Efficient Local Government System |          |  |               |  |                        |                           |                            |                                |                       |                                |             |            |                     |                             |   |
| Outputs:                                 |                      |   |   | Improving access to basic services                                       |          |  |               |  |                        |                           |                            |                                |                       |                                |             |            |                     |                             |   |
| Key Strategic Organizational Objectives: |                      |   |   | To provide sustainable basic services and infrastructure development     |          |  |               |  |                        |                           |                            |                                |                       |                                |             |            |                     |                             |   |
| Project Number                           | Key performance Area | Strategic Objectives  | Project Name  | Project Description  | Location | Key Performance Indicator                              | Baseline      | 2019/20 Annual Targets                                   | Reviewed Annual target | Quarter 3 Targets         | Reviewed Quarter 3 Targets | Quarter 3 Progress             | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for review/w/ariance | Means of verification                         |
|  |                      |   |   | works  |          | s operated   |               | works operated   |                        | works operated            |                            | water treatment works operated |                       |                                |             |            |                     |                             |   |
| INFR-16                                  | Basic Services       | To provide 100 percent of population access to basic sanitation by 2030 | Development of project Management Systems and Processes | Development of project Management Systems and Processes                  | CDM      | % of project management systems and processes document | New Indicator | 25% of the document compiled (ToRs Tender advertisement) | Target not revised     | No target for the quarter | Target not revised         | <b>Not Applicable</b>          | 4 348 000             | OP EX                          | OPE X       | None       | None                | None                        | Draft ToR/ ToR approved and tender advertised |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                      |  |  | Infrastructure Department -Vote 2  |          |                               |             |                             |                        |                            |                            |   |                       |                                |             |            |                     |                            |                           |
|--|----------------------|--|--|--|----------|-------------------------------|-------------|-----------------------------|------------------------|----------------------------|----------------------------|---|-----------------------|--------------------------------|-------------|------------|---------------------|----------------------------|---------------------------|
| Outcome 9:                               |                      |  |  | Responsive, Accountable, Effective and Efficient Local Government System |          |                               |             |                             |                        |                            |                            |   |                       |                                |             |            |                     |                            |                           |
| Outputs:                                 |                      |  |  | Improving access to basic services                                       |          |                               |             |                             |                        |                            |                            |   |                       |                                |             |            |                     |                            |                           |
| Key Strategic Organizational Objectives: |                      |  |  | To provide sustainable basic services and infrastructure development     |          |                               |             |                             |                        |                            |                            |   |                       |                                |             |            |                     |                            |                           |
| Project Number                           | Key performance Area | Strategic Objectives                     | Project Name   | Project Description  | Location | Key Performance Indicator     | Baseline    | 2019 /20 Annual Targets     | Reviewed Annual target | Quarter 3 Targets          | Reviewed Quarter 3 Targets | Quarter 3 Progress                            | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for review/variance | Means of verification     |
|  |                      |  |  |  |          | compiled                      |             |                             |                        |                            |                            |   |                       |                                |             |            |                     |                            |                           |
| INFR-17                                  | Basic services       | To ensure compliance on MIG Requirements | Management of the Municipal Infrastructure Programme | Establish and enforce project management standards                       | CDM      | Percentage of MIG expenditure | 100 percent | 100 percent MIG Expenditure | Target not revised     | 70 percent MIG Expenditure | Target not revised         | <b>Achieved</b> 91.08 percent MIG Expenditure | 226 440 000           | 23 078.00                      | 239 851 315 | None       | None                | Insufficient Budget        | Expenditure on MIG Report |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                      |   |                                       | Infrastructure Department -Vote 2  |                |  |                                       |                                       |                        |                                       |                            |   |                       |                                |              |            |                     |                            |  |
|--|----------------------|---|---------------------------------------|--|----------------|--|---------------------------------------|---------------------------------------|------------------------|---------------------------------------|----------------------------|---|-----------------------|--------------------------------|--------------|------------|---------------------|----------------------------|--|
| Outcome 9:                               |                      |   |                                       | Responsive, Accountable, Effective and Efficient Local Government System |                |  |                                       |                                       |                        |                                       |                            |   |                       |                                |              |            |                     |                            |  |
| Outputs:                                 |                      |   |                                       | Improving access to basic services                                       |                |  |                                       |                                       |                        |                                       |                            |   |                       |                                |              |            |                     |                            |  |
| Key Strategic Organizational Objectives: |                      |   |                                       | To provide sustainable basic services and infrastructure development     |                |  |                                       |                                       |                        |                                       |                            |   |                       |                                |              |            |                     |                            |  |
| Project Number                           | Key performance Area | Strategic Objectives  | Project Name                          | Project Description  | Location       | Key Performance Indicator                  | Baseline                              | 2019 /20 Annual Targets               | Reviewed Annual target | Quarter 3 Targets                     | Reviewed Quarter 3 Targets | Quarter 3 Progress                                    | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure  | Challenges | Corrective Measures | Reason for review/variance | Means of verification                    |
| INFR-18                                  | Basic Services       | To provide 100 percent of population access to basic sanitation by 2030 | WSIG Scheme Lepelle Nkumpi Sanitation | Sanitation   | Lepelle Nkumpi | Number of household with sanitation access | 430 households with sanitation access | 515 households with sanitation access | Target not revised     | 300 households with sanitation access | Target not revised         | <b>Achieved</b> 389 households with sanitation access | 4 386 000             | Budget not revised             | 1 812 838.50 | None       | None                | None                       | Completion Certificate /Progress reports |
| INFR-19                                  | Basic Services       | To provide 100 percent of   | Lepelle Nkumpi Sanitation             | Lepelle Nku  | Lepelle        | Number of                                  | 488 household                         | 515 household                         | Target                 | 300 household                         | Target                     | <b>Achieved</b>                                       | 5 797 000             | 3 099 000                      | 2 096 570    | None       | None                | Budget decr                | Completion Certificate                   |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                      |  |                     | Infrastructure Department -Vote 2  |          |                                  |                           |                           |                        |                           |                            |                                       |                       |                                |             |                     |                               |  |   |
|--|----------------------|--|---------------------|--|----------|----------------------------------|---------------------------|---------------------------|------------------------|---------------------------|----------------------------|---------------------------------------|-----------------------|--------------------------------|-------------|---------------------|-------------------------------|--|---|
| Outcome 9:                               |                      |  |                     | Responsive, Accountable, Effective and Efficient Local Government System |          |                                  |                           |                           |                        |                           |                            |                                       |                       |                                |             |                     |                               |  |   |
| Outputs:                                 |                      |  |                     | Improving access to basic services                                       |          |                                  |                           |                           |                        |                           |                            |                                       |                       |                                |             |                     |                               |  |   |
| Key Strategic Organizational Objectives: |                      |  |                     | To provide sustainable basic services and infrastructure development     |          |                                  |                           |                           |                        |                           |                            |                                       |                       |                                |             |                     |                               |  |   |
| Project Number                           | Key performance Area | Strategic Objectives                                 | Project Name        | Project Description  | Location | Key Performance Indicator        | Baseline                  | 2019/20 Annual Targets    | Reviewed Annual target | Quarter 3 Targets         | Reviewed Quarter 3 Targets | Quarter 3 Progress                    | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges          | Corrective Measures           | Reason for review/variance                             | Means of verification                   |
|  |                      | population access to basic sanitation by 2030        |                     | mpi Sanitation   | Nkumpi   | household with sanitation access | s with sanitation access  | s with sanitation access  | not revised            | s with sanitation access  | not revised                | 300 households with sanitation access |                       | 0.00                           |             |                     |                               | ease to assist with critical service delivery projects | te /Progress report                     |
| INFR-20                                  | Basic Services       | To provide 100 percent of population access to basic | Molemole Sanitation | Molemole Sanitation  | Molemole | Number of households with        | 457 households with sanit | 515 households with sanit | Target not rev         | 300 households with sanit | Target not rev             | <b>Not achieved</b><br>231 hou        | 5 797 000             | 1 000 000                      | 666 344.81  | Poor performance by | Contractor has been requested | Insufficient budget                                    | Completion Certificate /Progress report |



CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| <b>Business Unit</b>                            |                      |                      |              | <b>Infrastructure Department -Vote 2</b>  |          |                           |                   |                        |                        |                   |                            |                    |                       |                                |             |                   |                     |                               |                       |
|---|----------------------|----------------------|--------------|---|----------|---------------------------|-------------------|------------------------|------------------------|-------------------|----------------------------|--------------------|-----------------------|--------------------------------|-------------|-------------------|---------------------|-------------------------------|-----------------------|
| <b>Outcome 9:</b>                               |                      |                      |              | <b>Responsive, Accountable, Effective and Efficient Local Government System</b> |          |                           |                   |                        |                        |                   |                            |                    |                       |                                |             |                   |                     |                               |                       |
| <b>Outputs:</b>                                 |                      |                      |              | <b>Improving access to basic services</b>                                       |          |                           |                   |                        |                        |                   |                            |                    |                       |                                |             |                   |                     |                               |                       |
| <b>Key Strategic Organizational Objectives:</b> |                      |                      |              | <b>To provide sustainable basic services and infrastructure development</b>     |          |                           |                   |                        |                        |                   |                            |                    |                       |                                |             |                   |                     |                               |                       |
| Project Number                                  | Key performance Area | Strategic Objectives | Project Name | Project Description   | Location | Key Performance Indicator | Baseline          | 2019/20 Annual Targets | Reviewed Annual target | Quarter 3 Targets | Reviewed Quarter 3 Targets | Quarter 3 Progress | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges        | Corrective Measures | Reason for review/w/ variance | Means of verification |
|   |                      | sanitation by 2030   |              |   |          | sanitation access         | sanitation access | sanitation access      | sanitation access      | sanitation access | sanitation access          | sanitation access  |                       |                                |             | sanitation access | sanitation access   |                               |                       |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                      |   |                     | Infrastructure Department -Vote 2  |          |  |                                       |                                       |                        |                                       |                            |   |                       |                                |              |            |                     |  |   |
|--|----------------------|---|---------------------|--|----------|--|---------------------------------------|---------------------------------------|------------------------|---------------------------------------|----------------------------|---|-----------------------|--------------------------------|--------------|------------|---------------------|--|---|
| Outcome 9:                               |                      |   |                     | Responsive, Accountable, Effective and Efficient Local Government System |          |  |                                       |                                       |                        |                                       |                            |   |                       |                                |              |            |                     |  |   |
| Outputs:                                 |                      |   |                     | Improving access to basic services                                       |          |  |                                       |                                       |                        |                                       |                            |   |                       |                                |              |            |                     |  |   |
| Key Strategic Organizational Objectives: |                      |   |                     | To provide sustainable basic services and infrastructure development     |          |  |                                       |                                       |                        |                                       |                            |   |                       |                                |              |            |                     |  |   |
| Project Number                           | Key performance Area | Strategic Objectives  | Project Name        | Project Description  | Location | Key Performance Indicator                  | Baseline                              | 2019 /20 Annual Targets               | Reviewed Annual target | Quarter 3 Targets                     | Reviewed Quarter 3 Targets | Quarter 3 Progress                                    | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure  | Challenges | Corrective Measures | Reason for review/w/ariance  | Means of verification                   |
| INFR-21                                  | Basic Services       | To provide 100 percent of population access to basic sanitation by 2030 | Blouberg Sanitation | Blouberg Sanitation  | Blouberg | Number of household with sanitation access | 480 households with sanitation access | 515 households with sanitation access | Target not revised     | 300 households with sanitation access | Target not revised         | <b>Achieved</b> 385 households with sanitation access | 5 797 000             | 50 15 000.00                   | 4 217 731,42 | None       | None                | Budget decreased to assist with critical service delivery projects | Completion Certificate /Progress report |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                      |   |   | Infrastructure Department -Vote 2  |          |                                       |                                |                                |                        |                               |                            |   |                       |                                |               |            |                     |  |                       |
|--|----------------------|---|---|--|----------|---------------------------------------|--------------------------------|--------------------------------|------------------------|-------------------------------|----------------------------|---|-----------------------|--------------------------------|---------------|------------|---------------------|--|-----------------------|
| Outcome 9:                               |                      |   |   | Responsive, Accountable, Effective and Efficient Local Government System |          |                                       |                                |                                |                        |                               |                            |   |                       |                                |               |            |                     |  |                       |
| Outputs:                                 |                      |   |   | Improving access to basic services                                       |          |                                       |                                |                                |                        |                               |                            |   |                       |                                |               |            |                     |  |                       |
| Key Strategic Organizational Objectives: |                      |   |   | To provide sustainable basic services and infrastructure development     |          |                                       |                                |                                |                        |                               |                            |   |                       |                                |               |            |                     |  |                       |
| Project Number                           | Key performance Area | Strategic Objectives  | Project Name                                  | Project Description  | Location | Key Performance Indicator             | Baseline                       | 2019 /20 Annual Targets        | Reviewed Annual target | Quarter 3 Targets             | Reviewed Quarter 3 Targets | Quarter 3 Progress                            | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure   | Challenges | Corrective Measures | Reason for review/variance   | Means of verification |
| INFR-22                                  | Basic Services       | To provide affordable, clean and potable water according to 100 percent of the population by 2030 | Planning and development of technical reports | Development of technical reports   | CD M     | Number of technical reports developed | 10 technical reports developed | 14 technical reports developed | Target not revised     | 5 technical reports developed | Target not revised         | <b>Achieved</b> 5 technical reports developed | 23 000 000            | 6 420 000                      | 22 786 432.00 | None       | None                | Budget decreased to assist with critical service delivery projects | Technical reports     |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                      |   |                  | Infrastructure Department -Vote 2  |          |  |   |   |                        |  |                            |   |                         |                                |                |            |                     |                            |                       |
|--|----------------------|---|------------------|--|----------|--|---|---|------------------------|--|----------------------------|---|-------------------------|--------------------------------|----------------|------------|---------------------|----------------------------|-----------------------|
| Outcome 9:                               |                      |   |                  | Responsive, Accountable, Effective and Efficient Local Government System |          |  |   |   |                        |  |                            |   |                         |                                |                |            |                     |                            |                       |
| Outputs:                                 |                      |   |                  | Improving access to basic services                                       |          |  |   |   |                        |  |                            |   |                         |                                |                |            |                     |                            |                       |
| Key Strategic Organizational Objectives: |                      |   |                  | To provide sustainable basic services and infrastructure development     |          |  |   |   |                        |  |                            |   |                         |                                |                |            |                     |                            |                       |
| Project Number                           | Key performance Area | Strategic Objectives  | Project Name     | Project Description  | Location | Key Performance Indicator  | Baseline                                  | 2019/20 Annual Targets                                  | Reviewed Annual target | Quarter 3 Targets                                      | Reviewed Quarter 3 Targets | Quarter 3 Progress  | 2019/20 Annual Budget   | Reviewed 2019/20 Annual Budget | Expenditure    | Challenges | Corrective Measures | Reason for review/variance | Means of verification |
| INFR-23                                  | Basic Services       | To provide affordable, clean and potable water according to 100 percent of the population by 2030 | WSIG Schemes O&M | Implementation of WSIG Scheme  | CD M     | Percentage of Implementation of Municipal Water Infrastructure Grant (MWSIG) project as per Busi | 100 percent of WSIG Programme implemented | 100 percent Implementation of WSIG as per business plan | Target not revised     | 60 percent Implementation of WSIG as per business plan | Target not revised         | Achieved 68 percent Implementation of WSIG as per business plan | 36 918 000 + 50 000 000 | 86 918 000                     | 67 163 473, 13 | None       | None                | Insufficient Budget        | WSIG reports          |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                      |   |                           | Infrastructure Department -Vote 2  |                  |  |   |   |                        |   |                            |  |                       |                                |              |            |                     |                            |   |
|--|----------------------|---|---------------------------|--|------------------|--|---|---|------------------------|---|----------------------------|--|-----------------------|--------------------------------|--------------|------------|---------------------|----------------------------|---|
| Outcome 9:                               |                      |   |                           | Responsive, Accountable, Effective and Efficient Local Government System |                  |  |   |   |                        |   |                            |  |                       |                                |              |            |                     |                            |   |
| Outputs:                                 |                      |   |                           | Improving access to basic services                                       |                  |  |   |   |                        |   |                            |  |                       |                                |              |            |                     |                            |   |
| Key Strategic Organizational Objectives: |                      |   |                           | To provide sustainable basic services and infrastructure development     |                  |  |   |   |                        |   |                            |  |                       |                                |              |            |                     |                            |   |
| Project Number                           | Key performance Area | Strategic Objectives  | Project Name              | Project Description  | Location         | Key Performance Indicator  | Baseline  | 2019/20 Annual Targets  | Reviewed Annual target | Quarter 3 Targets   | Reviewed Quarter 3 Targets | Quarter 3 Progress   | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure  | Challenges | Corrective Measures | Reason for review/variance | Means of verification                   |
|  |                      |   |                           |  |                  | ness Plan  |   |   |                        |   |                            |  |                       |                                |              |            |                     |                            |   |
| INFR-24                                  | Basic Services       | To provide affordable, clean and potable water according to 100 percent of the population by 2030 | Senwabarwana Water Supply | Construction of Water supply project                                     | Blouberg Ward 19 | Percentage of construction of water supply project<br><br>Number of households with water access | 100 percent construction completed, 2976 households with water access | 100 percent construction of water supply project<br><br>2445 households with water access | Target not revised     | 70 percent construction of water supply project<br><br>0 households with water access | Target not revised         | <b>Achieved 73</b> percent construction of water supply project<br><br>0 households with water | 8 427 000             | 9 398 000.00                   | 6 117 073,66 | None       | None                | Insufficient Budget        | Completion Certificate /Progress report |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                      |   |   | Infrastructure Department -Vote 2  |                  |  |               |   |                        |   |                            |   |                       |                                |                     |            |                     |  |   |
|--|----------------------|---|---|--|------------------|--|---------------|---|------------------------|---|----------------------------|---|-----------------------|--------------------------------|---------------------|------------|---------------------|--|---|
| Outcome 9:                               |                      |   |   | Responsive, Accountable, Effective and Efficient Local Government System |                  |  |               |   |                        |   |                            |   |                       |                                |                     |            |                     |  |   |
| Outputs:                                 |                      |   |   | Improving access to basic services                                       |                  |  |               |   |                        |   |                            |   |                       |                                |                     |            |                     |  |   |
| Key Strategic Organizational Objectives: |                      |   |   | To provide sustainable basic services and infrastructure development     |                  |  |               |   |                        |   |                            |   |                       |                                |                     |            |                     |  |   |
| Project Number                           | Key performance Area | Strategic Objectives  | Project Name                                  | Project Description  | Location         | Key Performance Indicator                                    | Baseline      | 2019 /20 Annual Targets                 | Reviewed Annual target | Quarter 3 Targets                       | Reviewed Quarter 3 Targets | Quarter 3 Progress                                      | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure         | Challenges | Corrective Measures | Reason for review/w/ariance  | Means of verification                   |
|  |                      |   |   |  |                  |  |               |   |                        |   |                            | access  |                       |                                |                     |            |                     |  |   |
| INFR-25                                  | Basic Services       | To provide affordable, clean and potable water according to 100 percent of the population by 2030 | Grootpan, Sias,Londen, Ramaswika Water Supply | Planning and construction of Water supply project                        | Blouberg Ward 17 | Percentage planning and construction of water supply project | New indicator | 100 % planning of water supply project. | Target not revised     | 100 % planning of water supply project. | Target not revised         | <b>Achieved</b> 100 % planning of water supply project. | 17 392 000            | 6 458 000                      | 6 457 846,60        | None       | None                | Budget decreased to assist with critical service delivery projects | Completion Certificate /Progress report |
| INFR-26                                  | Basic Services       | To provide affordable, clean and potable water according  | Kromhoek/Makgatho, Devrede, Taaibosch New     | Planning and construction of   | Blouberg Ward 15 | Percentage planning and                                      | New indicator | 100 % planning of water suppl           | Target not rev         | 100 % planning of water suppl           | Target not rev             | <b>Achieved</b> 100 % plan                              | 8 696 000             | 2 103 000                      | 2 089 929 + 791 905 | None       | None                | Budget decreased to assist   | Completion Certificate /Progress report |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| <b>Business Unit</b>                            |                             |  |                     | <b>Infrastructure Department -Vote 2</b>  |                 |                                      |                 |                               |                               |                          |                                   |  |                              |                                       |                    |                   |                            |                                   |   |  |
|---|-----------------------------|--|---------------------|---|-----------------|--------------------------------------|-----------------|-------------------------------|-------------------------------|--------------------------|-----------------------------------|--|------------------------------|---------------------------------------|--------------------|-------------------|----------------------------|-----------------------------------|---|--|
| <b>Outcome 9:</b>                               |                             |  |                     | <b>Responsive, Accountable, Effective and Efficient Local Government System</b> |                 |                                      |                 |                               |                               |                          |                                   |  |                              |                                       |                    |                   |                            |                                   |   |  |
| <b>Outputs:</b>                                 |                             |  |                     | <b>Improving access to basic services</b>                                       |                 |                                      |                 |                               |                               |                          |                                   |  |                              |                                       |                    |                   |                            |                                   |   |  |
| <b>Key Strategic Organizational Objectives:</b> |                             |  |                     | <b>To provide sustainable basic services and infrastructure development</b>     |                 |                                      |                 |                               |                               |                          |                                   |  |                              |                                       |                    |                   |                            |                                   |   |  |
| <b>Project Number</b>                           | <b>Key performance Area</b> | <b>Strategic Objectives</b>              | <b>Project Name</b> | <b>Project Description</b>  | <b>Location</b> | <b>Key Performance Indicator</b>     | <b>Baseline</b> | <b>2019/20 Annual Targets</b> | <b>Reviewed Annual target</b> | <b>Quarter 3 Targets</b> | <b>Reviewed Quarter 3 Targets</b> | <b>Quarter 3 Progress</b>              | <b>2019/20 Annual Budget</b> | <b>Reviewed 2019/20 Annual Budget</b> | <b>Expenditure</b> | <b>Challenges</b> | <b>Corrective Measures</b> | <b>Reason for review/variance</b> | <b>Means of verification</b>              |  |
|   |                             | to 100 percent of the population by 2030 | Stand Water Supply  | Water supply project  |                 | construction of water supply project |                 | project.                      | ised                          | project                  | ised                              | ning of water supply project completed |                              |                                       |                    |                   |                            |                                   | t with critical service delivery projects |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                      |   |  | Infrastructure Department -Vote 2  |                  |  |                                |  |                        |   |                            |  |                       |                                |              |            |                     |                            |   |
|--|----------------------|---|--|--|------------------|--|--------------------------------|--|------------------------|---|----------------------------|--|-----------------------|--------------------------------|--------------|------------|---------------------|----------------------------|---|
| Outcome 9:                               |                      |   |  | Responsive, Accountable, Effective and Efficient Local Government System |                  |  |                                |  |                        |   |                            |  |                       |                                |              |            |                     |                            |   |
| Outputs:                                 |                      |   |  | Improving access to basic services                                       |                  |  |                                |  |                        |   |                            |  |                       |                                |              |            |                     |                            |   |
| Key Strategic Organizational Objectives: |                      |   |  | To provide sustainable basic services and infrastructure development     |                  |  |                                |  |                        |   |                            |  |                       |                                |              |            |                     |                            |   |
| Project Number                           | Key performance Area | Strategic Objectives  | Project Name   | Project Description  | Location         | Key Performance Indicator                                    | Baseline                       | 2019 /20 Annual Targets                | Reviewed Annual target | Quarter 3 Targets                       | Reviewed Quarter 3 Targets | Quarter 3 Progress   | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure  | Challenges | Corrective Measures | Reason for review/variance | Means of verification                   |
| INFR-28                                  | Basic Services       | To provide affordable, clean and potable water according to 100 percent of the population by 2030 | Ga Kibi (Royston, Donkerhoek, Voorhout(Ramotsho)) Water Supply | Planning and construction of Water supply project                        | Blouberg ward 13 | Percentage planning and construction of water supply project | 100 % planning of water supply | 100 % planning of water supply project | Target not revised     | 100 % planning of water supply project. | Target not revised         | <b>Achieved</b> 100 % planning of water supply project completed | 4 441 000             | 0.00                           | 2 954 854.00 | None       | None                | Project complete           | Design report & Drawings                |
| INFR-34                                  | Basic Services       | To provide affordable, clean and potable water according to 100 percent of                        | Blackhill(   | Planning and construction of Water                                       | Blouberg         | Percentage planning and construction                         | New indicator                  | 80% planning of water supply project.  | Target not revised     | No Target for the quarter               | Target not revised         | <b>Not Applicable</b>  | 4 348 000             | 0.00                           | 0.00         | None       | None                | Project Complete           | Completion Certificate /Progress report |



CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                      |   |              | Infrastructure Department -Vote 2  |          |  |               |                                       |                        |                           |                            |                       |                       |                                |             |            |                     |                            |   |
|--|----------------------|---|--------------|--|----------|--|---------------|---------------------------------------|------------------------|---------------------------|----------------------------|-----------------------|-----------------------|--------------------------------|-------------|------------|---------------------|----------------------------|---|
| Outcome 9:                               |                      |   |              | Responsive, Accountable, Effective and Efficient Local Government System |          |  |               |                                       |                        |                           |                            |                       |                       |                                |             |            |                     |                            |   |
| Outputs:                                 |                      |   |              | Improving access to basic services                                       |          |  |               |                                       |                        |                           |                            |                       |                       |                                |             |            |                     |                            |   |
| Key Strategic Organizational Objectives: |                      |   |              | To provide sustainable basic services and infrastructure development     |          |  |               |                                       |                        |                           |                            |                       |                       |                                |             |            |                     |                            |   |
| Project Number                           | Key performance Area | Strategic Objectives  | Project Name | Project Description  | Location | Key Performance Indicator                                    | Baseline      | 2019/20 Annual Targets                | Reviewed Annual target | Quarter 3 Targets         | Reviewed Quarter 3 Targets | Quarter 3 Progress    | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for review/variance | Means of verification                   |
|  |                      | the population by 2030  |              | supply project   |          | n of water supply project                                    |               |                                       |                        |                           |                            |                       |                       |                                |             |            |                     |                            |   |
| INFR-35                                  | Basic Services       | To provide affordable, clean and potable water according to 100 percent of the population by 2030 | Sadu         | Planning and construction of Water supply project                        | Blouberg | Percentage planning and construction of water supply project | New indicator | 80% planning of water supply project. | Target not revised     | No Target for the quarter | Target not revised         | <b>Not Applicable</b> | 4 348 000             | 0.00                           | 0.00        | None       | None                | Project complete           | Completion Certificate /Progress report |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                      |   |  | Infrastructure Department -Vote 2  |                        |  |   |   |                        |   |                            |   |                       |                                |             |            |                     |  |   |
|--|----------------------|---|--|--|------------------------|--|---|---|------------------------|---|----------------------------|---|-----------------------|--------------------------------|-------------|------------|---------------------|--|---|
| Outcome 9:                               |                      |   |  | Responsive, Accountable, Effective and Efficient Local Government System |                        |  |   |   |                        |   |                            |   |                       |                                |             |            |                     |  |   |
| Outputs:                                 |                      |   |  | Improving access to basic services                                       |                        |  |   |   |                        |   |                            |   |                       |                                |             |            |                     |  |   |
| Key Strategic Organizational Objectives: |                      |   |  | To provide sustainable basic services and infrastructure development     |                        |  |   |   |                        |   |                            |   |                       |                                |             |            |                     |  |   |
| Project Number                           | Key performance Area | Strategic Objectives  | Project Name                                 | Project Description  | Location               | Key Performance Indicator                          | Baseline  | 2019 /20 Annual Targets                         | Reviewed Annual target | Quarter 3 Targets                               | Reviewed Quarter 3 Targets | Quarter 3 Progress  | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for review/w/ variance                                      | Means of verification                   |
| INFR-37                                  | Basic Services       | To provide affordable, clean and potable water according to 100 percent of the population by 2030 | Groothoek (Lebowak gomo Zone B) Water Supply | Construction of Water supply project                                     | Lepelle Nkumpi Ward 15 | Percentage of construction of water supply project | 60 percent construction of water supply project | 85 percent construction of water supply project | Target not revised     | 30 percent construction of water supply project | Target not revised         | <b>Achieved</b> 43 percent construction of water supply project | 28 409 000            | 26 10 90 00.00                 | 28 832 611  | None       | None                | Budget decreased to assist with critical service delivery projects | Completion Certificate /Progress report |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                      |   |   | Infrastructure Department -Vote 2  |                                 |  |   |   |                        |  |                            |  |                       |                                |             |            |                     |                            |   |
|--|----------------------|---|---|--|---------------------------------|--|---|---|------------------------|--|----------------------------|--|-----------------------|--------------------------------|-------------|------------|---------------------|----------------------------|---|
| Outcome 9:                               |                      |   |   | Responsive, Accountable, Effective and Efficient Local Government System |                                 |  |   |   |                        |  |                            |  |                       |                                |             |            |                     |                            |   |
| Outputs:                                 |                      |   |   | Improving access to basic services                                       |                                 |  |   |   |                        |  |                            |  |                       |                                |             |            |                     |                            |   |
| Key Strategic Organizational Objectives: |                      |   |   | To provide sustainable basic services and infrastructure development     |                                 |  |   |   |                        |  |                            |  |                       |                                |             |            |                     |                            |   |
| Project Number                           | Key performance Area | Strategic Objectives  | Project Name  | Project Description  | Location                        | Key Performance Indicator                          | Baseline  | 2019 /20 Annual Targets   | Reviewed Annual target | Quarter 3 Targets  | Reviewed Quarter 3 Targets | Quarter 3 Progress   | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for review/variance | Means of verification                   |
|  |                      |   |   |  |                                 |  |   |   |                        |  |                            | water  |                       |                                |             |            |                     |                            |   |
| INFR-38                                  | Basic Services       | To provide affordable, clean and potable water according to 100 percent of the population by 2030 | Mphahlele (Bolatjane, Phalakwane, Makurung and Dithabang) RWS | Construction of Water supply project                                     | Lepelle Nkumpi Ward 19, 21 & 23 | Percentage of construction of water supply project | 50 percent construction of water supply project | 60% construction of water supply project. 2476 households with water access | Target not revised     | 40 percent construction of water supply project 0 households with water access | Target not revised         | <b>Achieved</b> 40 percent construction of water supply project 0 households with water access | 39 945 000            | 57 23 000.00                   | 56 255 598  | None       | None                | Insufficient Budget        | Completion Certificate /Progress report |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                      |   |   | Infrastructure Department -Vote 2  |                                 |  |  |  |                        |                           |                            |                       |                       |                                |               |            |                     |  |                             |
|--|----------------------|---|---|--|---------------------------------|--|--|--|------------------------|---------------------------|----------------------------|-----------------------|-----------------------|--------------------------------|---------------|------------|---------------------|--|-----------------------------|
| Outcome 9:                               |                      |   |   | Responsive, Accountable, Effective and Efficient Local Government System |                                 |  |  |  |                        |                           |                            |                       |                       |                                |               |            |                     |  |                             |
| Outputs:                                 |                      |   |   | Improving access to basic services                                       |                                 |  |  |  |                        |                           |                            |                       |                       |                                |               |            |                     |  |                             |
| Key Strategic Organizational Objectives: |                      |   |   | To provide sustainable basic services and infrastructure development     |                                 |  |  |  |                        |                           |                            |                       |                       |                                |               |            |                     |  |                             |
| Project Number                           | Key performance Area | Strategic Objectives  | Project Name  | Project Description  | Location                        | Key Performance Indicator                                    | Baseline                               | 2019/20 Annual Targets                 | Reviewed Annual target | Quarter 3 Targets         | Reviewed Quarter 3 Targets | Quarter 3 Progress    | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure   | Challenges | Corrective Measures | Reason for review/variance   | Means of verification       |
|  |                      |   |   |  |                                 | access   | access                                 |  |                        |                           |                            | with water access     |                       |                                |               |            |                     |  |                             |
| INFR-39                                  | Basic Services       | To provide affordable, clean and potable water according to 100 percent of the population by 2030 | Mphahlele RWS Maijane, Sefalaolo, Makaepena, Sedimothole, Moshate & Mashite | Planning and construction of Water supply project                        | Lepelle Nkumpi Ward 19, 23 & 24 | Percentage planning and construction of water supply project | 100% planning of water supply project. | 100% planning of water supply project. | Target not revised     | No target for the quarter | Target not revised         | <b>Not Applicable</b> | 19 130 000            | 5 463 000.                     | 5 462 192, 27 | None       | None                | Budget decreased to assist with critical service delivery projects | Design reports and drawings |
| INFR-42                                  | Basic Services       | To provide affordable, clean and  | Sephala, Mokopu, Thoka,   | Construction of  | Molomo                          | Percentage   | 72.5 percent                           | 100 percent                            | Target                 | 60 percent                | Target                     | <b>Achieved 60</b>    | 27 776 000            | 13 044 0                       | 24 335 548    | None       | None                | Budget decr  | Completion Certifica        |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                      |  |                     | Infrastructure Department -Vote 2  |               |                                      |                                      |                                      |                        |                                      |                            |                                      |                       |                                |                |            |                     |  |   |
|--|----------------------|--|---------------------|--|---------------|--------------------------------------|--------------------------------------|--------------------------------------|------------------------|--------------------------------------|----------------------------|--------------------------------------|-----------------------|--------------------------------|----------------|------------|---------------------|--|---|
| Outcome 9:                               |                      |  |                     | Responsive, Accountable, Effective and Efficient Local Government System |               |                                      |                                      |                                      |                        |                                      |                            |                                      |                       |                                |                |            |                     |  |   |
| Outputs:                                 |                      |  |                     | Improving access to basic services                                       |               |                                      |                                      |                                      |                        |                                      |                            |                                      |                       |                                |                |            |                     |  |   |
| Key Strategic Organizational Objectives: |                      |  |                     | To provide sustainable basic services and infrastructure development     |               |                                      |                                      |                                      |                        |                                      |                            |                                      |                       |                                |                |            |                     |  |   |
| Project Number                           | Key performance Area | Strategic Objectives   | Project Name        | Project Description  | Location      | Key Performance Indicator            | Baseline                             | 2019/20 Annual Targets               | Reviewed Annual target | Quarter 3 Targets                    | Reviewed Quarter 3 Targets | Quarter 3 Progress                   | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure    | Challenges | Corrective Measures | Reason for review/variance                             | Means of verification                   |
|  |                      | potable water according to 100 percent of the population by 2030 | Makwetja RWS        | Water supply project   | Ward 3&4      | construction of water supply project | construction of water supply project | construction of water supply project | not revised            | construction of water supply project | not revised                | percent construction of water supply |                       | 00.00                          |                |            |                     | ease to assist with critical service delivery projects | te /Progress report                     |
| INFR-46                                  | Basic Services       | To provide affordable, clean and potable water according to 100  | Sefene Water Supply | Planning and construction of Water                                       | Molomo Ward 7 | Percentage planning and const        | New indicator                        | 100 % planning of water supply       | Target not revised     | 100 % planning of water supply       | Target not revised         | <b>Achieved</b> 100 % planning       | 10 348 000            | 24 79 70 00.00                 | 24 162 918, 88 | None       | None                | Insufficient budget                                    | Completion Certificate /Progress report |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |  |  |                     | Infrastructure Department -Vote 2  |          |                                     |   |   |                        |                           |                            |                          |                       |                                |             |            |                     |                            |                                  |  |
|--|--|--|---------------------|--|----------|-------------------------------------|---|---|------------------------|---------------------------|----------------------------|--------------------------|-----------------------|--------------------------------|-------------|------------|---------------------|----------------------------|----------------------------------|--|
| Outcome 9:                               |  |  |                     | Responsive, Accountable, Effective and Efficient Local Government System |          |                                     |   |   |                        |                           |                            |                          |                       |                                |             |            |                     |                            |                                  |  |
| Outputs:                                 |  |  |                     | Improving access to basic services                                       |          |                                     |   |   |                        |                           |                            |                          |                       |                                |             |            |                     |                            |                                  |  |
| Key Strategic Organizational Objectives: |  |  |                     | To provide sustainable basic services and infrastructure development     |          |                                     |   |   |                        |                           |                            |                          |                       |                                |             |            |                     |                            |                                  |  |
| Project Number                           | Key performance Area                         | Strategic Objectives                                 | Project Name        | Project Description  | Location | Key Performance Indicator           | Baseline  | 2019 /20 Annual Targets                                 | Reviewed Annual target | Quarter 3 Targets         | Reviewed Quarter 3 Targets | Quarter 3 Progress       | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for review/variance | Means of verification            |  |
|  |  | percent of the population by 2030                    |                     | r supply project   |          | ruction of water supply project     |   | project.  |                        | project.                  |                            | of water supply project. |                       |                                |             |            |                     |                            |                                  |  |
| FD-02                                    | Municipal Financial Viability and Management | To prepare and submit credible financial information | Financial reporting | Budget Treasury  | CDM      | Number of Unqualified audit opinion | 1 Unqualified audit opinion (with out material matters) | 1 Unqualified audit opinion (with out material matters) | Target not revised     | No target for the quarter | Target not revised         | <b>Not Applicable</b>    | OPEX                  | OP EX                          | OPEX        | None       | None                | None                       | Unqualified audit opinion report |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |  |  |                        | Infrastructure Department -Vote 2  |          |  |  |  |                        |  |                            |  |                       |                                |             |            |                     |                            |   |
|--|--|--|------------------------|--|----------|--|--|--|------------------------|--|----------------------------|--|-----------------------|--------------------------------|-------------|------------|---------------------|----------------------------|---|
| Outcome 9:                               |  |  |                        | Responsive, Accountable, Effective and Efficient Local Government System |          |  |  |  |                        |  |                            |  |                       |                                |             |            |                     |                            |   |
| Outputs:                                 |  |  |                        | Improving access to basic services                                       |          |  |  |  |                        |  |                            |  |                       |                                |             |            |                     |                            |   |
| Key Strategic Organizational Objectives: |  |  |                        | To provide sustainable basic services and infrastructure development     |          |  |  |  |                        |  |                            |  |                       |                                |             |            |                     |                            |   |
| Project Number                           | Key performance Area                         | Strategic Objectives                                 | Project Name           | Project Description  | Location | Key Performance Indicator                                      | Baseline   | 2019/20 Annual Targets                                 | Reviewed Annual target | Quarter 3 Targets                          | Reviewed Quarter 3 Targets | Quarter 3 Progress                           | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for review/variance | Means of verification                       |
| FD-06                                    | Municipal Financial Viability and Management | To prepare and submit credible financial information | Demand management      | Development and Implement the procurement plan                           | CDM      | Number of municipal procurement plan developed and implemented | 1 municipal procurement plan developed and implemented | 1 municipal procurement plan developed and implemented | Target not revised     | No target for the quarter                  | Target not revised         | <b>Not Applicable</b>                        | OPEX                  | OP EX                          | OPEX        | None       | None                | None                       | municipal procurement plan                  |
| FD-07                                    | Municipal Financial Viability and Management | To prepare and submit credible financial information | Acquisition management | Compliance to the SCM regulations  | CDM      | Percent of compliance to the SCM regulation                    | 100 percent of compliance to the SCM regul             | 100 percent of compliance to the SCM regul             | Target not revised     | 100 percent of compliance to the SCM regul | Target not revised         | <b>Achieved</b><br>100 percent of compliance | OPEX                  | OP EX                          | OPEX        | None       | None                | None                       | Zero irregular expenditure/Payment Vouchers |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| <b>Business Unit</b>                            |                             |                             |                     | <b>Infrastructure Department -Vote 2</b>  |                 |  |   |   |                               |   |                                   |  |                              |                                       |                    |                   |                            |                                   |                              |
|---|-----------------------------|-----------------------------|---------------------|---|-----------------|--|---|---|-------------------------------|---|-----------------------------------|--|------------------------------|---------------------------------------|--------------------|-------------------|----------------------------|-----------------------------------|------------------------------|
| <b>Outcome 9:</b>                               |                             |                             |                     | <b>Responsive, Accountable, Effective and Efficient Local Government System</b> |                 |  |   |   |                               |   |                                   |  |                              |                                       |                    |                   |                            |                                   |                              |
| <b>Outputs:</b>                                 |                             |                             |                     | <b>Improving access to basic services</b>                                       |                 |  |   |   |                               |   |                                   |  |                              |                                       |                    |                   |                            |                                   |                              |
| <b>Key Strategic Organizational Objectives:</b> |                             |                             |                     | <b>To provide sustainable basic services and infrastructure development</b>     |                 |  |   |   |                               |   |                                   |  |                              |                                       |                    |                   |                            |                                   |                              |
| <b>Project Number</b>                           | <b>Key performance Area</b> | <b>Strategic Objectives</b> | <b>Project Name</b> | <b>Project Description</b>  | <b>Location</b> | <b>Key Performance Indicator</b>             | <b>Baseline</b>                                   | <b>2019/20 Annual Targets</b>                     | <b>Reviewed Annual target</b> | <b>Quarter 3 Targets</b>                          | <b>Reviewed Quarter 3 Targets</b> | <b>Quarter 3 Progress</b>  | <b>2019/20 Annual Budget</b> | <b>Reviewed 2019/20 Annual Budget</b> | <b>Expenditure</b> | <b>Challenges</b> | <b>Corrective Measures</b> | <b>Reason for review/variance</b> | <b>Means of verification</b> |
|   |                             |                             |                     |   |                 | s that result in R nil irregular expenditure | ations that result in R nil irregular expenditure | ations that result in R nil irregular expenditure |                               | ations that result in R nil irregular expenditure |                                   | to the SC M regulations that result in R nil irregular expenditure |                              |                                       |                    |                   |                            |                                   |                              |



### 1.3 Corporate Services –Vote 3

| Business Unit                            |   |                           |                       |   | Corporate Services –Vote 3   |   |   |   |                        |   |                            |  |                       |                                |             |            |                     |                              |  |
|--|---|---------------------------|-----------------------|---|--|---|---|---|------------------------|---|----------------------------|--|-----------------------|--------------------------------|-------------|------------|---------------------|------------------------------|--|
| Outcome 9:                               |   |                           |                       |   | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |   |   |   |                        |   |                            |  |                       |                                |             |            |                     |                              |  |
| Outputs 5:                               |   |                           |                       |   | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |   |   |   |                        |   |                            |  |                       |                                |             |            |                     |                              |  |
| Key Strategic Organizational Objectives: |   |                           |                       |   | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |   |   |   |                        |   |                            |  |                       |                                |             |            |                     |                              |  |
| Project No.                              | Key performance Area                                    | Strategic Objectives      | Project Name          | Project Description (major activities)      | Location   | Key performance indicator                         | Baseline  | 2019/20 Annual Targets  | Reviewed Annual Target | Quarter 3 Targets   | Reviewed Quarter 3 Targets | Quarter 3 Progress   | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reasons for review/ variance | Means of verification                  |
| CP SD -01                                | Municipal Transformation and Organizational Development | To provide legal services | Litigation Management | Litigation and management of legal expenses | CDM  | Percentage of all cases defended and instituted   | 100 percent attendance and management of all cases instituted or defended | 100 percent of all cases defended and instituted by June 2020 | Target not revised     | 100 percent attendance and management of all cases instituted or defended | Target not revised         | Achieved 100 percent attendance and management of all cases instituted or defended | 2 709 000             | 4 709 000                      |             | None       | None                | Insufficient Budget          | Litigation Management Report/ Register |
| CP SD -02                                | Municipal Transformation and                            | To provide legal services | Advisory Services     | Legal advices and support                   | CDM  | Percentage of requested legal advices and support | 100 percent of requested legal advices                                    | 100 percent of requested legal advices                        | Target not revised     | 100 percent of requested legal advices and                                | Target not revised         | Achieved 100 percent of requested legal advices                                    | OP EX                 | OP EX                          | OP EX       | None       | None                | None                         | Advisory Services Report/              |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |   |                           |                       |  | Corporate Services –Vote 3   |  |   |  |                        |   |                            |   |                         |                                  |             |            |                     |                                |                                    |          |
|--|---|---------------------------|-----------------------|--|--|--|---|--|------------------------|---|----------------------------|---|-------------------------|----------------------------------|-------------|------------|---------------------|--------------------------------|------------------------------------|----------|
| Outcome 9:                               |   |                           |                       |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |  |   |  |                        |   |                            |   |                         |                                  |             |            |                     |                                |                                    |          |
| Outputs 5:                               |   |                           |                       |  | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |  |   |  |                        |   |                            |   |                         |                                  |             |            |                     |                                |                                    |          |
| Key Strategic Organizational Objectives: |   |                           |                       |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |  |   |  |                        |   |                            |   |                         |                                  |             |            |                     |                                |                                    |          |
| Project No.                              | Key performance Area                                    | Strategic Objectives      | Project Name          | Project Description (major activities)       | Location   | Key performance indicator  | Baseline  | 2019/2020 Annual Targets   | Reviewed Annual Target | Quarter 3 Targets   | Reviewed Quarter 3 Targets | Quarter 3 Progress  | 2019/2020 Annual Budget | Reviewed 2019/2020 Annual Budget | Expenditure | Challenges | Corrective Measures | Reasons for review/w/ variance | Means of verification              |          |
|  | Organizational Development                              | vice                      |                       |  |  | provided   | es and support provided   | es and support provided by June 2020   |                        | support provided  |                            | and support provided  |                         |                                  |             |            |                     |                                |                                    | Register |
| CP SD -03                                | Municipal Transformation and Organizational Development | To provide legal services | Contracts development | Contracts development or edition and signing | CDM  | Percentage of requested contracts developed or edited and signed | 100 percent of requested Contracts developed or edited and signed | 100 percent of requested Contracts developed or edited and signed by June 2020 | Target not revised     | 100 percent of requested Contracts developed or edited and signed | Target not revised         | <b>Achieved</b> 100 percent of requested Contracts developed or edited and signed | OP EX                   | OP EX                            | OP EX       | None       | None                | None                           | Contract Register Report/ Register |          |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |   |                           |                                  |  | Corporate Services –Vote 3   |   |  |  |                        |  |                            |  |                       |                                |             |            |                     |                                |                                     |
|---------------------------|---|---------------------------|----------------------------------|--|--|---|--|--|------------------------|--|----------------------------|--|-----------------------|--------------------------------|-------------|------------|---------------------|--------------------------------|-------------------------------------|
| Outcome 9:                |   |                           |                                  |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |   |  |  |                        |  |                            |  |                       |                                |             |            |                     |                                |                                     |
| Outputs 5:                |   |                           |                                  |  | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |   |  |  |                        |  |                            |  |                       |                                |             |            |                     |                                |                                     |
| Key Strategic Objectives: |   |                           |                                  |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |   |  |  |                        |  |                            |  |                       |                                |             |            |                     |                                |                                     |
| Project No.               | Key performance Area                                    | Strategic Objectives      | Project Name                     | Project Description (major activities) | Location   | Key performance indicator                             | Baseline   | 2019/20 Annual Targets                                 | Reviewed Annual Target | Quarter 3 Targets                                      | Reviewed Quarter 3 Targets | Quarter 3 Progress   | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reasons for review/w/ variance | Means of verification               |
| CP SD -04                 | Municipal Transformation and Organizational Development | To provide legal services | Development or review of by laws | By-law development or review           | CDM  | Percentage of requested By-Laws developed or reviewed | Not Applicable, 0% of requested By-laws developed or reviewed (No request were received) | 100 percent of requested By-Laws developed or reviewed | Target not revised     | 100 percent of requested By-Laws developed or reviewed | Target not revised         | <b>Achieved</b> 100 percent of requested By-Laws developed or reviewed | 50 000                | Budget not revised             |             | None       | None                | None                           | By-law development or review Report |
| CP SD -05                 | Municipal Transformation                                | To effective              | Recruitment and selection        | Recruit and select                     | CDM  | Percentage of filling of                              | 88 percent   | 90 percent   | Target not             | 90 percent filling of                                  | Target not                 | <b>Achieved</b> 92 percent   | 569 000               | 469 000                        |             | None       | None                | Budget decre                   | Report on filling                   |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |  |   |              |  | Corporate Services –Vote 3   |                           |                                 |                                 |                        |                      |                            |                                 |                         |                                  |             |            |                     |                                     |                            |
|---------------------------|--|---|--------------|--|--|---------------------------|---------------------------------|---------------------------------|------------------------|----------------------|----------------------------|---------------------------------|-------------------------|----------------------------------|-------------|------------|---------------------|-------------------------------------|----------------------------|
| Outcome 9:                |  |   |              |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |                           |                                 |                                 |                        |                      |                            |                                 |                         |                                  |             |            |                     |                                     |                            |
| Outputs 5:                |  |   |              |  | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |                           |                                 |                                 |                        |                      |                            |                                 |                         |                                  |             |            |                     |                                     |                            |
| Key Strategic Objectives: |  |   |              |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |                           |                                 |                                 |                        |                      |                            |                                 |                         |                                  |             |            |                     |                                     |                            |
| Project No.               | Key performance Area                       | Strategic Objectives  | Project Name | Project Description (major activities) | Location   | Key performance indicator | Baseline                        | 2019/2020 Annual Targets        | Reviewed Annual Target | Quarter 3 Targets    | Reviewed Quarter 3 Targets | Quarter 3 Progress              | 2019/2020 Annual Budget | Reviewed 2019/2020 Annual Budget | Expenditure | Challenges | Corrective Measures | Reasons for review/w/ variance      | Means of verification      |
|                           | Information and Organizational Development | Efficiently and effectively recruit and retain competent Human Capital and sound labour relations | Processes    | Suitable candidates for positions      |  | all funded vacancies      | filling of all funded vacancies | filling of all funded vacancies | revised                | all funded vacancies | revised                    | filling of all funded vacancies |                         |                                  |             |            |                     | used to assist in critical projects | of funded vacant positions |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |   |   |  |  | Corporate Services –Vote 3   |   |          |                          |                        |                   |   |                    |                         |   |             |            |                     |                                |                       |      |                      |
|---------------------------|---|---|--|--|--|---|----------|--------------------------|------------------------|-------------------|---|--------------------|-------------------------|---|-------------|------------|---------------------|--------------------------------|-----------------------|------|----------------------|
| Outcome 9:                |   |   |  |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |   |          |                          |                        |                   |   |                    |                         |   |             |            |                     |                                |                       |      |                      |
| Outputs 5:                |   |   |  |  | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |   |          |                          |                        |                   |   |                    |                         |   |             |            |                     |                                |                       |      |                      |
| Key Strategic Objectives: |   |   |  |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |   |          |                          |                        |                   |   |                    |                         |   |             |            |                     |                                |                       |      |                      |
| Project No.               | Key performance Area                                    | Strategic Objectives  | Project Name                             | Project Description (major activities)       | Location   | Key performance indicator                                   | Baseline | 2019/2020 Annual Targets | Reviewed Annual Target | Quarter 3 Targets | Reviewed Quarter 3 Targets                        | Quarter 3 Progress | 2019/2020 Annual Budget | Reviewed 2019/2020 Annual Budget                  | Expenditure | Challenges | Corrective Measures | Reasons for review/w/ variance | Means of verification |      |                      |
|                           |   | tion s  |  |  |  |   |          |                          |                        |                   |   |                    |                         |   |             |            |                     |                                |                       |      |                      |
| CP SD -06                 | Municipal Transformation and Organizational Development | To effectively and efficiently recruit and retain competent Human Capital | Performance Management Capacity building | Coordination of Capacity Building Activities | CDM  | Number of Performance Management support sessions conducted | 4        | 4                        | Target not revised     | 1                 | Performance Management support sessions conducted | Target not revised | Achieved 2              | Performance Management support sessions conducted | OP EX       | OP EX      | OP EX               | None                           | None                  | None | Attendance registers |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |   |   |                     |  | Corporate Services –Vote 3   |   |          |                                 |                        |                                 |                            |   |                         |                                  |             |  |                     |                                |                           |  |
|---------------------------|---|---|---------------------|--|--|---|----------|---------------------------------|------------------------|---------------------------------|----------------------------|---|-------------------------|----------------------------------|-------------|--|---------------------|--------------------------------|---------------------------|--|
| Outcome 9:                |   |   |                     |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |   |          |                                 |                        |                                 |                            |   |                         |                                  |             |  |                     |                                |                           |  |
| Outputs 5:                |   |   |                     |  | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |   |          |                                 |                        |                                 |                            |   |                         |                                  |             |  |                     |                                |                           |  |
| Key Strategic Objectives: |   |   |                     |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |   |          |                                 |                        |                                 |                            |   |                         |                                  |             |  |                     |                                |                           |  |
| Project No.               | Key performance Area                                    | Strategic Objectives  | Project Name        | Project Description (major activities) | Location   | Key performance indicator               | Baseline | 2019/2020 Annual Targets        | Reviewed Annual Target | Quarter 3 Targets               | Reviewed Quarter 3 Targets | Quarter 3 Progress                              | 2019/2020 Annual Budget | Reviewed 2019/2020 Annual Budget | Expenditure | Challenges                               | Corrective Measures | Reasons for review/w/ variance | Means of verification     |  |
|                           |   | and sound labour relations  |                     |  |  |   |          |                                 |                        |                                 |                            |   |                         |                                  |             |  |                     |                                |                           |  |
| CP SD -07                 | Municipal Transformation and Organizational Development | To effectively and efficiently recruit and retain competent Human Resources | Performance reviews | Performance Reviews                    | CDM  | Number of Performance reviews conducted | 4        | 2 Performance reviews conducted | Target not revised     | 1 Performance reviews conducted | Target not revised         | Not Achieved 1 Performance review not conducted | 6 411 000               | Budget not revised               |             | Declaration of the State of Disaster and | None                | None                           | Performance review Report |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |   |   |                      |  | Corporate Services –Vote 3   |  |  |  |                        |                           |                            |                    |                       |                                |             |            |                     |                                |                       |
|---------------------------|---|---|----------------------|--|--|--|--|--|------------------------|---------------------------|----------------------------|--------------------|-----------------------|--------------------------------|-------------|------------|---------------------|--------------------------------|-----------------------|
| Outcome 9:                |   |   |                      |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |  |  |  |                        |                           |                            |                    |                       |                                |             |            |                     |                                |                       |
| Outputs 5:                |   |   |                      |  | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |  |  |  |                        |                           |                            |                    |                       |                                |             |            |                     |                                |                       |
| Key Strategic Objectives: |   |   |                      |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |  |  |  |                        |                           |                            |                    |                       |                                |             |            |                     |                                |                       |
| Project No.               | Key performance Area                                    | Strategic Objectives                              | Project Name         | Project Description (major activities) | Location   | Key performance indicator                          | Baseline                                     | 2019/20 Annual Targets                       | Reviewed Annual Target | Quarter 3 Targets         | Reviewed Quarter 3 Targets | Quarter 3 Progress | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reasons for review/w/ variance | Means of verification |
|                           |   | man Capital and sound labour relation             |                      |  |  |  |  |  |                        |                           |                            |                    |                       |                                |             | Lockdown   |                     |                                |                       |
| CP SD -08                 | Municipal Transformation and Organizational Development | To effectively and efficiently recruit and retain | Medical surveillance | Conduct medical surveillance           | CDM  | Number of employees underwent medical surveillance | 159 employees underwent medical surveillance | 150 employees underwent medical surveillance | Target not revised     | No target for the quarter | Target not revised         | Not Applicable     | OP EX                 | OP EX                          | OP EX       | None       | None                | None                           | Attendance Register   |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |                                  |   |   |  | Corporate Services –Vote 3   |                                     |                             |                             |                        |                           |                            |                    |                         |                                  |             |            |                     |                                |                       |  |
|---------------------------|----------------------------------|---|---|--|--|-------------------------------------|-----------------------------|-----------------------------|------------------------|---------------------------|----------------------------|--------------------|-------------------------|----------------------------------|-------------|------------|---------------------|--------------------------------|-----------------------|--|
| Outcome 9:                |                                  |   |   |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |                                     |                             |                             |                        |                           |                            |                    |                         |                                  |             |            |                     |                                |                       |  |
| Outputs 5:                |                                  |   |   |  | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |                                     |                             |                             |                        |                           |                            |                    |                         |                                  |             |            |                     |                                |                       |  |
| Key Strategic Objectives: |                                  |   |   |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |                                     |                             |                             |                        |                           |                            |                    |                         |                                  |             |            |                     |                                |                       |  |
| Project No.               | Key performance Area             | Strategic Objectives                              | Project Name                              | Project Description (major activities) | Location   | Key performance indicator           | Baseline                    | 2019/2020 Annual Targets    | Reviewed Annual Target | Quarter 3 Targets         | Reviewed Quarter 3 Targets | Quarter 3 Progress | 2019/2020 Annual Budget | Reviewed 2019/2020 Annual Budget | Expenditure | Challenges | Corrective Measures | Reasons for review/w/ variance | Means of verification |  |
|                           | ment                             | competent Human Capital and sound labour relation |   |  |  |                                     |                             |                             |                        |                           |                            |                    |                         |                                  |             |            |                     |                                |                       |  |
| CP SD -09                 | Municipal Transformation and Org | To effectively and efficiently                    | Hazard Identification and Risk Assessment | Conduct HIRA                           | CDM  | Number of HIRA activities conducted | 2 Hira activities conducted | 1 Hira activities conducted | Target not revised     | No target for the quarter | Target not revised         | Not Applicable     | OP EX                   | OP EX                            | OP EX       | None       | None                | None                           | HIRA Report           |  |



CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                            |  |                       |  | Corporate Services –Vote 3   |                           |             |                          |                        |                           |                            |                       |                         |                                  |             |            |                     |                                |                       |  |
|--|----------------------------|--|-----------------------|--|--|---------------------------|-------------|--------------------------|------------------------|---------------------------|----------------------------|-----------------------|-------------------------|----------------------------------|-------------|------------|---------------------|--------------------------------|-----------------------|--|
| Outcome 9:                               |                            |  |                       |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |                           |             |                          |                        |                           |                            |                       |                         |                                  |             |            |                     |                                |                       |  |
| Outputs 5:                               |                            |  |                       |  | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |                           |             |                          |                        |                           |                            |                       |                         |                                  |             |            |                     |                                |                       |  |
| Key Strategic Organizational Objectives: |                            |  |                       |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |                           |             |                          |                        |                           |                            |                       |                         |                                  |             |            |                     |                                |                       |  |
| Project No.                              | Key performance Area       | Strategic Objectives   | Project Name          | Project Description (major activities) | Location   | Key performance indicator | Baseline    | 2019/2020 Annual Targets | Reviewed Annual Target | Quarter 3 Targets         | Reviewed Quarter 3 Targets | Quarter 3 Progress    | 2019/2020 Annual Budget | Reviewed 2019/2020 Annual Budget | Expenditure | Challenges | Corrective Measures | Reasons for review/w/ variance | Means of verification |  |
|  | Organizational Development | recruit and retain competent Human Capital and sound labour relation |                       |  |  |                           |             |                          |                        |                           |                            |                       |                         |                                  |             |            |                     |                                |                       |  |
| CP SD -10                                | Municipal Transport        | To effective   | OHS Capacity Building | Capacity building                      | CDM  | Number of OHS             | 2 OHS capac | 2 OHS capac              | Target not             | No target for the quarter | Target not                 | <b>Not Applicable</b> | 247 000                 | Budget not                       |             | None       | None                | None                           | Attendance            |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |  |   |              |  | Corporate Services –Vote 3   |  |          |   |                        |                   |                            |                    |                       |                                |             |            |                     |                                |                       |
|---------------------------|--|---|--------------|--|--|--|----------|---|------------------------|-------------------|----------------------------|--------------------|-----------------------|--------------------------------|-------------|------------|---------------------|--------------------------------|-----------------------|
| Outcome 9:                |  |   |              |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |  |          |   |                        |                   |                            |                    |                       |                                |             |            |                     |                                |                       |
| Outputs 5:                |  |   |              |  | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |  |          |   |                        |                   |                            |                    |                       |                                |             |            |                     |                                |                       |
| Key Strategic Objectives: |  |   |              |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |  |          |   |                        |                   |                            |                    |                       |                                |             |            |                     |                                |                       |
| Project No.               | Key performance Area                       | Strategic Objectives  | Project Name | Project Description (major activities) | Location   | Key performance indicator              | Baseline | 2019/20 Annual Targets                  | Reviewed Annual Target | Quarter 3 Targets | Reviewed Quarter 3 Targets | Quarter 3 Progress | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reasons for review/w/ variance | Means of verification |
|                           | Information and Organizational Development | Efficiently recruit and retain competent Human Capital and sound labour |              | OHSA activities                        |  | Capacity building activities conducted |          | Capacity building activities conducted. | Revised                |                   | Revised                    |                    |                       | Revised                        |             |            |                     |                                | Register              |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |   |   |                               |   | Corporate Services –Vote 3   |  |  |  |                        |  |                            |  |                       |                                |             |            |                     |                                |  |
|---------------------------|---|---|-------------------------------|---|--|--|--|--|------------------------|--|----------------------------|--|-----------------------|--------------------------------|-------------|------------|---------------------|--------------------------------|--|
| Outcome 9:                |   |   |                               |   | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |  |  |  |                        |  |                            |  |                       |                                |             |            |                     |                                |  |
| Outputs 5:                |   |   |                               |   | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |  |  |  |                        |  |                            |  |                       |                                |             |            |                     |                                |  |
| Key Strategic Objectives: |   |   |                               |   | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |  |  |  |                        |  |                            |  |                       |                                |             |            |                     |                                |  |
| Project No.               | Key performance Area                                    | Strategic Objectives  | Project Name                  | Project Description (major activities)                  | Location   | Key performance indicator  | Baseline   | 2019/20 Annual Targets   | Reviewed Annual Target | Quarter 3 Targets  | Reviewed Quarter 3 Targets | Quarter 3 Progress   | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reasons for review/w/ variance | Means of verification                          |
|                           |   | relation  |                               |   |  |  |  |  |                        |  |                            |  |                       |                                |             |            |                     |                                |  |
| CP SD -11                 | Municipal Transformation and Organizational Development | To effectively and efficiently recruit and retain competent Human Capital | Personnel protective Clothing | Supply of protective clothing to requesting departments | CDM  | Percentage provision of personnel protective equipment to qualifying employees in line with the available budget | 90 percent provision of personnel protective equipment to qualifying employees | 100% provision of personnel protective equipment to qualifying employees in line with the available budget | Target not revised     | 100% provision of personnel protective equipment to qualifying employees in line with the available budget | Target not revised         | <b>Achieved</b> 100% provision of personnel protective equipment to qualifying employees in line with the available budget to be done in March | 2 650 000             | Budget not revised             |             | None       | None                | None                           | Personnel protective Clothing report / Invoice |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |   |   |                           |   | Corporate Services –Vote 3   |   |   |   |                        |   |                            |  |                         |                                  |             |            |                     |                                |  |  |
|--|---|---|---------------------------|---|--|---|---|---|------------------------|---|----------------------------|--|-------------------------|----------------------------------|-------------|------------|---------------------|--------------------------------|--|--|
| Outcome 9:                               |   |   |                           |   | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |   |   |   |                        |   |                            |  |                         |                                  |             |            |                     |                                |  |  |
| Outputs 5:                               |   |   |                           |   | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |   |   |   |                        |   |                            |  |                         |                                  |             |            |                     |                                |  |  |
| Key Strategic Organizational Objectives: |   |   |                           |   | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |   |   |   |                        |   |                            |  |                         |                                  |             |            |                     |                                |  |  |
| Project No.                              | Key performance Area                                    | Strategic Objectives  | Project Name              | Project Description (major activities)        | Location   | Key performance indicator                                       | Baseline  | 2019/2020 Annual Targets                                      | Reviewed Annual Target | Quarter 3 Targets   | Reviewed Quarter 3 Targets | Quarter 3 Progress   | 2019/2020 Annual Budget | Reviewed 2019/2020 Annual Budget | Expenditure | Challenges | Corrective Measures | Reasons for review/w/ variance | Means of verification                            |  |
|  |   | and sound labour relation   |                           |   |  |   |   |   |                        |   |                            |  |                         |                                  |             |            |                     |                                |  |  |
| CP SD -12                                | Municipal Transformation and Organizational Development | To effectively and efficiently recruit and retain competent Human Resources | Employee Wellness Program | Implementation of Employee Wellness Programme | CDM  | Percentage of implementation of employee wellness interventions | 100 percent implementation of employee wellness interventions | 100 percent implementation of employee wellness interventions | Target not revised     | 100 percent implementation of employee wellness interventions | Target not revised         | <b>Achieved</b> 100 percent implementation of employee wellness interventions done | 1 800 000               | 2 490 000                        |             | None       | None                | Insufficient Budget            | Employee wellness interventions Report/ Register |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |   |   |                   |  | Corporate Services –Vote 3   |  |  |  |                        |  |                            |  |                         |                                  |             |            |                     |                                |                          |
|---------------------------|---|---|-------------------|--|--|--|--|--|------------------------|--|----------------------------|--|-------------------------|----------------------------------|-------------|------------|---------------------|--------------------------------|--------------------------|
| Outcome 9:                |   |   |                   |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |  |  |  |                        |  |                            |  |                         |                                  |             |            |                     |                                |                          |
| Outputs 5:                |   |   |                   |  | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |  |  |  |                        |  |                            |  |                         |                                  |             |            |                     |                                |                          |
| Key Strategic Objectives: |   |   |                   |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |  |  |  |                        |  |                            |  |                         |                                  |             |            |                     |                                |                          |
| Project No.               | Key performance Area                                    | Strategic Objectives                              | Project Name      | Project Description (major activities) | Location   | Key performance indicator                        | Baseline                                 | 2019/2020 Annual Targets                 | Reviewed Annual Target | Quarter 3 Targets                        | Reviewed Quarter 3 Targets | Quarter 3 Progress                                       | 2019/2020 Annual Budget | Reviewed 2019/2020 Annual Budget | Expenditure | Challenges | Corrective Measures | Reasons for review/w/ variance | Means of verification    |
|                           |   | man Capital and sound labour relation             |                   |  |  |  |  |  |                        |  |                            |  |                         |                                  |             |            |                     |                                |                          |
| CP SD -13                 | Municipal Transformation and Organizational Development | To effectively and efficiently recruit and retain | Sports activities | Coordination of Sports Activities      | CDM  | Number of employee sports activities coordinated | 3 employee sports activities coordinated | 2 employee sports activities coordinated | Target not revised     | 1 employee sports activities coordinated | Target not revised         | <b>Achieved</b> 1 employee sports activities coordinated | OP EX                   | OP EX                            | OP EX       | None       | None                | None                           | Sports activities Report |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                      |  |              |  | Corporate Services –Vote 3   |                           |          |                          |                        |                   |                            |                    |                         |                                  |             |            |                     |                                |                       |
|--|----------------------|--|--------------|--|--|---------------------------|----------|--------------------------|------------------------|-------------------|----------------------------|--------------------|-------------------------|----------------------------------|-------------|------------|---------------------|--------------------------------|-----------------------|
| Outcome 9:                               |                      |  |              |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |                           |          |                          |                        |                   |                            |                    |                         |                                  |             |            |                     |                                |                       |
| Outputs 5:                               |                      |  |              |  | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |                           |          |                          |                        |                   |                            |                    |                         |                                  |             |            |                     |                                |                       |
| Key Strategic Organizational Objectives: |                      |  |              |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |                           |          |                          |                        |                   |                            |                    |                         |                                  |             |            |                     |                                |                       |
| Project No.                              | Key performance Area | Strategic Objectives                                 | Project Name | Project Description (major activities) | Location   | Key performance indicator | Baseline | 2019/2020 Annual Targets | Reviewed Annual Target | Quarter 3 Targets | Reviewed Quarter 3 Targets | Quarter 3 Progress | 2019/2020 Annual Budget | Reviewed 2019/2020 Annual Budget | Expenditure | Challenges | Corrective Measures | Reasons for review/w/ variance | Means of verification |
|  | elopment             | in competent Human Capital and sound labour relation |              |  |  |                           |          |                          |                        |                   |                            |                    |                         |                                  |             |            |                     |                                |                       |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |   |   |                             |  | Corporate Services –Vote 3   |   |  |  |                        |  |                            |   |                         |                                  |             |            |                     |   |                                    |
|---------------------------|---|---|-----------------------------|--|--|---|--|--|------------------------|--|----------------------------|---|-------------------------|----------------------------------|-------------|------------|---------------------|---|------------------------------------|
| Outcome 9:                |   |   |                             |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |   |  |  |                        |  |                            |   |                         |                                  |             |            |                     |   |                                    |
| Outputs 5:                |   |   |                             |  | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |   |  |  |                        |  |                            |   |                         |                                  |             |            |                     |   |                                    |
| Key Strategic Objectives: |   |   |                             |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |   |  |  |                        |  |                            |   |                         |                                  |             |            |                     |   |                                    |
| Project No.               | Key performance Area                                    | Strategic Objectives  | Project Name                | Project Description (major activities) | Location   | Key performance indicator   | Baseline   | 2019/2020 Annual Targets   | Reviewed Annual Target | Quarter 3 Targets  | Reviewed Quarter 3 Targets | Quarter 3 Progress  | 2019/2020 Annual Budget | Reviewed 2019/2020 Annual Budget | Expenditure | Challenges | Corrective Measures | Reasons for review w/ variance                | Means of verification              |
| CP SD -14                 | Municipal Transformation and Organizational Development | To effectively and efficiently recruit and retain competent Human Capital and sound | Employee (Labour) Relations | Effective Management of Labour cases   | CDM  | Percentage of referred cases attended to within the required time frame | 100 percent of referred cases attended to within the required time frame | 100 percent of referred cases attended to within the required time frame | Target not revised     | 100 percent of referred cases attended to within the required time frame | Target not revised         | <b>Not Applicable</b> 0 percent of referred cases attended to within the required time frame (No cases were referred) | 360 000                 | 260 000                          |             | None       | None                | Budget reduced to assist in critical projects | Employee (Labour) Relations Report |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |   |   |                    |  | Corporate Services –Vote 3   |  |                                 |                                |                        |                           |                            |                       |                         |                                  |             |            |                     |                                |                       |  |
|---------------------------|---|---|--------------------|--|--|--|---------------------------------|--------------------------------|------------------------|---------------------------|----------------------------|-----------------------|-------------------------|----------------------------------|-------------|------------|---------------------|--------------------------------|-----------------------|--|
| Outcome 9:                |   |   |                    |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |  |                                 |                                |                        |                           |                            |                       |                         |                                  |             |            |                     |                                |                       |  |
| Outputs 5:                |   |   |                    |  | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |  |                                 |                                |                        |                           |                            |                       |                         |                                  |             |            |                     |                                |                       |  |
| Key Strategic Objectives: |   |   |                    |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |  |                                 |                                |                        |                           |                            |                       |                         |                                  |             |            |                     |                                |                       |  |
| Project No.               | Key performance Area                                    | Strategic Objectives  | Project Name       | Project Description (major activities) | Location   | Key performance indicator              | Baseline                        | 2019/2020 Annual Targets       | Reviewed Annual Target | Quarter 3 Targets         | Reviewed Quarter 3 Targets | Quarter 3 Progress    | 2019/2020 Annual Budget | Reviewed 2019/2020 Annual Budget | Expenditure | Challenges | Corrective Measures | Reasons for review/w/ variance | Means of verification |  |
|                           |   | labour relations  |                    |  |  |  |                                 |                                |                        |                           |                            |                       |                         |                                  |             |            |                     |                                |                       |  |
| CP SD -15                 | Municipal Transformation and Organizational Development | To effectively and efficiently recruit and retain competent Human Capital | Induction sessions | Induction of new and employees         | CDM  | Number of induction sessions conducted | 10 induction sessions conducted | 2 induction sessions conducted | Target not revised     | No target for the quarter | Target not revised         | <b>Not applicable</b> | 50 000                  | Budget not revised               |             | None       | None                | None                           | Attendance Register   |  |



CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |   |   |                    |  | Corporate Services –Vote 3   |   |          |   |                        |                           |                            |                    |                         |                                  |             |            |                     |                                |                                      |  |
|---------------------------|---|---|--------------------|--|--|---|----------|---|------------------------|---------------------------|----------------------------|--------------------|-------------------------|----------------------------------|-------------|------------|---------------------|--------------------------------|--------------------------------------|--|
| Outcome 9:                |   |   |                    |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |   |          |   |                        |                           |                            |                    |                         |                                  |             |            |                     |                                |                                      |  |
| Outputs 5:                |   |   |                    |  | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |   |          |   |                        |                           |                            |                    |                         |                                  |             |            |                     |                                |                                      |  |
| Key Strategic Objectives: |   |   |                    |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |   |          |   |                        |                           |                            |                    |                         |                                  |             |            |                     |                                |                                      |  |
| Project No.               | Key performance Area                                    | Strategic Objectives  | Project Name       | Project Description (major activities) | Location   | Key performance indicator   | Baseline | 2019/2020 Annual Targets  | Reviewed Annual Target | Quarter 3 Targets         | Reviewed Quarter 3 Targets | Quarter 3 Progress | 2019/2020 Annual Budget | Reviewed 2019/2020 Annual Budget | Expenditure | Challenges | Corrective Measures | Reasons for review w/ variance | Means of verification                |  |
|                           |   | Capital and sound labour relations                          |                    |  |  |   |          |   |                        |                           |                            |                    |                         |                                  |             |            |                     |                                |                                      |  |
| CP SD -16                 | Municipal Transformation and Organizational Development | To effectively and efficiently recruit and retain competent | Submission of WSP. | Submission of the WSP to LGSET A       | CDM  | Number of Workplace Skills Plan and Annual Training Report (WSP and ATR) submitted to | 1        | Work place skills plan and Annual Training report (WSP and ATR) submitted | Target not revised     | No target for the quarter | Target not revised         | Not applicable     | OP EX                   | OP EX                            | OP EX       | None       | None                | None                           | WSP document and Proof of Submission |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |   |   |                       |  | Corporate Services –Vote 3   |  |   |   |                        |  |                            |  |                         |                                  |             |            |                     |                                |                                    |  |
|--|---|---|-----------------------|--|--|--|---|---|------------------------|--|----------------------------|--|-------------------------|----------------------------------|-------------|------------|---------------------|--------------------------------|------------------------------------|--|
| Outcome 9:                               |   |   |                       |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |  |   |   |                        |  |                            |  |                         |                                  |             |            |                     |                                |                                    |  |
| Outputs 5:                               |   |   |                       |  | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |  |   |   |                        |  |                            |  |                         |                                  |             |            |                     |                                |                                    |  |
| Key Strategic Organizational Objectives: |   |   |                       |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |  |   |   |                        |  |                            |  |                         |                                  |             |            |                     |                                |                                    |  |
| Project No.                              | Key performance Area                        | Strategic Objectives                        | Project Name          | Project Description (major activities) | Location   | Key performance indicator  | Baseline  | 2019/2020 Annual Targets                            | Reviewed Annual Target | Quarter 3 Targets  | Reviewed Quarter 3 Targets | Quarter 3 Progress   | 2019/2020 Annual Budget | Reviewed 2019/2020 Annual Budget | Expenditure | Challenges | Corrective Measures | Reasons for review/w/ variance | Means of verification              |  |
|  |   | nt Human Capital and sound labour relations |                       |  |  | LGSET A  | to LGSETA by April 2019                             | to LGSETA by April 2020                             |                        |  |                            |  |                         |                                  |             |            |                     |                                |                                    |  |
| CP SD -17                                | Municipal Transformation and Organizational | To effectively and efficiently recruit and  | Training of employees | Training of employees                  | CDM  | Percent age of the training budget spent on training of employees. | 95 percent of the training budget spent on training | 90 percent of the training budget spent on training | Target not revised     | 70 percent of the training budget spent on training of employees | Target not revised         | <b>Achieved</b> 82 percent of the training budget spent on training of employees | 1 250 000               | Budget not revised               |             | None       | None                | None                           | Expenditure Report/Training Report |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                          |   |   |  | Corporate Services –Vote 3   |  |                            |                                |                        |   |                            |   |                       |                                |             |            |                     |                                |                            |
|--|--------------------------|---|---|--|--|--|----------------------------|--------------------------------|------------------------|---|----------------------------|---|-----------------------|--------------------------------|-------------|------------|---------------------|--------------------------------|----------------------------|
| Outcome 9:                               |                          |   |   |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |  |                            |                                |                        |   |                            |   |                       |                                |             |            |                     |                                |                            |
| Outputs 5:                               |                          |   |   |  | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |  |                            |                                |                        |   |                            |   |                       |                                |             |            |                     |                                |                            |
| Key Strategic Organizational Objectives: |                          |   |   |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |  |                            |                                |                        |   |                            |   |                       |                                |             |            |                     |                                |                            |
| Project No.                              | Key performance Area     | Strategic Objectives                                      | Project Name                                    | Project Description (major activities) | Location   | Key performance indicator                | Baseline                   | 2019/20 Annual Targets         | Reviewed Annual Target | Quarter 3 Targets                                 | Reviewed Quarter 3 Targets | Quarter 3 Progress  | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reasons for review w/ variance | Means of verification      |
|  | Development              | retain competent Human Capital and sound labour relations |   |  |  |  | g of employees             | g of employees                 |                        |   |                            |   |                       |                                |             |            |                     |                                |                            |
| CP SD -18                                | Municipal Transformation | To effectively and effi                                   | Training of councillors and traditional leaders | Training of Councilors                 | CDM  | Percent age of the training budget spent | 90 percent of the training | 100% implementation of identif | Target not revised     | 60% implementation of identified training program | Target not revised         | <b>Achieved</b> 87,5% implementation of identified training | 1 500 000             | Budget not revised             |             | None       | None                | None                           | Expenditure Report/ traini |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |                                |   |              |  | Corporate Services –Vote 3   |  |   |   |                        |   |                            |  |                       |                                |             |            |                     |                                |                       |           |
|---------------------------|--------------------------------|---|--------------|--|--|--|---|---|------------------------|---|----------------------------|--|-----------------------|--------------------------------|-------------|------------|---------------------|--------------------------------|-----------------------|-----------|
| Outcome 9:                |                                |   |              |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |  |   |   |                        |   |                            |  |                       |                                |             |            |                     |                                |                       |           |
| Outputs 5:                |                                |   |              |  | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |  |   |   |                        |   |                            |  |                       |                                |             |            |                     |                                |                       |           |
| Key Strategic Objectives: |                                |   |              |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |  |   |   |                        |   |                            |  |                       |                                |             |            |                     |                                |                       |           |
| Project No.               | Key performance Area           | Strategic Objectives  | Project Name | Project Description (major activities) | Location   | Key performance indicator                          | Baseline  | 2019/20 Annual Targets  | Reviewed Annual Target | Quarter 3 Targets                           | Reviewed Quarter 3 Targets | Quarter 3 Progress                                 | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reasons for review/w/ variance | Means of verification |           |
|                           | and Organizational Development | efficiently recruit and retain competent Human Capital and sound labour relations |              |  |  | on training of councillors and traditional leaders | budget spent on training of councillors and traditional leaders | ied training programmes for Councillors and Traditional Leaders |                        | mes for Councillors and Traditional Leaders |                            | programmes for Councillors and Traditional Leaders |                       |                                |             |            |                     |                                |                       | ng report |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |   |   |                       |   | Corporate Services –Vote 3   |   |  |  |                        |  |                            |  |                       |                                |             |            |                     |                                |                       |
|---------------------------|---|---|-----------------------|---|--|---|--|--|------------------------|--|----------------------------|--|-----------------------|--------------------------------|-------------|------------|---------------------|--------------------------------|-----------------------|
| Outcome 9:                |   |   |                       |   | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |   |  |  |                        |  |                            |  |                       |                                |             |            |                     |                                |                       |
| Outputs 5:                |   |   |                       |   | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |   |  |  |                        |  |                            |  |                       |                                |             |            |                     |                                |                       |
| Key Strategic Objectives: |   |   |                       |   | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |   |  |  |                        |  |                            |  |                       |                                |             |            |                     |                                |                       |
| Project No.               | Key performance Area                                    | Strategic Objectives  | Project Name          | Project Description (major activities)      | Location   | Key performance indicator   | Baseline   | 2019/20 Annual Targets   | Reviewed Annual Target | Quarter 3 Targets  | Reviewed Quarter 3 Targets | Quarter 3 Progress   | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reasons for review w/ variance | Means of verification |
| CP SD -19                 | Municipal Transformation and Organizational Development | To effectively and efficiently recruit and retain competent Human Capital and sound | Bursary fund Internal | Awarding of bursaries to internal employees | CDM  | Percentage of eligible employees awarded with bursaries in line with available budget | 100 percent of eligible employees awarded with bursaries in line with available budget | 100 percent of eligible employees awarded with bursaries in line with available budget | Target not revised     | 100 percent of eligible employees awarded with bursaries in line with available budget | Target not revised         | <b>Achieved</b> 100 percent of eligible employees awarded with bursaries in line with available budget | 1 000 000             | Budget not revised             |             | None       | None                | None                           | Bursary fund Report   |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |   |   |                       |  | Corporate Services –Vote 3   |  |   |   |                        |   |                            |  |                       |                                |             |            |                     |   |                       |
|---------------------------|---|---|-----------------------|--|--|--|---|---|------------------------|---|----------------------------|--|-----------------------|--------------------------------|-------------|------------|---------------------|---|-----------------------|
| Outcome 9:                |   |   |                       |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |  |   |   |                        |   |                            |  |                       |                                |             |            |                     |   |                       |
| Outputs 5:                |   |   |                       |  | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |  |   |   |                        |   |                            |  |                       |                                |             |            |                     |   |                       |
| Key Strategic Objectives: |   |   |                       |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |  |   |   |                        |   |                            |  |                       |                                |             |            |                     |   |                       |
| Project No.               | Key performance Area                                    | Strategic Objectives  | Project Name          | Project Description (major activities) | Location   | Key performance indicator  | Baseline  | 2019/20 Annual Targets  | Reviewed Annual Target | Quarter 3 Targets   | Reviewed Quarter 3 Targets | Quarter 3 Progress   | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reasons for review w/ variance                | Means of verification |
|                           |   | labour relation   |                       |  |  |  |   |   |                        |   |                            |  |                       |                                |             |            |                     |   |                       |
| CP SD -20                 | Municipal Transformation and Organizational Development | To effectively and efficiently recruit and retain competent Human Capital | Bursary fund external | Awarding of bursary to external people | CDM  | Percentage of eligible people awarded with bursaries in line with available budget | 100 percent of eligible people awarded with bursaries in line with available budget | 100 percent of eligible people awarded with bursaries in line with available budget | Target not revised     | 100 percent of eligible people awarded with bursaries in line with available budget | Target not revised         | <b>Achieved</b> 100 percent of eligible people awarded with bursaries in line with available budget done | 500 000               | 400 000                        |             | None       | None                | Budget reduced to assist in critical projects | Bursary fund Report   |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |   |   |  |  | Corporate Services –Vote 3   |   |  |  |                        |  |                            |  |                       |                                |             |            |                     |                                |  |
|---------------------------|---|---|--|--|--|---|--|--|------------------------|--|----------------------------|--|-----------------------|--------------------------------|-------------|------------|---------------------|--------------------------------|--|
| Outcome 9:                |   |   |  |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |   |  |  |                        |  |                            |  |                       |                                |             |            |                     |                                |  |
| Outputs 5:                |   |   |  |  | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |   |  |  |                        |  |                            |  |                       |                                |             |            |                     |                                |  |
| Key Strategic Objectives: |   |   |  |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |   |  |  |                        |  |                            |  |                       |                                |             |            |                     |                                |  |
| Project No.               | Key performance Area                                    | Strategic Objectives  | Project Name                                       | Project Description (major activities)   | Location   | Key performance indicator   | Baseline   | 2019/20 Annual Targets   | Reviewed Annual Target | Quarter 3 Targets  | Reviewed Quarter 3 Targets | Quarter 3 Progress   | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reasons for review/w/ variance | Means of verification                    |
|                           |   | pital and sound labour relation                             |  |  |  |   |  |  |                        |  |                            |  |                       |                                |             |            |                     |                                |  |
| CP SD -21                 | Municipal Transformation and Organizational Development | To effectively and efficiently recruit and retain competent | Learnership, Internships and experiential training | Capacitate young people in the district with regard to Learnership, internship and experiential training | CDM  | Number of programs put in place to capacitate young people in the district (learnerships, internships, or | 1 program put in place to capacitate young people in the district (learnership | 1 program put in place to capacitate young people in the district (learnership | Target not revised     | 1 program put in place to capacitate young people in the district (learnerships, internships or experiential training) | Target not revised         | <b>Achieved</b> 1 program put in place to capacitate young people in the district (learnerships, internships or experiential | 500 000               | Budget not revised             |             | None       | None                | None                           | Attendance register/Programmes/Contracts |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |   |   |                          |  | Corporate Services –Vote 3   |   |  |   |                        |                           |                            |                       |                         |                                  |             |            |                     |                                |   |  |
|---------------------------|---|---|--------------------------|--|--|---|--|---|------------------------|---------------------------|----------------------------|-----------------------|-------------------------|----------------------------------|-------------|------------|---------------------|--------------------------------|---|--|
| Outcome 9:                |   |   |                          |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |   |  |   |                        |                           |                            |                       |                         |                                  |             |            |                     |                                |   |  |
| Outputs 5:                |   |   |                          |  | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |   |  |   |                        |                           |                            |                       |                         |                                  |             |            |                     |                                |   |  |
| Key Strategic Objectives: |   |   |                          |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |   |  |   |                        |                           |                            |                       |                         |                                  |             |            |                     |                                |   |  |
| Project No.               | Key performance Area                        | Strategic Objectives                        | Project Name             | Project Description (major activities)                             | Location   | Key performance indicator                           | Baseline   | 2019/2020 Annual Targets                                | Reviewed Annual Target | Quarter 3 Targets         | Reviewed Quarter 3 Targets | Quarter 3 Progress    | 2019/2020 Annual Budget | Reviewed 2019/2020 Annual Budget | Expenditure | Challenges | Corrective Measures | Reasons for review/w/ variance | Means of verification                         |  |
|                           |   | nt Human Capital and sound labour relations |                          |  |  | experiential training )                             | s, internships or experiential training)             | s, internships or experiential training)                |                        |                           |                            | training) done        |                         |                                  |             |            |                     |                                |   |  |
| CP SD -23                 | Municipal Transformation and Organizational | To effectively and efficiently recruit and  | Employment Equity report | Submission of the employment Equity report to Department of Labour | CDM  | Number of employment equity report submitted to DoL | 1 employment equity report submitted to DoL by Janua | 1 Submission of the employment equity reports to DoL by | Target not revised     | No target for the quarter | Target not revised         | <b>Not Applicable</b> | OP EX                   | OP EX                            | OP EX       | None       | None                | None                           | Employment Equity Report. Proof of Submission |  |



CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |                          |   |                        |  | Corporate Services –Vote 3   |   |                                |                                |                        |  |                            |   |                         |                                  |             |            |                     |                                |                        |  |
|---------------------------|--------------------------|---|------------------------|--|--|---|--------------------------------|--------------------------------|------------------------|--|----------------------------|---|-------------------------|----------------------------------|-------------|------------|---------------------|--------------------------------|------------------------|--|
| Outcome 9:                |                          |   |                        |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |   |                                |                                |                        |  |                            |   |                         |                                  |             |            |                     |                                |                        |  |
| Outputs 5:                |                          |   |                        |  | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |   |                                |                                |                        |  |                            |   |                         |                                  |             |            |                     |                                |                        |  |
| Key Strategic Objectives: |                          |   |                        |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |   |                                |                                |                        |  |                            |   |                         |                                  |             |            |                     |                                |                        |  |
| Project No.               | Key performance Area     | Strategic Objectives                                      | Project Name           | Project Description (major activities) | Location   | Key performance indicator               | Baseline                       | 2019/2020 Annual Targets       | Reviewed Annual Target | Quarter 3 Targets                          | Reviewed Quarter 3 Targets | Quarter 3 Progress                                      | 2019/2020 Annual Budget | Reviewed 2019/2020 Annual Budget | Expenditure | Challenges | Corrective Measures | Reasons for review/w/ variance | Means of verification  |  |
|                           | Development              | retain competent Human Capital and sound labour relations |                        |  |  |   | ry 2019                        | December 2019                  |                        |  |                            |   |                         |                                  |             |            |                     |                                |                        |  |
| CP SD -24                 | Municipal Transformation | To effectively and effi                                   | Employment Equity Plan | Implementation of employment           | CDM  | Percent age of filled positions occupie | 95 percent of filled positions | 95 percent of filled positions | Target not revised     | 95 percent of filled positions occupied by | Target not revised         | <b>Achieved</b> 97 percent of filled positions occupied | OP EX                   | OP EX                            | OP EX       | N one      | N one               | None                           | Employment Equity Plan |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |                                |   |              |  | Corporate Services –Vote 3   |   |   |   |                        |   |                            |  |                       |                                |             |            |                     |                                |                       |
|---------------------------|--------------------------------|---|--------------|--|--|---|---|---|------------------------|---|----------------------------|--|-----------------------|--------------------------------|-------------|------------|---------------------|--------------------------------|-----------------------|
| Outcome 9:                |                                |   |              |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |   |   |   |                        |   |                            |  |                       |                                |             |            |                     |                                |                       |
| Outputs 5:                |                                |   |              |  | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |   |   |   |                        |   |                            |  |                       |                                |             |            |                     |                                |                       |
| Key Strategic Objectives: |                                |   |              |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |   |   |   |                        |   |                            |  |                       |                                |             |            |                     |                                |                       |
| Project No.               | Key performance Area           | Strategic Objectives  | Project Name | Project Description (major activities) | Location   | Key performance indicator   | Baseline  | 2019/20 Annual Targets  | Reviewed Annual Target | Quarter 3 Targets   | Reviewed Quarter 3 Targets | Quarter 3 Progress   | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reasons for review/w/ variance | Means of verification |
|                           | and Organizational Development | efficiently recruit and retain competent Human Capital and sound labour relations |              | equity plan                            |  | filled by employees from Employment Equity target groups employed in the four highest levels of management in compliance with the Employment Equity Act | occupied by employees from Employment Equity target groups employed in the four highest positions | occupied by employees from Employment Equity target groups employed in the four highest positions |                        | employees from Employment Equity target groups employed in the four highest positions |                            | by employees from Employment Equity target groups employed in the four highest positions |                       |                                |             |            |                     |                                | Report                |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |   |   |   |  | Corporate Services –Vote 3   |   |  |  |                        |                           |                            |                       |                       |                                |             |            |                     |                                |                                       |
|---------------------------|---|---|---|--|--|---|--|--|------------------------|---------------------------|----------------------------|-----------------------|-----------------------|--------------------------------|-------------|------------|---------------------|--------------------------------|---------------------------------------|
| Outcome 9:                |   |   |   |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |   |  |  |                        |                           |                            |                       |                       |                                |             |            |                     |                                |                                       |
| Outputs 5:                |   |   |   |  | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |   |  |  |                        |                           |                            |                       |                       |                                |             |            |                     |                                |                                       |
| Key Strategic Objectives: |   |   |   |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |   |  |  |                        |                           |                            |                       |                       |                                |             |            |                     |                                |                                       |
| Project No.               | Key performance Area                                    | Strategic Objectives  | Project Name  | Project Description (major activities)                                   | Location   | Key performance indicator   | Baseline   | 2019/20 Annual Targets   | Reviewed Annual Target | Quarter 3 Targets         | Reviewed Quarter 3 Targets | Quarter 3 Progress    | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reasons for review/w/ variance | Means of verification                 |
| CP SD -26                 | Municipal Transformation and Organizational Development | To provide effective and efficient ICT services within the Municipality | Procurement and implementation of computer hardware , software and networks | Procurement Internal software, network , switches, tablets and computers | All CDM offices  | Number of computer hardware equipment, software and networks procured and implemented | 40 computer hardware equipment, software and networks procured and implemented | 48 computer hardware equipment, software and networks procured and implemented | Target not revised     | No target for the quarter | Target not revised         | <b>Not Applicable</b> | 1 010 000             | 1 646 000                      |             | None       | None                | Insufficient Budget            | Delivery note/ Invoice/Correspondence |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |   |   |                           |  | Corporate Services –Vote 3   |                               |                    |                        |                        |                           |                            |                    |                       |                                |             |            |                     |                                |  |
|---------------------------|---|---|---------------------------|--|--|-------------------------------|--------------------|------------------------|------------------------|---------------------------|----------------------------|--------------------|-----------------------|--------------------------------|-------------|------------|---------------------|--------------------------------|--|
| Outcome 9:                |   |   |                           |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |                               |                    |                        |                        |                           |                            |                    |                       |                                |             |            |                     |                                |  |
| Outputs 5:                |   |   |                           |  | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |                               |                    |                        |                        |                           |                            |                    |                       |                                |             |            |                     |                                |  |
| Key Strategic Objectives: |   |   |                           |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |                               |                    |                        |                        |                           |                            |                    |                       |                                |             |            |                     |                                |  |
| Project No.               | Key performance Area                                    | Strategic Objectives  | Project Name              | Project Description (major activities) | Location   | Key performance indicator     | Baseline           | 2019/20 Annual Targets | Reviewed Annual Target | Quarter 3 Targets         | Reviewed Quarter 3 Targets | Quarter 3 Progress | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reasons for review/w/ variance | Means of verification                      |
| CP SD -27                 | Municipal Transformation and Organizational Development | To provide effective and efficient ICT services within the Municipality | Procurement of IT Cameras | Procurement of IT equipment for PMU    | Infrastructure   | Number of IT Cameras Procured | 5 Cameras procured | 5 Cameras procured     | Target not revised     | No target for the quarter | Target not revised         | Not Applicable     | 43 000                | Budget not revised             |             | None       | None                | None                           | 1 report on procurement of cameras/invoice |
| CP SD -28                 | Municipal Transformation                                | To provide  | Disaster recovery plan    | Data recovery site                     | CDM site   | Number of disaster            | New Indicator      | 1 Disaster             | Target not             | No target for the quarter | Target not                 | Not Applicable     | 1 200 000             | Project com                    |             | None       | None                | None                           | 1 report on                                |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |  |   |  |  | Corporate Services –Vote 3   |                                  |                                |  |                        |  |                            |  |                         |                                  |              |            |                     |                                |                                |                           |
|---------------------------|--|---|--|--|--|----------------------------------|--------------------------------|--|------------------------|--|----------------------------|--|-------------------------|----------------------------------|--------------|------------|---------------------|--------------------------------|--------------------------------|---------------------------|
| Outcome 9:                |  |   |  |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |                                  |                                |  |                        |  |                            |  |                         |                                  |              |            |                     |                                |                                |                           |
| Outputs 5:                |  |   |  |  | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |                                  |                                |  |                        |  |                            |  |                         |                                  |              |            |                     |                                |                                |                           |
| Key Strategic Objectives: |  |   |  |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |                                  |                                |  |                        |  |                            |  |                         |                                  |              |            |                     |                                |                                |                           |
| Project No.               | Key performance Area                       | Strategic Objectives  | Project Name                           | Project Description (major activities) | Location   | Key performance indicator        | Baseline                       | 2019/2020 Annual Targets               | Reviewed Annual Target | Quarter 3 Targets                      | Reviewed Quarter 3 Targets | Quarter 3 Progress                                     | 2019/2020 Annual Budget | Reviewed 2019/2020 Annual Budget | Expenditure  | Challenges | Corrective Measures | Reasons for review/w/ variance | Means of verification          |                           |
|                           | Information and Organizational Development | effective and efficient ICT services with in the Municipality | review and implementation              | implemented for disaster recovery      | offices  | recovery replication implemented |                                | recovery plan reviewed and implemented | revised                |  | revised                    |  |                         | pleted                           |              |            |                     |                                |                                | disaster recovery/invoice |
| CP SD -29                 | Municipal Transformation and               | To provide effective  | Maintenance of IT systems and licences | SAP, Teammate, Antiviruses, EMS/DMS,   | CDM  | Percentage of systems maintained | 100 percent maintenance of ICT | 100 percent maintenance of ICT         | Target not revised     | 100 percent maintenance of ICT systems | Target not revised         | <b>Achieved</b> 100 percent maintenance of ICT systems | 5 293 000               | 5 775 000.00                     | 3 001 378.91 | None       | None                | Insufficient Budget            | maintenance of ICT systems and |                           |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |   |   |   |   | Corporate Services –Vote 3   |   |   |   |                        |   |                            |  |                       |                                |              |            |                     |                                |                        |
|---------------------------|---|---|---|---|--|---|---|---|------------------------|---|----------------------------|--|-----------------------|--------------------------------|--------------|------------|---------------------|--------------------------------|------------------------|
| Outcome 9:                |   |   |   |   | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |   |   |   |                        |   |                            |  |                       |                                |              |            |                     |                                |                        |
| Outputs 5:                |   |   |   |   | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |   |   |   |                        |   |                            |  |                       |                                |              |            |                     |                                |                        |
| Key Strategic Objectives: |   |   |   |   | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |   |   |   |                        |   |                            |  |                       |                                |              |            |                     |                                |                        |
| Project No.               | Key performance Area                        | Strategic Objectives                                | Project Name  | Project Description (major activities)                  | Location   | Key performance indicator                                   | Baseline  | 2019/20 Annual Targets                            | Reviewed Annual Target | Quarter 3 Targets   | Reviewed Quarter 3 Targets | Quarter 3 Progress   | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure  | Challenges | Corrective Measures | Reasons for review/w/ variance | Means of verification  |
|                           | Organizational Development                  | and efficient ICT services with in the Municipality |   | Microsoft, Telkom, MPLS (Multiprotocol label switching) |  | and licenced  | systems and licencing                             | systems and licencing                             |                        | and licencing   |                            | and licencing  |                       |                                |              |            |                     |                                | licencing report       |
| CP SD -30                 | Municipal Transformation and Organizational | To provide effective and efficient                  | Financial System Upgrade, enhancement and Maintenance | Phoenix support   | CDM  | Percentage upgrade, enhancement, maintenance and support of | 100 percent upgrade, enhancement, maintenance and | 100 percent upgrade, enhancement, maintenance and | Target not revised     | 100 percent upgrade, enhancement, maintenance and support of Phoenix system | Target not revised         | <b>Achieved</b> 100 percent upgrade, enhancement, maintenance and support of | 2 493 000 + 1 500 000 | 10 894 000.00                  | 1 085 913.44 | None       | None                | Insufficient Budget            | Phoenix support report |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |   |   |                |  | Corporate Services –Vote 3   |   |   |  |                        |                           |                            |                       |                       |                                |             |            |                     |                                |  |  |
|---------------------------|---|---|----------------|--|--|---|---|--|------------------------|---------------------------|----------------------------|-----------------------|-----------------------|--------------------------------|-------------|------------|---------------------|--------------------------------|--|--|
| Outcome 9:                |   |   |                |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |   |   |  |                        |                           |                            |                       |                       |                                |             |            |                     |                                |  |  |
| Outputs 5:                |   |   |                |  | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |   |   |  |                        |                           |                            |                       |                       |                                |             |            |                     |                                |  |  |
| Key Strategic Objectives: |   |   |                |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |   |   |  |                        |                           |                            |                       |                       |                                |             |            |                     |                                |  |  |
| Project No.               | Key performance Area                                    | Strategic Objectives                            | Project Name   | Project Description (major activities)     | Location   | Key performance indicator                       | Baseline                                    | 2019/20 Annual Targets   | Reviewed Annual Target | Quarter 3 Targets         | Reviewed Quarter 3 Targets | Quarter 3 Progress    | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reasons for review w/ variance | Means of verification                                  |  |
|                           | Development   | ICT services with in the Municipality           |                |  |  | Phoenix system                                  | support of Phoenix system                   | support of Phoenix system                                      |                        |                           |                            | Phoenix system        |                       |                                |             |            |                     |                                |  |  |
| CP SD -31                 | Municipal Transformation and Organizational Development | To provide effective and efficient ICT services | Access Control | Access Control System at the remote office | CDM  | Number of offices installed with access control | 5 CDM offices installed with access control | 1 CDM offices installed with access control and camera systems | Target not revised     | No target for the quarter | Target not revised         | <b>Not Applicable</b> | 50 000                | 557 000.00                     | 0.00        | None       | None                | Insufficient Budget            | Access Control System Report/ Proof of payment/Invoice |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |   |   |                        |  | Corporate Services –Vote 3   |                              |               |                          |                        |                           |                            |                       |                         |                                  |             |            |                     |                                |   |  |
|---------------------------|---|---|------------------------|--|--|------------------------------|---------------|--------------------------|------------------------|---------------------------|----------------------------|-----------------------|-------------------------|----------------------------------|-------------|------------|---------------------|--------------------------------|---|--|
| Outcome 9:                |   |   |                        |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |                              |               |                          |                        |                           |                            |                       |                         |                                  |             |            |                     |                                |   |  |
| Outputs 5:                |   |   |                        |  | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |                              |               |                          |                        |                           |                            |                       |                         |                                  |             |            |                     |                                |   |  |
| Key Strategic Objectives: |   |   |                        |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |                              |               |                          |                        |                           |                            |                       |                         |                                  |             |            |                     |                                |   |  |
| Project No.               | Key performance Area                                    | Strategic Objectives  | Project Name           | Project Description (major activities) | Location   | Key performance indicator    | Baseline      | 2019/2020 Annual Targets | Reviewed Annual Target | Quarter 3 Targets         | Reviewed Quarter 3 Targets | Quarter 3 Progress    | 2019/2020 Annual Budget | Reviewed 2019/2020 Annual Budget | Expenditure | Challenges | Corrective Measures | Reasons for review/w/ variance | Means of verification                       |  |
|                           |   | with in the Municipality                                      |                        |  |  |                              |               |                          |                        |                           |                            |                       |                         |                                  |             |            |                     |                                |   |  |
| CP SD -32                 | Municipal Transformation and Organizational Development | To provide effective and efficient ICT services within the Mu | Review of ICT Strategy | ICT strategy review                    | CDM  | Number of IT strategy review | New Indicator | 1 IT strategy review     | Target not revised     | No target for the quarter | Target not revised         | <b>Not Applicable</b> | 800 000                 | Budget not revised               | 110 000 .00 | None       | None                | None                           | Report on the review of IT strategy/invoice |  |



CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |   |  |                                |  | Corporate Services –Vote 3   |                                     |   |   |                        |                                   |                            |  |                         |                                  |             |            |                     |                                |                                |  |
|---------------------------|---|--|--------------------------------|--|--|-------------------------------------|---|---|------------------------|-----------------------------------|----------------------------|--|-------------------------|----------------------------------|-------------|------------|---------------------|--------------------------------|--------------------------------|--|
| Outcome 9:                |   |  |                                |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |                                     |   |   |                        |                                   |                            |  |                         |                                  |             |            |                     |                                |                                |  |
| Outputs 5:                |   |  |                                |  | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |                                     |   |   |                        |                                   |                            |  |                         |                                  |             |            |                     |                                |                                |  |
| Key Strategic Objectives: |   |  |                                |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |                                     |   |   |                        |                                   |                            |  |                         |                                  |             |            |                     |                                |                                |  |
| Project No.               | Key performance Area                                    | Strategic Objectives                                     | Project Name                   | Project Description (major activities) | Location   | Key performance indicator           | Baseline  | 2019/2020 Annual Targets  | Reviewed Annual Target | Quarter 3 Targets                 | Reviewed Quarter 3 Targets | Quarter 3 Progress   | 2019/2020 Annual Budget | Reviewed 2019/2020 Annual Budget | Expenditure | Challenges | Corrective Measures | Reasons for review/w/ variance | Means of verification          |  |
|                           |   | municipality   |                                |  |  |                                     |   |   |                        |                                   |                            |  |                         |                                  |             |            |                     |                                |                                |  |
| CP SD -33                 | Municipal Transformation and Organizational Development | To provide auxiliary support services to all departments | Office Furniture               | Procurement of office furniture        | CDM  | Number of office furniture procured | 100 Percentage of requested office furniture procured | 100 percent of requested office furniture procured in line with available budget by June 2020 | Target not revised     | SLA developed and signed          | Target not revised         | <b>Not Applicable</b><br>Purchase of High back Ergonomic Chair done through normal SCM Processes | 1 700 000               | 3 800 000.00                     |             | None       | None                | Insufficient Budget            | Proof of payment/Delivery note |  |
| CP SD -34                 | Municipal Transformation                                | To provide auxiliary                                     | Procurement of Air-Conditioner | Procurement of air conditioners        | CDM  | Number of air-conditioners          | 100 percent replacement                               | 10 air-conditioners   | Target not revised     | Terms of reference signed off and | Target not revised         | <b>Not Applicable</b><br>Term contracto  | 450 000                 | 749 000.00                       |             | None       | None                | Insufficient Budget            | air-conditioners/delivery      |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |   |  |                                |  | Corporate Services –Vote 3   |                                     |                       |                            |                        |                          |                            |   |                         |                                  |             |                                      |                     |                                |                        |
|---------------------------|---|--|--------------------------------|--|--|-------------------------------------|-----------------------|----------------------------|------------------------|--------------------------|----------------------------|---|-------------------------|----------------------------------|-------------|--------------------------------------|---------------------|--------------------------------|------------------------|
| Outcome 9:                |   |  |                                |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |                                     |                       |                            |                        |                          |                            |   |                         |                                  |             |                                      |                     |                                |                        |
| Outputs 5:                |   |  |                                |  | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |                                     |                       |                            |                        |                          |                            |   |                         |                                  |             |                                      |                     |                                |                        |
| Key Strategic Objectives: |   |  |                                |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |                                     |                       |                            |                        |                          |                            |   |                         |                                  |             |                                      |                     |                                |                        |
| Project No.               | Key performance Area                                    | Strategic Objectives                             | Project Name                   | Project Description (major activities) | Location   | Key performance indicator           | Baseline              | 2019/2020 Annual Targets   | Reviewed Annual Target | Quarter 3 Targets        | Reviewed Quarter 3 Targets | Quarter 3 Progress  | 2019/2020 Annual Budget | Reviewed 2019/2020 Annual Budget | Expenditure | Challenges                           | Corrective Measures | Reasons for review/w/ variance | Means of verification  |
|                           | on and Organizational Development                       | iliary support services to all departments       |                                |  |  | procured                            | t of air-conditioners | installed                  |                        | submitted                |                            | r appointed in 2017 for a period of 3 years ending 28 <sup>th</sup> June 2020 |                         |                                  |             |                                      |                     |                                | note/ Proof of payment |
| CP SD -35                 | Municipal Transformation and Organizational Development | To provide auxiliary support services to all dep | Refurbishment of Fire Stations | Refurbishment of Fire Stations         | CDM Fire Stations  | Number of fire stations refurbished | New indicator         | 2 fire station refurbished | Target not revised     | SLA developed and signed | Target not revised         | Not Achieved<br>SLA developed and signed                                      | 2 000 000               | Budget not revised               |             | Declaration of the State of Disaster | None                | None                           | Payment invoices       |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |   |  |                                     |  | Corporate Services –Vote 3   |  |   |   |                        |   |                            |   |                       |                                |             |                    |                     |                                |   |
|--|---|--|-------------------------------------|--|--|--|---|---|------------------------|---|----------------------------|---|-----------------------|--------------------------------|-------------|--------------------|---------------------|--------------------------------|---|
| Outcome 9:                               |   |  |                                     |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |  |   |   |                        |   |                            |   |                       |                                |             |                    |                     |                                |   |
| Outputs 5:                               |   |  |                                     |  | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |  |   |   |                        |   |                            |   |                       |                                |             |                    |                     |                                |   |
| Key Strategic Organizational Objectives: |   |  |                                     |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |  |   |   |                        |   |                            |   |                       |                                |             |                    |                     |                                |   |
| Project No.                              | Key performance Area                                    | Strategic Objectives                                     | Project Name                        | Project Description (major activities) | Location   | Key performance indicator                      | Baseline  | 2019/20 Annual Targets                          | Reviewed Annual Target | Quarter 3 Targets                               | Reviewed Quarter 3 Targets | Quarter 3 Progress  | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges         | Corrective Measures | Reasons for review/w/ variance | Means of verification                               |
|  | ment  | artments   |                                     |  |  |  |   |   |                        |   |                            |   |                       |                                |             | ster and Lock down |                     |                                |   |
| CP SD -36                                | Municipal Transformation and Organizational Development | To provide auxiliary support services to all departments | Repairs and maintenance of vehicles | Repairs and maintenance [Vehicles]     | CDM  | Percentage compliance with vehicle maintenance | 100 percent compliance with vehicle maintenance | 100 percent compliance with vehicle maintenance | Target not revised     | 100 percent compliance with vehicle maintenance | Target not revised         | <b>Achieved</b> 100 percent compliance with vehicle maintenance | 7 260 000             | Budget not revised             |             | None               | None                | None                           | Vehicle Compliance report / maintenance plan report |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |   |  |                               |  | Corporate Services –Vote 3   |   |   |                        |                        |                          |                            |                                   |                       |                                |             |            |                     |                                |                             |
|---------------------------|---|--|-------------------------------|--|--|---|---|------------------------|------------------------|--------------------------|----------------------------|-----------------------------------|-----------------------|--------------------------------|-------------|------------|---------------------|--------------------------------|-----------------------------|
| Outcome 9:                |   |  |                               |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |   |   |                        |                        |                          |                            |                                   |                       |                                |             |            |                     |                                |                             |
| Outputs 5:                |   |  |                               |  | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |   |   |                        |                        |                          |                            |                                   |                       |                                |             |            |                     |                                |                             |
| Key Strategic Objectives: |   |  |                               |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |   |   |                        |                        |                          |                            |                                   |                       |                                |             |            |                     |                                |                             |
| Project No.               | Key performance Area                                    | Strategic Objectives                                     | Project Name                  | Project Description (major activities) | Location   | Key performance indicator               | Baseline                                  | 2019/20 Annual Targets | Reviewed Annual Target | Quarter 3 Targets        | Reviewed Quarter 3 Targets | Quarter 3 Progress                | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reasons for review w/ variance | Means of verification       |
| CP SD -37                 | Municipal Transformation and Organizational Development | To provide auxiliary support services to all departments | Plant and equipment purchases | Purchasing of plants and equipment     | CDM  | Number of Plant and Equipment purchased | Service Provider appointed and SLA signed | 1 Vehicle purchased    | Target not revised     | SLA developed and signed | Target not revised         | Achieved SLA developed and signed | 1 850 00              | 7 905 00                       |             | None       | None                | Insufficient Budget            | Report and proof of payment |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |   |  |                        |  | Corporate Services –Vote 3   |                                   |   |                            |                        |                          |                            |   |                       |                                |             |   |                     |                                |                               |
|---------------------------|---|--|------------------------|--|--|-----------------------------------|---|----------------------------|------------------------|--------------------------|----------------------------|---|-----------------------|--------------------------------|-------------|---|---------------------|--------------------------------|-------------------------------|
| Outcome 9:                |   |  |                        |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |                                   |   |                            |                        |                          |                            |   |                       |                                |             |   |                     |                                |                               |
| Outputs 5:                |   |  |                        |  | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |                                   |   |                            |                        |                          |                            |   |                       |                                |             |   |                     |                                |                               |
| Key Strategic Objectives: |   |  |                        |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |                                   |   |                            |                        |                          |                            |   |                       |                                |             |   |                     |                                |                               |
| Project No.               | Key performance Area                                    | Strategic Objectives                                     | Project Name           | Project Description (major activities) | Location   | Key performance indicator         | Baseline                                  | 2019/20 Annual Targets     | Reviewed Annual Target | Quarter 3 Targets        | Reviewed Quarter 3 Targets | Quarter 3 Progress                        | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges                                  | Corrective Measures | Reasons for review/w/ variance | Means of verification         |
| CP SD -39                 | Municipal Transformation and Organizational Development | To provide auxiliary support services to all departments | Water Tankers          | Purchasing of water tankers            | CDM  | Number of water tankers purchased | Service provider appointed and SLA signed | 4 water vehicles purchased | Target not revised     | SLA developed and signed | Target not revised         | Not Achieved SLA not developed and signed | 3 000 000             | 5 860 000                      |             | Tender serving at BEC and awaiting approval |                     | Insufficient Budget            | Progress and proof of payment |
| CP SD -41                 | Municipal Transformation                                | To provide   | Paving of fire station | Installation of paving                 | CDM fire   | Number of remote                  | Service provider                          | 2 remote                   | Target not             | SLA developed            | Target not                 | Not Achieved                              | 500 000               | 1 209 000                      |             | Declaring                                   |                     | Insufficient                   | Progress and                  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |  | Corporate Services –Vote 3   |                                |  |             |                            |                                     |                              |                        |                          |                            |   |                         |                                  |             |   |                     |                                |                       |
|---------------------------|--|--|--------------------------------|--|-------------|----------------------------|-------------------------------------|------------------------------|------------------------|--------------------------|----------------------------|---|-------------------------|----------------------------------|-------------|---|---------------------|--------------------------------|-----------------------|
| Outcome 9:                |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |                                |  |             |                            |                                     |                              |                        |                          |                            |   |                         |                                  |             |   |                     |                                |                       |
| Outputs 5:                |  | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |                                |  |             |                            |                                     |                              |                        |                          |                            |   |                         |                                  |             |   |                     |                                |                       |
| Key Strategic Objectives: |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |                                |  |             |                            |                                     |                              |                        |                          |                            |   |                         |                                  |             |   |                     |                                |                       |
| Project No.               | Key performance Area                       | Strategic Objectives   | Project Name                   | Project Description (major activities) | Location    | Key performance indicator  | Baseline                            | 2019/2020 Annual Targets     | Reviewed Annual Target | Quarter 3 Targets        | Reviewed Quarter 3 Targets | Quarter 3 Progress                        | 2019/2020 Annual Budget | Reviewed 2019/2020 Annual Budget | Expenditure | Challenges                                  | Corrective Measures | Reasons for review/w/ variance | Means of verification |
|                           | Information and Organizational Development | auxiliary support services to all departments  |                                | at remote offices                      | stations    | office paved.              | er not appointed and SLA not signed | office paved                 | revised                | d and signed             | revised                    | SLA not developed and signed              |                         |                                  |             | ation of the State of Disaster and Lockdown |                     | Budget                         | proof of payment      |
| CP SD -42                 | Municipal Transformation                   | To provide auxiliary   | Purchasing of backup generator | Purchasing of backup generator         | CDM Offices | Number of backup generator | Service Provider appointed          | 1 backup generator purchased | Target not revised     | SLA developed and signed | Target not revised         | Not Achieved SLA not developed and signed | 65000                   | Budget not revised               |             | Declaration of                              |                     | None                           | Progress and proof of |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |   |                                     |                                |  | Corporate Services –Vote 3   |                                |                                    |                                   |                        |                          |                            |   |                         |                                  |             |                                    |                     |                                |                               |
|---------------------------|---|-------------------------------------|--------------------------------|--|--|--------------------------------|------------------------------------|-----------------------------------|------------------------|--------------------------|----------------------------|---|-------------------------|----------------------------------|-------------|------------------------------------|---------------------|--------------------------------|-------------------------------|
| Outcome 9:                |   |                                     |                                |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |                                |                                    |                                   |                        |                          |                            |   |                         |                                  |             |                                    |                     |                                |                               |
| Outputs 5:                |   |                                     |                                |  | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |                                |                                    |                                   |                        |                          |                            |   |                         |                                  |             |                                    |                     |                                |                               |
| Key Strategic Objectives: |   |                                     |                                |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |                                |                                    |                                   |                        |                          |                            |   |                         |                                  |             |                                    |                     |                                |                               |
| Project No.               | Key performance Area                      | Strategic Objectives                | Project Name                   | Project Description (major activities) | Location   | Key performance indicator      | Baseline                           | 2019/2020 Annual Targets          | Reviewed Annual Target | Quarter 3 Targets        | Reviewed Quarter 3 Targets | Quarter 3 Progress                        | 2019/2020 Annual Budget | Reviewed 2019/2020 Annual Budget | Expenditure | Challenges                         | Corrective Measures | Reasons for review/w/ variance | Means of verification         |
|                           | and Organizational Development            | support services to all departments |                                |  |  | purchased                      | and SLA signed                     | ased (Mole mole Satellite Office) |                        |                          |                            |   |                         |                                  |             | the State of Disaster and Lockdown |                     |                                | payment                       |
| CP SD -43                 | Municipal Transformation and Organization | To provide auxiliary support        | Refurbishment of fire vehicles | Refurbishment of fire vehicles         | CDM Offices  | Number of vehicles refurbished | Service provider appointed and SLA | 2 vehicles refurbished            | Target not revised     | SLA developed and signed | Target not revised         | Not Achieved SLA not developed and signed | 2 200 000               | 3 250 000                        |             | Declaration of the State           | None                | Insufficient Budget            | Progress and proof of payment |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |   |                                |                 |   | Corporate Services –Vote 3   |   |   |  |                        |                           |                            |                    |                         |                                  |             |                              |                     |                                |                                      |
|--|---|--------------------------------|-----------------|---|--|---|---|--|------------------------|---------------------------|----------------------------|--------------------|-------------------------|----------------------------------|-------------|------------------------------|---------------------|--------------------------------|--------------------------------------|
| Outcome 9:                               |   |                                |                 |   | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |   |   |  |                        |                           |                            |                    |                         |                                  |             |                              |                     |                                |                                      |
| Outputs 5:                               |   |                                |                 |   | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |   |   |  |                        |                           |                            |                    |                         |                                  |             |                              |                     |                                |                                      |
| Key Strategic Organizational Objectives: |   |                                |                 |   | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |   |   |  |                        |                           |                            |                    |                         |                                  |             |                              |                     |                                |                                      |
| Project No.                              | Key performance Area                        | Strategic Objectives           | Project Name    | Project Description (major activities)                                | Location   | Key performance indicator   | Baseline                                      | 2019/2020 Annual Targets                       | Reviewed Annual Target | Quarter 3 Targets         | Reviewed Quarter 3 Targets | Quarter 3 Progress | 2019/2020 Annual Budget | Reviewed 2019/2020 Annual Budget | Expenditure | Challenges                   | Corrective Measures | Reasons for review/w/ variance | Means of verification                |
|  | ational Development                         | services to all departments    |                 |   |  |   | signed  |  |                        |                           |                            |                    |                         |                                  |             | ate of Disaster and Lockdown |                     |                                |                                      |
| CP SD -44                                | Municipal Transformation and Organisational | To provide sustainable records | PAIA Compliance | Annual PAIA report submitted to South African Human Rights Commission | CDM  | Number of PAIA reports compiled and submitted to Human rights Commi | 4 PAIA reports compiled and submitted to Huma | 1 PAIA reports compiled and submitted to Human | Target not revised     | No target for the quarter | Target not revised         | Not Applicable     | OP EX                   | OP EX                            | OP EX       | None                         | None                | None                           | PAIA reports and Proof of submission |



CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |  |                                |                    |  | Corporate Services –Vote 3   |   |  |   |                        |   |                            |   |                         |                                  |             |            |                     |                                |                                     |  |
|--|--|--------------------------------|--------------------|--|--|---|--|---|------------------------|---|----------------------------|---|-------------------------|----------------------------------|-------------|------------|---------------------|--------------------------------|-------------------------------------|--|
| Outcome 9:                               |  |                                |                    |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |   |  |   |                        |   |                            |   |                         |                                  |             |            |                     |                                |                                     |  |
| Outputs 5:                               |  |                                |                    |  | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |   |  |   |                        |   |                            |   |                         |                                  |             |            |                     |                                |                                     |  |
| Key Strategic Organizational Objectives: |  |                                |                    |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |   |  |   |                        |   |                            |   |                         |                                  |             |            |                     |                                |                                     |  |
| Project No.                              | Key performance Area                       | Strategic Objectives           | Project Name       | Project Description (major activities) | Location   | Key performance indicator                           | Baseline                                 | 2019/2020 Annual Targets                    | Reviewed Annual Target | Quarter 3 Targets                           | Reviewed Quarter 3 Targets | Quarter 3 Progress  | 2019/2020 Annual Budget | Reviewed 2019/2020 Annual Budget | Expenditure | Challenges | Corrective Measures | Reasons for review/w/ variance | Means of verification               |  |
|  | Development                                | management services            |                    |  |  | ssion and Dept. of Justice                          | n rights Commission and Dept. of Justice | rights Commission and Dept. of Justice      |                        |   |                            |   |                         |                                  |             |            |                     |                                |                                     |  |
| CP SD -45                                | Municipal Transformation and Organisations | To provide sustainable records | Records Management | Implementation of records management   | CDM  | Number of compliance reports submitted on file plan | 4 compliance reports submitted on file   | 4 compliance reports submitted on file plan | Target not revised     | 1 compliance reports submitted on file plan | Target not revised         | <b>Achieved</b> 1 compliance reports submitted on file plan | OP EX                   | OP EX                            | OP EX       | None       | None                | None                           | Record Management compliance report |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |   |  |                  |  | Corporate Services –Vote 3   |                                  |               |                               |                        |                          |                            |  |                         |                                  |             |            |                     |  |                        |  |
|---------------------------|---|--|------------------|--|--|----------------------------------|---------------|-------------------------------|------------------------|--------------------------|----------------------------|--|-------------------------|----------------------------------|-------------|------------|---------------------|--|------------------------|--|
| Outcome 9:                |   |  |                  |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |                                  |               |                               |                        |                          |                            |  |                         |                                  |             |            |                     |  |                        |  |
| Outputs 5:                |   |  |                  |  | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |                                  |               |                               |                        |                          |                            |  |                         |                                  |             |            |                     |  |                        |  |
| Key Strategic Objectives: |   |  |                  |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |                                  |               |                               |                        |                          |                            |  |                         |                                  |             |            |                     |  |                        |  |
| Project No.               | Key performance Area                                    | Strategic Objectives                     | Project Name     | Project Description (major activities) | Location   | Key performance indicator        | Baseline      | 2019/2020 Annual Targets      | Reviewed Annual Target | Quarter 3 Targets        | Reviewed Quarter 3 Targets | Quarter 3 Progress                       | 2019/2020 Annual Budget | Reviewed 2019/2020 Annual Budget | Expenditure | Challenges | Corrective Measures | Reasons for review/w/ variance             | Means of verification  |  |
|                           | ational Development                                     | ords management services                 |                  |  |  |                                  |               |                               |                        |                          |                            |  |                         |                                  |             |            |                     |  |                        |  |
| CP SD -46                 | Municipal Transformation and Organizational Development | To provide auxiliary support services to | PAIA Translation | PAIA printed in (English)              | CDM  | Number of PAIA printed (English) | New Indicator | 200 of PAIA printed (English) | Target not revised     | SLA developed and signed | Target not revised         | <b>Achieved</b> SLA developed and signed | 350 000                 | 60 000.00                        | 57 000.00   | None       | None                | Budget reduced to assist critical projects | Copies of PAIA printed |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |   |  |                     |  | Corporate Services –Vote 3   |                                     |  |  |                        |                           |                            |                       |                       |                                |             |            |                     |                                |                                  |  |
|---------------------------|---|--|---------------------|--|--|-------------------------------------|--|--|------------------------|---------------------------|----------------------------|-----------------------|-----------------------|--------------------------------|-------------|------------|---------------------|--------------------------------|----------------------------------|--|
| Outcome 9:                |   |  |                     |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |                                     |  |  |                        |                           |                            |                       |                       |                                |             |            |                     |                                |                                  |  |
| Outputs 5:                |   |  |                     |  | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |                                     |  |  |                        |                           |                            |                       |                       |                                |             |            |                     |                                |                                  |  |
| Key Strategic Objectives: |   |  |                     |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |                                     |  |  |                        |                           |                            |                       |                       |                                |             |            |                     |                                |                                  |  |
| Project No.               | Key performance Area                                    | Strategic Objectives   | Project Name        | Project Description (major activities) | Location   | Key performance indicator           | Baseline   | 2019/20 Annual Targets                                 | Reviewed Annual Target | Quarter 3 Targets         | Reviewed Quarter 3 Targets | Quarter 3 Progress    | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reasons for review/w/ variance | Means of verification            |  |
|                           | development   | all departments  |                     |  |  |                                     |  |  |                        |                           |                            |                       |                       |                                |             |            |                     |                                |                                  |  |
| FD-02                     | Municipal Transformation and Organizational Development | To prepare a credible and realistic budget in line with MFMA timelines | Financial reporting | Budget Treasury                        | CDM  | Number of Unqualified audit opinion | 1 Unqualified audit opinion (without material matters) | 1 Unqualified audit opinion (without material matters) | Target not revised     | No target for the quarter | Target not revised         | <b>Not Applicable</b> | OP EX                 | OP EX                          | OP EX       | None       | None                | None                           | Unqualified audit opinion report |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |                            |   |                   |  | Corporate Services –Vote 3   |  |  |  |                        |                           |                            |                    |                       |                                |             |            |                     |                                |                            |
|---------------------------|----------------------------|---|-------------------|--|--|--|--|--|------------------------|---------------------------|----------------------------|--------------------|-----------------------|--------------------------------|-------------|------------|---------------------|--------------------------------|----------------------------|
| Outcome 9:                |                            |   |                   |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |  |  |  |                        |                           |                            |                    |                       |                                |             |            |                     |                                |                            |
| Outputs 5:                |                            |   |                   |  | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |  |  |  |                        |                           |                            |                    |                       |                                |             |            |                     |                                |                            |
| Key Strategic Objectives: |                            |   |                   |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |  |  |  |                        |                           |                            |                    |                       |                                |             |            |                     |                                |                            |
| Project No.               | Key performance Area       | Strategic Objectives  | Project Name      | Project Description (major activities)         | Location   | Key performance indicator                                      | Baseline   | 2019/20 Annual Targets                                 | Reviewed Annual Target | Quarter 3 Targets         | Reviewed Quarter 3 Targets | Quarter 3 Progress | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reasons for review w/ variance | Means of verification      |
| FD-06                     | Local Economic Development | To ensure that the resources required to fulfil the needs identified in the strategic plan of the | Demand management | Development and Implement the procurement plan | CDM  | Number of municipal procurement plan developed and implemented | 1 municipal procurement plan developed and implemented | 1 municipal procurement plan developed and implemented | Target not revised     | No target for the quarter | Target not revised         | Not Applicable     | OP EX                 | OP EX                          | OP EX       | None       | None                | None                           | Municipal procurement plan |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                      |   |              |  | Corporate Services –Vote 3   |                           |          |                          |                        |                   |                            |                    |                         |                                  |             |            |                     |                                |                       |  |
|--|----------------------|---|--------------|--|--|---------------------------|----------|--------------------------|------------------------|-------------------|----------------------------|--------------------|-------------------------|----------------------------------|-------------|------------|---------------------|--------------------------------|-----------------------|--|
| Outcome 9:                               |                      |   |              |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |                           |          |                          |                        |                   |                            |                    |                         |                                  |             |            |                     |                                |                       |  |
| Outputs 5:                               |                      |   |              |  | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |                           |          |                          |                        |                   |                            |                    |                         |                                  |             |            |                     |                                |                       |  |
| Key Strategic Organizational Objectives: |                      |   |              |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |                           |          |                          |                        |                   |                            |                    |                         |                                  |             |            |                     |                                |                       |  |
| Project No.                              | Key performance Area | Strategic Objectives  | Project Name | Project Description (major activities) | Location   | Key performance indicator | Baseline | 2019/2020 Annual Targets | Reviewed Annual Target | Quarter 3 Targets | Reviewed Quarter 3 Targets | Quarter 3 Progress | 2019/2020 Annual Budget | Reviewed 2019/2020 Annual Budget | Expenditure | Challenges | Corrective Measures | Reasons for review/w/ variance | Means of verification |  |
|  |                      | institutions are efficient and effective (at the correct time, price and place and that the qua |              |  |  |                           |          |                          |                        |                   |                            |                    |                         |                                  |             |            |                     |                                |                       |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |                      |   |                        |  | Corporate Services –Vote 3   |   |  |  |                        |   |                            |   |                       |                                |             |            |                     |                                |   |  |
|---------------------------|----------------------|---|------------------------|--|--|---|--|--|------------------------|---|----------------------------|---|-----------------------|--------------------------------|-------------|------------|---------------------|--------------------------------|---|--|
| Outcome 9:                |                      |   |                        |  | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |   |  |  |                        |   |                            |   |                       |                                |             |            |                     |                                |   |  |
| Outputs 5:                |                      |   |                        |  | <ul style="list-style-type: none"> <li>Administrative and financial capability</li> </ul>                                  |   |  |  |                        |   |                            |   |                       |                                |             |            |                     |                                |   |  |
| Key Strategic Objectives: |                      |   |                        |  | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>          |   |  |  |                        |   |                            |   |                       |                                |             |            |                     |                                |   |  |
| Project No.               | Key performance Area | Strategic Objectives                        | Project Name           | Project Description (major activities) | Location   | Key performance indicator   | Baseline   | 2019/20 Annual Targets   | Reviewed Annual Target | Quarter 3 Targets   | Reviewed Quarter 3 Targets | Quarter 3 Progress  | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reasons for review/w/ variance | Means of verification                       |  |
|                           |                      | ntity and quality will satisfy those needs) |                        |  |  |   |  |  |                        |   |                            |   |                       |                                |             |            |                     |                                |   |  |
| FD-07                     | Financial Viability  | To monitor department expenditure           | Acquisition management | Compliance to the SCM regulations      | CDM  | Percent of compliance to the SCM regulations that result in R nil irregular | 100 percent of compliance to the SCM regulations that result in R nil irregu | 100 percent of compliance to the SCM regulations that result in R nil irregu | Target not revised     | 100 percent of compliance to the SCM regulations that result in R nil irregular expenditure | Target not revised         | <b>Achieved</b> 100 percent of compliance to the SCM regulations that result in R nil irregular expenditure | OP EX                 | OP EX                          | OP EX       | N one      | N one               | None                           | Zero irregular expenditure/Payment Vouchers |  |

**CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT**

| <b>Business Unit</b>             |                      |                      |              |  | <b>Corporate Services –Vote 3</b>  |                           |                 |                        |                        |                   |                            |                    |                       |                                |             |            |                     |                                |                       |  |
|----------------------------------|----------------------|----------------------|--------------|--|--|---------------------------|-----------------|------------------------|------------------------|-------------------|----------------------------|--------------------|-----------------------|--------------------------------|-------------|------------|---------------------|--------------------------------|-----------------------|--|
| <b>Outcome 9:</b>                |                      |                      |              |  | <ul style="list-style-type: none"> <li>• Responsive, Accountable, Effective and Efficient Local Government System</li> </ul> |                           |                 |                        |                        |                   |                            |                    |                       |                                |             |            |                     |                                |                       |  |
| <b>Outputs 5:</b>                |                      |                      |              |  | <ul style="list-style-type: none"> <li>• Administrative and financial capability</li> </ul>                                  |                           |                 |                        |                        |                   |                            |                    |                       |                                |             |            |                     |                                |                       |  |
| <b>Key Strategic Objectives:</b> |                      |                      |              |  | <ul style="list-style-type: none"> <li>• To increase the capacity of the district to deliver its mandate</li> </ul>          |                           |                 |                        |                        |                   |                            |                    |                       |                                |             |            |                     |                                |                       |  |
| Project No.                      | Key performance Area | Strategic Objectives | Project Name | Project Description (major activities) | Location   | Key performance indicator | Baseline        | 2019/20 Annual Targets | Reviewed Annual Target | Quarter 3 Targets | Reviewed Quarter 3 Targets | Quarter 3 Progress | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reasons for review/w/ variance | Means of verification |  |
|                                  |                      |                      |              |  |  | expenditure               | lar expenditure | lar expenditure        |                        |                   |                            |                    |                       |                                |             |            |                     |                                |                       |  |

**1.4 FINANCE DEPARTMENT VOTE- 4**

| <b>Business Unit</b>             |                      |                              |                   |                     | <b>Finance –Vote 4</b>   |                           |                     |                       |                        |                     |                           |                     |                       |                        |             |                     |                                |                       |  |
|----------------------------------|----------------------|------------------------------|-------------------|---------------------|--|---------------------------|---------------------|-----------------------|------------------------|---------------------|---------------------------|---------------------|-----------------------|------------------------|-------------|---------------------|--------------------------------|-----------------------|--|
| <b>Outcome 9:</b>                |                      |                              |                   |                     | <ul style="list-style-type: none"> <li>• Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                   |                           |                     |                       |                        |                     |                           |                     |                       |                        |             |                     |                                |                       |  |
| <b>Outputs 5:</b>                |                      |                              |                   |                     | <ul style="list-style-type: none"> <li>• Deepen democracy through a refined ward committee model</li> <li>• Administrative and financial capability</li> </ul> |                           |                     |                       |                        |                     |                           |                     |                       |                        |             |                     |                                |                       |  |
| <b>Key Strategic Objectives:</b> |                      |                              |                   |                     | <ul style="list-style-type: none"> <li>• To increase the capacity of the district to deliver its mandate</li> </ul>  |                           |                     |                       |                        |                     |                           |                     |                       |                        |             |                     |                                |                       |  |
| Project No.                      | Key performance Area | Project Strategic Objectives | Project Name      | Project Description | Location   | Key performance Indicator | Baseline            | 2019/20 Annual Target | Reviewed Annual Target | Quarter 3 Target    | Reviewed Quarter 3 Target | Quarter 3 Progress  | 2019/20 Annual Budget | Reviewed Annual Budget | Expenditure | Corrective Measures | Reason for review/w/ variances | Means of verification |  |
| FD-01                            | Municipal Financial  | To prepare a credible        | Budget Compliance | Budget Treasury     | CD M   | Number of approved        | 1 approved credible | 1 approved credible   | Target not             | 1 approved credible | Target not                | Achieved 1 approved | OPEX                  | OPEX                   | OPEX        | None                | None                           | approved credible     |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |  |  |                   | Finance –Vote 4  |          |  |   |   |                       |   |                          |  |                       |                       |             |   |                              |                                     |                   |
|---------------------------|--|--|-------------------|--|----------|--|---|---|-----------------------|---|--------------------------|--|-----------------------|-----------------------|-------------|---|------------------------------|-------------------------------------|-------------------|
| Outcome 9:                |  |  |                   | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                 |          |  |   |   |                       |   |                          |  |                       |                       |             |   |                              |                                     |                   |
| Outputs 5:                |  |  |                   | <ul style="list-style-type: none"> <li>Deepen democracy through a refined ward committee model</li> <li>Administrative and financial capability</li> </ul> |          |  |   |   |                       |   |                          |  |                       |                       |             |   |                              |                                     |                   |
| Key Strategic Objectives: |  |  |                   | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>  |          |  |   |   |                       |   |                          |  |                       |                       |             |   |                              |                                     |                   |
| Project No.               | Key performance Area                         | Project Strategic Objectives                                 | Project Name      | Project Description  | Location | Key performance Indicator  | Baseline  | 2019/20 Annual Target   | Revised Annual Target | Quarter 3 Target  | Revised Quarter 3 Target | Quarter 3 Progress   | 2019/20 Annual Budget | Revised Annual Budget | Expenditure | Corrective Measures                                       | Reason for review/ variances | Means of verification               |                   |
|                           | Viability and Management                     | Realistic budget in line with MFMA timelines                 |                   |  |          | Credible adjustment budget as per Municipal Finance Management Act (MFMA) by 28 February | Adjustment budget as per Municipal Finance Management Act (MFMA) by 28 February | Adjustment budget as per Municipal Finance Management Act (MFMA) by 28 February | Revised               | Adjustment budget as per Municipal Finance Management Act (MFMA) by 28 February | Revised                  | Credible adjustment budget as per Municipal Finance Management Act (MFMA) by 28 February |                       |                       |             |   |                              |                                     | Adjustment budget |
| FD-1.1                    | Municipal Financial Viability and Management | To prepare a credible and realistic budget in line with MFMA | Budget Compliance | Budget Treasury  | CDM      | Number of draft credible annual budgets tabled as per Municipal Finance                  | 1 draft credible annual budget tabled as per Municipal Finance                  | 1 draft credible annual budget tabled as per Municipal Finance                  | Target not revised    | 1 draft credible annual budget tabled as per Municipal Finance                  | Target not revised       | <b>Not Achieved 1 draft credible annual budget not tabled as per</b>                     | OPEX                  | OPEX                  | OPEX        | <b>Declaration of the State of Disaster and Lock down</b> | None                         | draft credible annual budget tabled |                   |



CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |  |  |                   | Finance –Vote 4  |          |  |   |   |                       |                                   |                          |   |                       |                       |             |                     |                              |  |  |
|---------------------------|--|--|-------------------|--|----------|--|---|---|-----------------------|-----------------------------------|--------------------------|---|-----------------------|-----------------------|-------------|---------------------|------------------------------|--|--|
| Outcome 9:                |  |  |                   | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                 |          |  |   |   |                       |                                   |                          |   |                       |                       |             |                     |                              |  |  |
| Outputs 5:                |  |  |                   | <ul style="list-style-type: none"> <li>Deepen democracy through a refined ward committee model</li> <li>Administrative and financial capability</li> </ul> |          |  |   |   |                       |                                   |                          |   |                       |                       |             |                     |                              |  |  |
| Key Strategic Objectives: |  |  |                   | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>  |          |  |   |   |                       |                                   |                          |   |                       |                       |             |                     |                              |  |  |
| Project No.               | Key performance Area                         | Project Strategic Objectives   | Project Name      | Project Description  | Location | Key performance Indicator  | Baseline  | 2019/20 Annual Target   | Revised Annual Target | Quarter 3 Target                  | Revised Quarter 3 Target | Quarter 3 Progress                                  | 2019/20 Annual Budget | Revised Annual Budget | Expenditure | Corrective Measures | Reason for review/ variances | Means of verification  |  |
|                           |  | timelines  |                   |  |          | pal Finance Management Act (MFMA) by 31 March  | Management Act (MFMA) by 31 March   | Management Act (MFMA) by 31 March   |                       | Management Act (MFMA) by 31 March |                          | Municipal Finance Management Act (MFMA) by 31 March |                       |                       |             |                     |                              |  |  |
| FD-1.2                    | Municipal Financial Viability and Management | To prepare a credible and realistic budget in line with MFMA timelines | Budget Compliance | Budget Treasury  | CDM      | Number of credible annual budgets adopted as per Municipal Finance Management Act (MFMA) | 1 credible annual budget adopted as per Municipal Finance Management Act (MFMA) | 1 credible annual budget adopted as per Municipal Finance Management Act (MFMA) | Target not revised    | No target for the quarter         | Target not revised       | Not Applicable                                      | OPEX                  | OPEX                  | OPEX        | None                | None                         | credible annual budget adopted as per Municipal Finance Management Act |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |  |  |                     |                     | Finance –Vote 4  |  |  |  |                       |  |                          |   |                       |                       |             |  |                                |                                |  |
|---------------------------|--|--|---------------------|---------------------|--|--|--|--|-----------------------|--|--------------------------|---|-----------------------|-----------------------|-------------|--|--------------------------------|--------------------------------|--|
| Outcome 9:                |  |  |                     |                     | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                 |  |  |  |                       |  |                          |   |                       |                       |             |  |                                |                                |  |
| Outputs 5:                |  |  |                     |                     | <ul style="list-style-type: none"> <li>Deepen democracy through a refined ward committee model</li> <li>Administrative and financial capability</li> </ul> |  |  |  |                       |  |                          |   |                       |                       |             |  |                                |                                |  |
| Key Strategic Objectives: |  |  |                     |                     | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>  |  |  |  |                       |  |                          |   |                       |                       |             |  |                                |                                |  |
| Project No.               | Key performance Area                         | Project Strategic Objectives                         | Project Name        | Project Description | Location   | Key performance Indicator  | Baseline   | 2019/20 Annual Target  | Revised Annual Target | Quarter 3 Target   | Revised Quarter 3 Target | Quarter 3 Progress  | 2019/20 Annual Budget | Revised Annual Budget | Expenditure | Corrective Measures                                | Reason for review/ variances   | Means of verification          |  |
|                           |  |  |                     |                     |  | Act (MFMA) by 30 May   | ) by 30 May  | ) by 30 May  |                       |  |                          |   |                       |                       |             |  |                                |                                |  |
| FD-02                     | Municipal Financial Viability and Management | To prepare and submit credible financial information | Financial reporting | Budget Treasury     | CDM  | Number of quarterly financial statements submitted to stakeholders within 25 working days after the end of the quarter | 4 quarterly financial statements submitted to stakeholders within 25 working days after the end of the quarter | 4 quarterly financial statements submitted to stakeholders within 25 working days after the end of the quarter | Target not revised    | 1 quarterly financial statements submitted to stakeholders within 25 working days after the end of the quarter | Target not revised       | Not Achieved 1 quarterly financial statements not submitted to stakeholders within 25 working days after the end of the quarter | OPEX                  | OPEX                  | OPEX        | Declaration of the State of Disaster and Lock down | AFS to be submitted end of May | quarterly financial statements |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |  |  |                     | Finance –Vote 4  |          |  |   |   |                       |                           |                          |                    |                       |                       |             |                     |                              |  |  |
|---------------------------|--|--|---------------------|--|----------|--|---|---|-----------------------|---------------------------|--------------------------|--------------------|-----------------------|-----------------------|-------------|---------------------|------------------------------|--|--|
| Outcome 9:                |  |  |                     | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                 |          |  |   |   |                       |                           |                          |                    |                       |                       |             |                     |                              |  |  |
| Outputs 5:                |  |  |                     | <ul style="list-style-type: none"> <li>Deepen democracy through a refined ward committee model</li> <li>Administrative and financial capability</li> </ul> |          |  |   |   |                       |                           |                          |                    |                       |                       |             |                     |                              |  |  |
| Key Strategic Objectives: |  |  |                     | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>  |          |  |   |   |                       |                           |                          |                    |                       |                       |             |                     |                              |  |  |
| Project No.               | Key performance Area                         | Project Strategic Objectives                         | Project Name        | Project Description  | Location | Key performance Indicator  | Baseline  | 2019/20 Annual Target   | Revised Annual Target | Quarter 3 Target          | Revised Quarter 3 Target | Quarter 3 Progress | 2019/20 Annual Budget | Revised Annual Budget | Expenditure | Corrective Measures | Reason for review/ variances | Means of verification                              |  |
| FD-2.1                    | Municipal Financial Viability and Management | To prepare and submit credible financial information | Financial reporting | Budget Treasury  | CDM      | Number of Unqualified audit opinion  | 1 Unqualified audit opinion (without material matters)                                | 1 Unqualified audit opinion (without material matters)                                | Target not revised    | No target for the quarter | Target not revised       | Not Applicable     | OPEX                  | OPEX                  | OPEX        | None                | None                         | Unqualified audit opinion report                   |  |
| FD-2.2                    | Municipal Financial Viability and Management | To prepare and submit credible financial information | Financial reporting | Budget Treasury  | CDM      | Number of annual financial statements and performance reports submitted to the Auditor | 1 annual financial statement and performance reports submitted to the Auditor General | 1 annual financial statement and performance reports submitted to the Auditor General | Target not revised    | No target for the quarter | Target not revised       | Not Applicable     | OPEX                  | OPEX                  | OPEX        | None                | None                         | annual financial statement and performance reports |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |  |  |                     | Finance –Vote 4  |          |   |   |   |                       |                           |                          |                       |                       |                       |             |                     |  |                                       |  |
|---------------------------|--|--|---------------------|--|----------|---|---|---|-----------------------|---------------------------|--------------------------|-----------------------|-----------------------|-----------------------|-------------|---------------------|--|---------------------------------------|--|
| Outcome 9:                |  |  |                     | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                 |          |   |   |   |                       |                           |                          |                       |                       |                       |             |                     |  |                                       |  |
| Outputs 5:                |  |  |                     | <ul style="list-style-type: none"> <li>Deepen democracy through a refined ward committee model</li> <li>Administrative and financial capability</li> </ul> |          |   |   |   |                       |                           |                          |                       |                       |                       |             |                     |  |                                       |  |
| Key Strategic Objectives: |  |  |                     | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>  |          |   |   |   |                       |                           |                          |                       |                       |                       |             |                     |  |                                       |  |
| Project No.               | Key performance Area                         | Project Strategic Objectives                         | Project Name        | Project Description  | Location | Key performance Indicator   | Baseline  | 2019/20 Annual Target   | Revised Annual Target | Quarter 3 Target          | Revised Quarter 3 Target | Quarter 3 Progress    | 2019/20 Annual Budget | Revised Annual Budget | Expenditure | Corrective Measures | Reason for review/ variances           | Means of verification                 |  |
|                           |  |  |                     |  |          | General by 31 <sup>st</sup> August  | al by 31 <sup>st</sup> August   | al by 31 <sup>st</sup> August   |                       |                           |                          |                       |                       |                       |             |                     |  |                                       |  |
| FD-2.3                    | Municipal Financial Viability and Management | To prepare and submit credible financial information | Financial reporting | Budget Treasury  | CD M     | Number of draft budget submitted to Treasury within 10 working days after tabling | 1 draft budget submitted to Treasury within 10 working days after tabling | 1 draft budget submitted to Treasury within 10 working days after tabling | Target not revised    | No target for the quarter | Target not revised       | <b>Not Applicable</b> | OPE X                 | OPE X                 | OPEX        | None                | None                                   | draft budget submitted to Treasury    |  |
| FD-2.4                    | Municipal Financial Viability and Management | To prepare and submit credible financial information | Financial reporting | Budget Treasury  | CD M     | Number of final budget submitted to Treasury within 10 working days               | 1 final budget submitted to Treasury within 10 working days               | 1 final budget submitted to Treasury within 10 working days               | Target not revised    | No target for the quarter | Target not revised       | <b>Not Applicable</b> | OPE X                 | OPE X                 | OPEX        | None                | Target revised to the correct quarter. | Approved budget submitted to Treasury |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |  |  |                     | Finance –Vote 4  |          |   |   |   |                       |   |                          |  |                       |                       |             |                     |                              |  |  |
|---------------------------|--|--|---------------------|--|----------|---|---|---|-----------------------|---|--------------------------|--|-----------------------|-----------------------|-------------|---------------------|------------------------------|--|--|
| Outcome 9:                |  |  |                     | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                 |          |   |   |   |                       |   |                          |  |                       |                       |             |                     |                              |  |  |
| Outputs 5:                |  |  |                     | <ul style="list-style-type: none"> <li>Deepen democracy through a refined ward committee model</li> <li>Administrative and financial capability</li> </ul> |          |   |   |   |                       |   |                          |  |                       |                       |             |                     |                              |  |  |
| Key Strategic Objectives: |  |  |                     | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>  |          |   |   |   |                       |   |                          |  |                       |                       |             |                     |                              |  |  |
| Project No.               | Key performance Area                         | Project Strategic Objectives                         | Project Name        | Project Description  | Location | Key performance Indicator                           | Baseline  | 2019/20 Annual Target                                   | Revised Annual Target | Quarter 3 Target                            | Revised Quarter 3 Target | Quarter 3 Progress                                       | 2019/20 Annual Budget | Revised Annual Budget | Expenditure | Corrective Measures | Reason for review/ variances | Means of verification                      |  |
|                           |  |  |                     |  |          | working days after approval                         | after approval  | after approval  |                       |   |                          |  |                       |                       |             |                     |                              |  |  |
| FD-2.5                    | Municipal Financial Viability and Management | To prepare and submit credible financial information | Financial reporting | Budget Treasury  | CDM      | Number of budget return submitted to treasury       | 1 set of budget return submitted to treasury by 20 July | 1 set of budget return submitted to treasury by 20 July | Target not revised    | No target for the quarter                   | Target not revised       | <b>Not Applicable</b>                                    | OPEX                  | OPEX                  | OPEX        | None                | None                         | Set of budget return submitted to treasury |  |
| FD-2.6                    | Municipal Financial Viability and Management | To prepare and submit credible financial information | Financial reporting | Budget Treasury  | CDM      | Number of quarterly MFMA budget return submitted to | 4 quarterly MFMA budget return submitted to             | 4 quarterly MFMA budget return submitted to             | Target not revised    | 1 quarterly MFMA budget return submitted to | Target not revised       | <b>Achieved 1</b> quarterly MFMA budget return submitted | OPEX                  | OPEX                  | OPEX        | None                | None                         | Approved set of budget returns             |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |  |  |                     | Finance –Vote 4  |          |  |   |   |                       |  |                          |  |                       |                       |             |                     |                              |  |  |
|---------------------------|--|--|---------------------|--|----------|--|---|---|-----------------------|--|--------------------------|--|-----------------------|-----------------------|-------------|---------------------|------------------------------|--|--|
| Outcome 9:                |  |  |                     | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                 |          |  |   |   |                       |  |                          |  |                       |                       |             |                     |                              |  |  |
| Outputs 5:                |  |  |                     | <ul style="list-style-type: none"> <li>Deepen democracy through a refined ward committee model</li> <li>Administrative and financial capability</li> </ul> |          |  |   |   |                       |  |                          |  |                       |                       |             |                     |                              |  |  |
| Key Strategic Objectives: |  |  |                     | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>  |          |  |   |   |                       |  |                          |  |                       |                       |             |                     |                              |  |  |
| Project No.               | Key performance Area                         | Project Strategic Objectives                         | Project Name        | Project Description  | Location | Key performance Indicator  | Baseline  | 2019/20 Annual Target   | Revised Annual Target | Quarter 3 Target   | Revised Quarter 3 Target | Quarter 3 Progress   | 2019/20 Annual Budget | Revised Annual Budget | Expenditure | Corrective Measures | Reason for review/ variances | Means of verification                            |  |
|                           |  | information  |                     |  |          | ed to Treasury within 30 working days  | Treasury within 30 working days   | Treasury within 30 working days   |                       | Treasury within 30 working days  |                          | ed to Treasury within 30 working days  |                       |                       |             |                     |                              |  |  |
| FD-2.7                    | Municipal Financial Viability and Management | To prepare and submit credible financial information | Financial reporting | Budget Treasury  | CD M     | Number of monthly budget statements submitted to Treasury within 10 working days after month-end | 12 monthly budget statements submitted to Treasury within 10 working days after month-end | 12 monthly budget statements submitted to Treasury within 10 working days after month-end | Target not revised    | 3 monthly budget statements submitted to Treasury within 10 working days after month-end | Target not revised       | <b>Achieved 3</b> monthly budget statements submitted to Treasury within 10 working days after month-end | OPE X                 | OPE X                 | OPEX        | None                | None                         | Approved budget statements submitted to Treasury |  |
| FD-03                     | Municipal Finance                            | To prepare and                                       | Treasury management | Monthly monitoring over  | CD M     | Number of monthly  | 12 cash flow  | 12 cash flow  | Target not            | 3 cash flow projects   | Target not               | <b>Achieved 3</b> cash   | OPE X                 | OPE X                 | OPEX        | None                | None                         | cash flow projects                               |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |  |  |              |   | Finance –Vote 4  |   |  |  |                       |  |                          |  |                       |                       |             |                     |                              |  |  |
|--|--|--|--------------|---|--|---|--|--|-----------------------|--|--------------------------|--|-----------------------|-----------------------|-------------|---------------------|------------------------------|--|--|
| Outcome 9:                               |  |  |              |   | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                 |   |  |  |                       |  |                          |  |                       |                       |             |                     |                              |  |  |
| Outputs 5:                               |  |  |              |   | <ul style="list-style-type: none"> <li>Deepen democracy through a refined ward committee model</li> <li>Administrative and financial capability</li> </ul> |   |  |  |                       |  |                          |  |                       |                       |             |                     |                              |  |  |
| Key Strategic Organizational Objectives: |  |  |              |   | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>  |   |  |  |                       |  |                          |  |                       |                       |             |                     |                              |  |  |
| Project No.                              | Key performance Area                         | Project Strategic Objectives   | Project Name | Project Description   | Location   | Key performance Indicator   | Baseline   | 2019/20 Annual Target                                    | Revised Annual Target | Quarter 3 Target   | Revised Quarter 3 Target | Quarter 3 Progress   | 2019/20 Annual Budget | Revised Annual Budget | Expenditure | Corrective Measures | Reason for review/ variances | Means of verification                      |  |
|  | Financial Viability and Management           | submit credible financial information  | Management   | the financial processes regarding cash flow management          |  | cash flow projections, bank and investment reconciliations prepared | projections bank and investment reconciliations prepared | projections bank and investment reconciliations prepared | revised               | bank and investment reconciliations prepared             | revised                  | flow projections bank and investment reconciliations prepared            |                       |                       |             |                     |                              | bank and investment reconciliations report |  |
| FD-04                                    | Municipal Financial Viability and Management | To ensure effective and efficient payment of liabilities within set time frame and in compliance | Payables     | Adhere to service standards and MFMA for payment of liabilities | CDM  | Percentage creditors reconciled and paid within 30 days             | 100 percent creditors reconciled and paid within 30 days | 100 percent creditors reconciled and paid within 30 days | Target not revised    | 100 percent creditors reconciled and paid within 30 days | Target not revised       | <b>Achieved</b> 100 percent creditors reconciled and paid within 30 days | OPEX                  | OPEX                  | OPEX        | None                | None                         | creditors reconciled report                |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |  |   |                   | Finance –Vote 4  |          |   |   |   |                       |  |                          |  |                       |                       |             |                     |                              |   |  |
|---------------------------|--|---|-------------------|--|----------|---|---|---|-----------------------|--|--------------------------|--|-----------------------|-----------------------|-------------|---------------------|------------------------------|---|--|
| Outcome 9:                |  |   |                   | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                 |          |   |   |   |                       |  |                          |  |                       |                       |             |                     |                              |   |  |
| Outputs 5:                |  |   |                   | <ul style="list-style-type: none"> <li>Deepen democracy through a refined ward committee model</li> <li>Administrative and financial capability</li> </ul> |          |   |   |   |                       |  |                          |  |                       |                       |             |                     |                              |   |  |
| Key Strategic Objectives: |  |   |                   | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>  |          |   |   |   |                       |  |                          |  |                       |                       |             |                     |                              |   |  |
| Project No.               | Key performance Area                         | Project Strategic Objectives  | Project Name      | Project Description  | Location | Key performance Indicator                             | Baseline                                      | 2019/20 Annual Target                         | Revised Annual Target | Quarter 3 Target                             | Revised Quarter 3 Target | Quarter 3 Progress   | 2019/20 Annual Budget | Revised Annual Budget | Expenditure | Corrective Measures | Reason for review/ variances | Means of verification                   |  |
|                           |  | ance with MFMA  |                   |  |          |   |   |   |                       |  |                          |  |                       |                       |             |                     |                              |   |  |
| FD-05                     | Municipal Financial Viability and Management | To ensure effective and effective payment of salaries and related costs | Employee benefits | Accurate payment of salaries and related costs monthly   | CDM      | Number of payroll runs and reconciliations performed  | 12 payroll runs and reconciliations performed | 12 payroll runs and reconciliations performed | Target not revised    | 3 payroll runs and reconciliations performed | Target not revised       | <b>Achieved 3</b> payroll runs and reconciliations performed | OPEX                  | OPEX                  | OPEX        | None                | None                         | payroll runs and reconciliations report |  |
| FD-5.1                    | Municipal Financial Viability and Management | To ensure effective and effective payment of salaries and related costs | Employee benefits | Accurate Employee cost evaluation  | CDM      | Number of employee cost benefit evaluations performed | 1 Employee cost benefit evaluation performed  | 1 Employee cost benefit evaluation performed  | Target not revised    | No target for the quarter                    | Target not revised       | <b>Not Applicable</b>  | 50 000                | 25 000                | 21 142.00   | None                | None                         | Employee cost benefit evaluation report |  |



CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |  |   |                   | Finance –Vote 4  |          |  |  |  |                       |                           |                          |                       |                       |                       |             |                     |                              |                            |  |
|--|--|---|-------------------|--|----------|--|--|--|-----------------------|---------------------------|--------------------------|-----------------------|-----------------------|-----------------------|-------------|---------------------|------------------------------|----------------------------|--|
| Outcome 9:                               |  |   |                   | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                 |          |  |  |  |                       |                           |                          |                       |                       |                       |             |                     |                              |                            |  |
| Outputs 5:                               |  |   |                   | <ul style="list-style-type: none"> <li>Deepen democracy through a refined ward committee model</li> <li>Administrative and financial capability</li> </ul> |          |  |  |  |                       |                           |                          |                       |                       |                       |             |                     |                              |                            |  |
| Key Strategic Organizational Objectives: |  |   |                   | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>  |          |  |  |  |                       |                           |                          |                       |                       |                       |             |                     |                              |                            |  |
| Project No.                              | Key performance Area                         | Project Strategic Objectives  | Project Name      | Project Description  | Location | Key performance Indicator                                      | Baseline   | 2019/20 Annual Target                                  | Revised Annual Target | Quarter 3 Target          | Revised Quarter 3 Target | Quarter 3 Progress    | 2019/20 Annual Budget | Revised Annual Budget | Expenditure | Corrective Measures | Reason for review/ variances | Means of verification      |  |
| FD-06                                    | Municipal Financial Viability and Management | To ensure that the resources required to fulfil the needs identified in the strategic plan of the institution are efficient and effective (at the correct time, | Demand management | Development and Implement the procurement plan   | CD M     | Number of municipal procurement plan developed and implemented | 1 municipal procurement plan developed and implemented | 1 municipal procurement plan developed and implemented | Target not revised    | No target for the quarter | Target not revised       | <b>Not Applicable</b> | OPEX                  | OPEX                  | OPEX        | None                | None                         | municipal procurement plan |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |  |  |                   | Finance –Vote 4  |          |  |             |  |                       |  |                          |  |                       |                       |             |                     |                              |   |  |
|---------------------------|--|--|-------------------|--|----------|--|-------------|--|-----------------------|--|--------------------------|--|-----------------------|-----------------------|-------------|---------------------|------------------------------|---|--|
| Outcome 9:                |  |  |                   | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                 |          |  |             |  |                       |  |                          |  |                       |                       |             |                     |                              |   |  |
| Outputs 5:                |  |  |                   | <ul style="list-style-type: none"> <li>Deepen democracy through a refined ward committee model</li> <li>Administrative and financial capability</li> </ul> |          |  |             |  |                       |  |                          |  |                       |                       |             |                     |                              |   |  |
| Key Strategic Objectives: |  |  |                   | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>  |          |  |             |  |                       |  |                          |  |                       |                       |             |                     |                              |   |  |
| Project No.               | Key performance Area                         | Project Strategic Objectives   | Project Name      | Project Description  | Location | Key performance Indicator  | Baseline    | 2019/20 Annual Target  | Revised Annual Target | Quarter 3 Target   | Revised Quarter 3 Target | Quarter 3 Progress   | 2019/20 Annual Budget | Revised Annual Budget | Expenditure | Corrective Measures | Reason for review/ variances | Means of verification                           |  |
|                           |  | price and place and that the quantity and quality will satisfy those needs)                |                   |  |          |  |             |  |                       |  |                          |  |                       |                       |             |                     |                              |   |  |
| FD-6.1                    | Municipal Financial Viability and Management | The optimum method to satisfy the need is considered, including the possibility of procuri | Demand management | Supply Chain Management (SCM) requirements linked to the budget  | CDM      | Percentage of Supply Chain Management (SCM) requirements that are linked to the budget | 100 percent | 100 percent Supply Chain Management (SCM) requirements that are linked to the budget | Target not revised    | 100 percent Supply Chain Management (SCM) requirements that are linked to the budget | Target not revised       | <b>Achieved</b> 100 percent Supply Chain Management (SCM) requirements that are linked to the budget | OPEX                  | OPEX                  | OPEX        | None                | None                         | Supply Chain Management report linked to budget |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |  |   |                        | Finance –Vote 4  |          |  |   |   |                       |   |                          |   |                       |                       |             |                     |                              |                       |  |
|---------------------------|--|---|------------------------|--|----------|--|---|---|-----------------------|---|--------------------------|---|-----------------------|-----------------------|-------------|---------------------|------------------------------|-----------------------|--|
| Outcome 9:                |  |   |                        | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                 |          |  |   |   |                       |   |                          |   |                       |                       |             |                     |                              |                       |  |
| Outputs 5:                |  |   |                        | <ul style="list-style-type: none"> <li>Deepen democracy through a refined ward committee model</li> <li>Administrative and financial capability</li> </ul> |          |  |   |   |                       |   |                          |   |                       |                       |             |                     |                              |                       |  |
| Key Strategic Objectives: |  |   |                        | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>  |          |  |   |   |                       |   |                          |   |                       |                       |             |                     |                              |                       |  |
| Project No.               | Key performance Area                         | Project Strategic Objectives  | Project Name           | Project Description  | Location | Key performance Indicator  | Baseline  | 2019/20 Annual Target   | Revised Annual Target | Quarter 3 Target  | Revised Quarter 3 Target | Quarter 3 Progress  | 2019/20 Annual Budget | Revised Annual Budget | Expenditure | Corrective Measures | Reason for review/ variances | Means of verification |  |
|                           |  | ng goods, works or services from other institutions.  |                        |  |          |  |   |   |                       |   |                          |   |                       |                       |             |                     |                              |                       |  |
| FD-07                     | Municipal Financial Viability and Management | To ensure that resources required to fulfil the needs identified in the strategic plan of the institution are efficient | Acquisition management | Compliance to the SCM regulations  | CDM      | Percentage of compliance to the SCM regulations that result in R nil irregular expenditure | 100 percent of compliance to the SCM regulations that result in R nil irregular expenditure | 100 percent of compliance to the SCM regulations that result in R nil irregular expenditure | Target not revised    | 100 percent of compliance to the SCM regulations that result in R nil irregular expenditure | Target not revised       | <b>Achieved</b> 100 percent of compliance to the SCM regulations that result in R nil irregular expenditure | OPEX                  | OPEX                  | OPEX        | None                | None                         | SCM compliance report |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |  |   |                        | Finance –Vote 4  |          |   |  |  |                       |  |                          |  |                       |                       |             |                     |                              |                                     |  |
|---------------------------|--|---|------------------------|--|----------|---|--|--|-----------------------|--|--------------------------|--|-----------------------|-----------------------|-------------|---------------------|------------------------------|-------------------------------------|--|
| Outcome 9:                |  |   |                        | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                 |          |   |  |  |                       |  |                          |  |                       |                       |             |                     |                              |                                     |  |
| Outputs 5:                |  |   |                        | <ul style="list-style-type: none"> <li>Deepen democracy through a refined ward committee model</li> <li>Administrative and financial capability</li> </ul> |          |   |  |  |                       |  |                          |  |                       |                       |             |                     |                              |                                     |  |
| Key Strategic Objectives: |  |   |                        | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>  |          |   |  |  |                       |  |                          |  |                       |                       |             |                     |                              |                                     |  |
| Project No.               | Key performance Area                         | Project Strategic Objectives  | Project Name           | Project Description  | Location | Key performance Indicator   | Baseline   | 2019/20 Annual Target  | Revised Annual Target | Quarter 3 Target   | Revised Quarter 3 Target | Quarter 3 Progress   | 2019/20 Annual Budget | Revised Annual Budget | Expenditure | Corrective Measures | Reason for review/ variances | Means of verification               |  |
|                           |  | nt and effective  |                        |  |          |   |  |  |                       |  |                          |  |                       |                       |             |                     |                              |                                     |  |
| FD-7.1                    | Municipal Financial Viability and Management | To ensure that resources required to fulfil the needs identified in the strategic plan of the institution are efficient and effective | Acquisition management | Prepare and submit bid documents for evaluation, adjudication award and contracting  | CDM      | Number of days taken to appoint service providers since advertising of goods and services | 90 days taken to appoint service providers since advertising of goods and services | 90 days taken to appoint service providers since advertising of goods and services | Target not revised    | 90 days taken to appoint service providers since advertising of goods and services | Target not revised       | <b>Achieved</b> 90 days taken to appoint service providers since advertising of goods and services | OPEX                  | OPEX                  | OPEX        | None                | None                         | Report on appoint service providers |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |  |   |                                 | Finance –Vote 4  |          |   |                                    |                                    |                       |                           |                          |                       |                       |                       |             |                     |                              |   |  |
|--|--|---|---------------------------------|--|----------|---|------------------------------------|------------------------------------|-----------------------|---------------------------|--------------------------|-----------------------|-----------------------|-----------------------|-------------|---------------------|------------------------------|---|--|
| Outcome 9:                               |  |   |                                 | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                 |          |   |                                    |                                    |                       |                           |                          |                       |                       |                       |             |                     |                              |   |  |
| Outputs 5:                               |  |   |                                 | <ul style="list-style-type: none"> <li>Deepen democracy through a refined ward committee model</li> <li>Administrative and financial capability</li> </ul> |          |   |                                    |                                    |                       |                           |                          |                       |                       |                       |             |                     |                              |   |  |
| Key Strategic Organizational Objectives: |  |   |                                 | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>  |          |   |                                    |                                    |                       |                           |                          |                       |                       |                       |             |                     |                              |   |  |
| Project No.                              | Key performance Area                         | Project Strategic Objectives  | Project Name                    | Project Description  | Location | Key performance Indicator               | Baseline                           | 2019/20 Annual Target              | Revised Annual Target | Quarter 3 Target          | Revised Quarter 3 Target | Quarter 3 Progress    | 2019/20 Annual Budget | Revised Annual Budget | Expenditure | Corrective Measures | Reason for review/ variances | Means of verification                   |  |
| FD-08                                    | Municipal Financial Viability and Management | To ensure proper valuation, safeguarding, optimisation and disposal of municipal assets in compliance with relevant legislation | Assets and logistics management | Periodic asset counting  | CDM      | Number of asset verifications performed | 2 asset verification performed     | 1 asset verification performed     | Target not revised    | No target for the quarter | Target not revised       | <b>Not Applicable</b> | OPEX                  | OPEX                  | OPEX        | None                | None                         | asset verification report               |  |
| FD-8.1                                   | Municipal Financial Viability and            | To ensure proper valuation, safeguarding,   | Assets and logistics management | Regular update and/or maintenance  | CDM      | Number of inventory and asset registers | 1 inventory and one asset register | 1 inventory and one asset register | Target not revised    | No target for the quarter | Target not revised       | <b>Not Applicable</b> | OPEX                  | OPEX                  | OPEX        | None                | None                         | Inventory and one asset register report |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit             |                      |   |              | Finance –Vote 4  |          |                           |                        |                        |                        |                  |                           |                    |                       |                        |             |                     |                              |                       |  |
|---------------------------|----------------------|---|--------------|--|----------|---------------------------|------------------------|------------------------|------------------------|------------------|---------------------------|--------------------|-----------------------|------------------------|-------------|---------------------|------------------------------|-----------------------|--|
| Outcome 9:                |                      |   |              | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                 |          |                           |                        |                        |                        |                  |                           |                    |                       |                        |             |                     |                              |                       |  |
| Outputs 5:                |                      |   |              | <ul style="list-style-type: none"> <li>Deepen democracy through a refined ward committee model</li> <li>Administrative and financial capability</li> </ul> |          |                           |                        |                        |                        |                  |                           |                    |                       |                        |             |                     |                              |                       |  |
| Key Strategic Objectives: |                      |   |              | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>  |          |                           |                        |                        |                        |                  |                           |                    |                       |                        |             |                     |                              |                       |  |
| Project No.               | Key performance Area | Project Strategic Objectives  | Project Name | Project Description  | Location | Key performance Indicator | Baseline               | 2019/20 Annual Target  | Reviewed Annual Target | Quarter 3 Target | Reviewed Quarter 3 Target | Quarter 3 Progress | 2019/20 Annual Budget | Reviewed Annual Budget | Expenditure | Corrective Measures | Reason for review/ variances | Means of verification |  |
|                           | Management           | arding, optimisation and disposal of municipal assets in compliance with relevant legislation | gement       | of asset register  |          | rs compiled and updated   | r compiled and updated | r compiled and updated |                        |                  |                           |                    |                       |                        |             |                     |                              |                       |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |  |   |   | Finance –Vote 4  |          |   |  |  |                       |                               |                          |                                 |                       |                       |              |                     |                                |  |  |
|--|--|---|---|--|----------|---|--|--|-----------------------|-------------------------------|--------------------------|---------------------------------|-----------------------|-----------------------|--------------|---------------------|--------------------------------|--|--|
| Outcome 9:                               |  |   |   | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                 |          |   |  |  |                       |                               |                          |                                 |                       |                       |              |                     |                                |  |  |
| Outputs 5:                               |  |   |   | <ul style="list-style-type: none"> <li>Deepen democracy through a refined ward committee model</li> <li>Administrative and financial capability</li> </ul> |          |   |  |  |                       |                               |                          |                                 |                       |                       |              |                     |                                |  |  |
| Key Strategic Organizational Objectives: |  |   |   | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>  |          |   |  |  |                       |                               |                          |                                 |                       |                       |              |                     |                                |  |  |
| Project No.                              | Key performance Area                         | Project Strategic Objectives  | Project Name  | Project Description  | Location | Key performance Indicator   | Baseline   | 2019/20 Annual Target  | Revised Annual Target | Quarter 3 Target              | Revised Quarter 3 Target | Quarter 3 Progress              | 2019/20 Annual Budget | Revised Annual Budget | Expenditure  | Corrective Measures | Reason for review/w/ variances | Means of verification                  |  |
| FD-09                                    | Municipal Financial Viability and Management | To ensure that resources required to fulfil the needs identified in the strategic plan of the institution are efficient and effective | Assets management (Unbundling of infrastructure assets) | Unbundling of infrastructure assets  | CDM      | Percentage of infrastructure assets unbundled in accordance with the accounting framework | 100 percent of infrastructure assets unbundled in accordance with the accounting framework | 100 percent of infrastructure assets unbundled in accordance with the accounting framework | Target not revised    | No target for the quarter     | Target not revised       | <b>Not Applicable</b>           | 3 000 000             | Budget not revised    | 2 943 018.00 | None                | None                           | Infrastructure assets unbundled report |  |
| FD-10                                    | Municipal Financial Viability                | To ensure revenue of the  | Water revenue collection                                | Collect revenue billed and VAT   | CDM      | Percentage of water collected   | 14 percent of water collected  | 25 percent of water collected  | Target not revised    | 10 percent of water collected | Target not revised       | <b>Achieved</b> 16,5 percent of | 5 950 000             | 10 607 000            | 2 009 634.00 | None                | Insufficient Budget            | water collection from service          |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                      |                              |              |                      | Finance –Vote 4  |                                |                                |                                |                       |                                |                          |  |                       |                       |             |                     |                              |                       |  |
|--|----------------------|------------------------------|--------------|----------------------|--|--------------------------------|--------------------------------|--------------------------------|-----------------------|--------------------------------|--------------------------|--|-----------------------|-----------------------|-------------|---------------------|------------------------------|-----------------------|--|
| Outcome 9:                               |                      |                              |              |                      | <ul style="list-style-type: none"> <li>Responsive, Accountable, Effective and Efficient Local Government System</li> </ul>                                 |                                |                                |                                |                       |                                |                          |  |                       |                       |             |                     |                              |                       |  |
| Outputs 5:                               |                      |                              |              |                      | <ul style="list-style-type: none"> <li>Deepen democracy through a refined ward committee model</li> <li>Administrative and financial capability</li> </ul> |                                |                                |                                |                       |                                |                          |  |                       |                       |             |                     |                              |                       |  |
| Key Strategic Organizational Objectives: |                      |                              |              |                      | <ul style="list-style-type: none"> <li>To increase the capacity of the district to deliver its mandate</li> </ul>  |                                |                                |                                |                       |                                |                          |  |                       |                       |             |                     |                              |                       |  |
| Project No.                              | Key performance Area | Project Strategic Objectives | Project Name | Project Description  | Location   | Key performance Indicator      | Baseline                       | 2019/20 Annual Target          | Revised Annual Target | Quarter 3 Target               | Revised Quarter 3 Target | Quarter 3 Progress                           | 2019/20 Annual Budget | Revised Annual Budget | Expenditure | Corrective Measures | Reason for review/ variances | Means of verification |  |
|  | y and Management     | municipality is collected    |              | due to municipality. |  | on from service charges billed | on from service charges billed | on from service charges billed |                       | on from service charges billed |                          | water collection from service charges billed |                       |                       |             |                     |                              | charges billed report |  |

**1.5 DEVELOPMENT, PLANNING AND ENVIRONMENTAL MANAGEMENT SERVICES DEPARTMENT-VOTE 5**



CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                           |                        |  |  | Development, Planning and Environmental Management Services Department - Vote 5                                  |          |  |   |   |                        |   |                            |   |                       |                                |             |            |                     |                   |   |
|---|------------------------|--|--|--|----------|--|---|---|------------------------|---|----------------------------|---|-----------------------|--------------------------------|-------------|------------|---------------------|-------------------|---|
| Outcome 9:                              |                        |  |  | Responsive, Accountable, Effective and Efficient Local Government System   |          |  |   |   |                        |   |                            |   |                       |                                |             |            |                     |                   |   |
| Outputs 1 & 7:                          |                        |  |  | Implementation of the community works programme<br>Actions supportive of human settlement outcome                |          |  |   |   |                        |   |                            |   |                       |                                |             |            |                     |                   |   |
| Key Strategic Organizational Objective: |                        |  |  | To enhance conditions of economic growth and job creation  |          |  |   |   |                        |   |                            |   |                       |                                |             |            |                     |                   |   |
| Project No.                             | Key Performance Area   | Strategic Objectives   | Project Name   | Project Description (major activities)   | Location | Key performance indicator  | Baseline  | 2019/20 Annual Targets  | Reviewed Annual Target | Quarter 3 Targets   | Reviewed Quarter 3 Targets | Quarter 3 Progress  | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review | Means of verification                       |
| DP EMS-01                               | Basic service delivery | To coordinate and promote reliable, safe road network, efficient, accessible and affordable transport services | Development of Rural Roads Assets Management System (Public Transport Rural Infrastructure Planning) | Rural Roads Asset Management Systems (Traffic data, bridge condition survey, mapping of visual conditions, Exten | CDM      | Number of Rural Roads Asset Management Systems implemented and updated | 1 Rural Roads Asset Management System implemented and updated | 1 Rural Roads Asset Management System implemented and updated | Target not revised     | Progress report on Rural roads Assets management system implemented and updated i.e Traffic data round 3, bridge condit | Target not revised         | <b>Achieved</b> Progress report on Rural roads Assets management system implemented and updated i.e Traffic data round 3,brid | 2231000               | Budget not revised             | 484900.67   | None       | None                | None              | Rural Roads Asset Management Systems report |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                           |                      |                      |              | Development, Planning and Environmental Management Services Department - Vote 5                   |          |                           |          |                        |                        |  |                            |   |                       |                                |             |            |                     |                   |                       |
|---|----------------------|----------------------|--------------|---|----------|---------------------------|----------|------------------------|------------------------|--|----------------------------|---|-----------------------|--------------------------------|-------------|------------|---------------------|-------------------|-----------------------|
| Outcome 9:                              |                      |                      |              | Responsive, Accountable, Effective and Efficient Local Government System                          |          |                           |          |                        |                        |  |                            |   |                       |                                |             |            |                     |                   |                       |
| Outputs 1 & 7:                          |                      |                      |              | Implementation of the community works programme<br>Actions supportive of human settlement outcome |          |                           |          |                        |                        |  |                            |   |                       |                                |             |            |                     |                   |                       |
| Key Strategic Organizational Objective: |                      |                      |              | To enhance conditions of economic growth and job creation   |          |                           |          |                        |                        |  |                            |   |                       |                                |             |            |                     |                   |                       |
| Project No.                             | Key Performance Area | Strategic Objectives | Project Name | Project Description (major activities)  | Location | Key performance indicator | Baseline | 2019/20 Annual Targets | Reviewed Annual Target | Quarter 3 Targets  | Reviewed Quarter 3 Targets | Quarter 3 Progress  | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review | Means of verification |
|   |                      |                      |              | ded visual condition assessment   |          |                           |          |                        |                        | ion survey round 3, mapping of visual conditions round 3, Extended visual condition assesment round 3. |                            | ge condition survey round 3, mapping of visual conditions round 3-178 KM, Extended visual condition assesment round |                       |                                |             |            |                     |                   |                       |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                           |                        |   |   | Development, Planning and Environmental Management Services Department - Vote 5                   |  |   |  |  |                        |   |                            |   |                       |                                |             |            |                     |                   |                       |                    |
|---|------------------------|---|---|---|--|---|--|--|------------------------|---|----------------------------|---|-----------------------|--------------------------------|-------------|------------|---------------------|-------------------|-----------------------|--------------------|
| Outcome 9:                              |                        |   |   | Responsive, Accountable, Effective and Efficient Local Government System                          |  |   |  |  |                        |   |                            |   |                       |                                |             |            |                     |                   |                       |                    |
| Outputs 1 & 7:                          |                        |   |   | Implementation of the community works programme<br>Actions supportive of human settlement outcome |  |   |  |  |                        |   |                            |   |                       |                                |             |            |                     |                   |                       |                    |
| Key Strategic Organizational Objective: |                        |   |   | To enhance conditions of economic growth and job creation   |  |   |  |  |                        |   |                            |   |                       |                                |             |            |                     |                   |                       |                    |
| Project No.                             | Key Performance Area   | Strategic Objectives  | Project Name                              | Project Description (major activities)  | Location   | Key performance indicator                       | Baseline   | 2019/20 Annual Targets   | Reviewed Annual Target | Quarter 3 Targets   | Reviewed Quarter 3 Targets | Quarter 3 Progress  | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review | Means of verification |                    |
|   |                        |   |   |   |  |   |  |  |                        |   |                            |   | 3-178 KM.             |                                |             |            |                     |                   |                       |                    |
| DP EMS-02                               | Basic service delivery | To coordinate and promote reliable, safe road network, efficient, accessible and affordable transport | Monitoring of public transport facilities | Monitoring of public transport facilities   | Blouberg, Lepelle-Nkumpi, Molemole and Polokwane | Number of Public Transport Facilities monitored | 16 public transport facilities monitored in all the municipalities (Blouberg, Molemole, Lepelle-Nkumpi and | 16 public transport facilities monitored in all the municipalities (Blouberg, Molemole, Lepelle-Nkumpi and | Target not revised     | 4 public transport facilities monitored in all the municipality | Target not revised         | <b>Achieved</b> 4 public transport facilities monitored in all the municipality | OP EX                 | OP EX                          | OPE X       | None       | None                | None              | None                  | Monitoring Reports |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                           |                        |   |                                | Development, Planning and Environmental Management Services Department - Vote 5                   |          |   |   |   |                        |  |                            |  |                       |                                |             |            |                     |                   |                                |  |
|---|------------------------|---|--------------------------------|---|----------|---|---|---|------------------------|--|----------------------------|--|-----------------------|--------------------------------|-------------|------------|---------------------|-------------------|--------------------------------|--|
| Outcome 9:                              |                        |   |                                | Responsive, Accountable, Effective and Efficient Local Government System                          |          |   |   |   |                        |  |                            |  |                       |                                |             |            |                     |                   |                                |  |
| Outputs 1 & 7:                          |                        |   |                                | Implementation of the community works programme<br>Actions supportive of human settlement outcome |          |   |   |   |                        |  |                            |  |                       |                                |             |            |                     |                   |                                |  |
| Key Strategic Organizational Objective: |                        |   |                                | To enhance conditions of economic growth and job creation   |          |   |   |   |                        |  |                            |  |                       |                                |             |            |                     |                   |                                |  |
| Project No.                             | Key Performance Area   | Strategic Objectives  | Project Name                   | Project Description (major activities)  | Location | Key performance indicator                             | Baseline                                    | 2019/20 Annual Targets                        | Reviewed Annual Target | Quarter 3 Targets                            | Reviewed Quarter 3 Targets | Quarter 3 Progress   | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review | Means of verification          |  |
|   |                        | services  |                                |   |          |   | Polokwane                                   | Polokwane)                                    |                        |  |                            |  |                       |                                |             |            |                     |                   |                                |  |
| DP EMS-03                               | Basic service delivery | To coordinate and promote reliable, safe road network, efficient, accessible and affordable transport | Road safety awareness campaign | Conduct Road safety awareness campaign to promote road safety in the district.                    | CDM      | Number of road safety awareness campaigns coordinated | 5 road safety awareness campaigns conducted | 8 road safety awareness campaigns coordinated | Target not revised     | 2 road safety awareness campaign coordinated | Target not revised         | <b>Achieved</b> 2 road safety awareness campaign coordinated | 5000                  | Budget not revised             | 0.00        | None       | None                | None              | Programmes/Attendance register |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                           |                        |   |                            | Development, Planning and Environmental Management Services Department - Vote 5                   |          |  |  |   |                        |   |                            |   |                       |                                |             |            |                     |                   |                       |                              |
|---|------------------------|---|----------------------------|---|----------|--|--|---|------------------------|---|----------------------------|---|-----------------------|--------------------------------|-------------|------------|---------------------|-------------------|-----------------------|------------------------------|
| Outcome 9:                              |                        |   |                            | Responsive, Accountable, Effective and Efficient Local Government System                          |          |  |  |   |                        |   |                            |   |                       |                                |             |            |                     |                   |                       |                              |
| Outputs 1 & 7:                          |                        |   |                            | Implementation of the community works programme<br>Actions supportive of human settlement outcome |          |  |  |   |                        |   |                            |   |                       |                                |             |            |                     |                   |                       |                              |
| Key Strategic Organizational Objective: |                        |   |                            | To enhance conditions of economic growth and job creation   |          |  |  |   |                        |   |                            |   |                       |                                |             |            |                     |                   |                       |                              |
| Project No.                             | Key Performance Area   | Strategic Objectives  | Project Name               | Project Description (major activities)  | Location | Key performance indicator                        | Baseline                                 | 2019/20 Annual Targets                    | Reviewed Annual Target | Quarter 3 Targets                         | Reviewed Quarter 3 Targets | Quarter 3 Progress  | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review | Means of verification |                              |
|   |                        | services  |                            |   |          |  |  |   |                        |   |                            |   |                       |                                |             |            |                     |                   |                       |                              |
| DP EMS-04                               | Basic service delivery | To coordinate and promote reliable, safe road network, efficient, accessible and affordable transport | Transport Forum Engagement | Conduct Transport Forum Engagement  | CDM      | Number of Transport Forum engagement coordinated | 4 Transport Forum engagement coordinated | 4 Transport Forum engagements coordinated | Target not revised     | 1 Transport Forum engagements coordinated | Target not revised         | <b>Achieved</b> 1 Transport Forum Engagement coordinated. | OP EX                 | OP EX                          | OPE X       | None       | None                | None              | None                  | Minutes/ Attendance register |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                           |                                |                            |                                      | Development, Planning and Environmental Management Services Department - Vote 5                   |                           |  |  |  |                        |  |                            |  |                       |                                |             |                              |  |                   |                             |  |
|---|--------------------------------|----------------------------|--------------------------------------|---|---------------------------|--|--|--|------------------------|--|----------------------------|--|-----------------------|--------------------------------|-------------|------------------------------|--|-------------------|-----------------------------|--|
| Outcome 9:                              |                                |                            |                                      | Responsive, Accountable, Effective and Efficient Local Government System                          |                           |  |  |  |                        |  |                            |  |                       |                                |             |                              |  |                   |                             |  |
| Outputs 1 & 7:                          |                                |                            |                                      | Implementation of the community works programme<br>Actions supportive of human settlement outcome |                           |  |  |  |                        |  |                            |  |                       |                                |             |                              |  |                   |                             |  |
| Key Strategic Organizational Objective: |                                |                            |                                      | To enhance conditions of economic growth and job creation   |                           |  |  |  |                        |  |                            |  |                       |                                |             |                              |  |                   |                             |  |
| Project No.                             | Key Performance Area           | Strategic Objectives       | Project Name                         | Project Description (major activities)  | Location                  | Key performance indicator                      | Baseline                               | 2019/20 Annual Targets                 | Reviewed Annual Target | Quarter 3 Targets                      | Reviewed Quarter 3 Targets | Quarter 3 Progress                                     | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges                   | Corrective Measures                              | Reason for Review | Means of verification       |  |
|   |                                | services                   |                                      |   |                           |  |  |  |                        |  |                            |  |                       |                                |             |                              |  |                   |                             |  |
| DP EM S-05                              | Spatial Planning and Rationale | To protect the environment | Management of Blouberg Landfill site | Provide financial support to Blouberg LM for the management of the Senw                           | Senwabarwana, Blouberg LM | Number of landfill management reports compiled | 4 landfill management reports compiled | 4 landfill management reports compiled | Target not revised     | 1 landfill management reports compiled | Target not revised         | <b>Achieved</b> 1 Landfill management report compiled. | 3 000 000             | Budget not revised             | 1 500 000   | Issues related to compliance | Continued interaction with Blouberg LM officials | None              | Landfill management reports |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                           |                                |                            |   | Development, Planning and Environmental Management Services Department - Vote 5                   |                     |   |               |   |                        |   |                            |   |                       |                                |             |  |   |   |                          |
|---|--------------------------------|----------------------------|---|---|---------------------|---|---------------|---|------------------------|---|----------------------------|---|-----------------------|--------------------------------|-------------|--|---|---|--------------------------|
| Outcome 9:                              |                                |                            |   | Responsive, Accountable, Effective and Efficient Local Government System                          |                     |   |               |   |                        |   |                            |   |                       |                                |             |  |   |   |                          |
| Outputs 1 & 7:                          |                                |                            |   | Implementation of the community works programme<br>Actions supportive of human settlement outcome |                     |   |               |   |                        |   |                            |   |                       |                                |             |  |   |   |                          |
| Key Strategic Organizational Objective: |                                |                            |   | To enhance conditions of economic growth and job creation   |                     |   |               |   |                        |   |                            |   |                       |                                |             |  |   |   |                          |
| Project No.                             | Key Performance Area           | Strategic Objectives       | Project Name  | Project Description (major activities)  | Location            | Key performance indicator   | Baseline      | 2019/20 Annual Targets  | Reviewed Annual Target | Quarter 3 Targets   | Reviewed Quarter 3 Targets | Quarter 3 Progress  | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges   | Corrective Measures   | Reason for Review                             | Means of verification    |
|   |                                |                            |   | abarwana landfill   |                     |   |               |   |                        |   |                            |   |                       |                                |             |  |   |   |                          |
| DP EMS-06                               | Spatial Planning and Rationale | To protect the environment | Provision of waste equipment for local municipalities | Provision of waste equipment for local municipalities   | All municipal areas | Number of progress reports on waste equipment provided to local municipalities compiled | New indicator | 4 project progress reports on local municipalities provided with waste equipment compiled | Target not revised     | 1 project progress reports on local municipalities provided with waste equipment compiled | Target not revised         | <b>Achieved</b><br>1 Project progress report compiled. Mole mole LM waste truck in process of licensing & registr | 2268000               | 1368000                        | 2418502.50  | Finalising contractual matters, signing of a SLA and delivery of waste skip bins will be | Challenges can only be addressed after lockdown and reopening of the manufacturing sector | Budget reduced to assist in critical projects | Project progress reports |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                           |                                |                            |                                  | Development, Planning and Environmental Management Services Department - Vote 5                   |                     |   |               |  |                        |  |                            |   |                       |                                |             |  |   |                     |                          |
|---|--------------------------------|----------------------------|----------------------------------|---|---------------------|---|---------------|--|------------------------|--|----------------------------|---|-----------------------|--------------------------------|-------------|--|---|---------------------|--------------------------|
| Outcome 9:                              |                                |                            |                                  | Responsive, Accountable, Effective and Efficient Local Government System                          |                     |   |               |  |                        |  |                            |   |                       |                                |             |  |   |                     |                          |
| Outputs 1 & 7:                          |                                |                            |                                  | Implementation of the community works programme<br>Actions supportive of human settlement outcome |                     |   |               |  |                        |  |                            |   |                       |                                |             |  |   |                     |                          |
| Key Strategic Organizational Objective: |                                |                            |                                  | To enhance conditions of economic growth and job creation   |                     |   |               |  |                        |  |                            |   |                       |                                |             |  |   |                     |                          |
| Project No.                             | Key Performance Area           | Strategic Objectives       | Project Name                     | Project Description (major activities)  | Location            | Key performance indicator                                 | Baseline      | 2019/20 Annual Targets                           | Reviewed Annual Target | Quarter 3 Targets                                | Reviewed Quarter 3 Targets | Quarter 3 Progress  | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges                                   | Corrective Measures                             | Reason for Review   | Means of verification    |
|   |                                |                            |                                  |   |                     |   |               |  |                        |  |                            | ation and service provider for 75 waste skip bins appointed on 24 March |                       |                                |             | delayed due to Covid-19 lockdown.            |   |                     |                          |
| DP EM S-07                              | Spatial Planning and Rationale | To protect the environment | Provide recycling units / depots | Provision of 20 recycling units/ depots for munic   | All municipal areas | Number of progress reports on recycling units provided to | New indicator | 4 project progress reports on local municipaliti | Target not revised     | 1 project progress reports on local municipaliti | Target not revised         | <b>Achieved</b><br>Project progress report completed. A                 | 60 000                | 900 000                        | 0.00        | Finalising contractual matters, signing of a | Challenges can only be addressed after lockdown | Insufficient Budget | Project progress reports |



CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                           |                      |                            |                                 | Development, Planning and Environmental Management Services Department - Vote 5                   |                     |                               |                      |   |                        |   |                            |  |                       |                                |             |   |   |                   |  |
|---|----------------------|----------------------------|---------------------------------|---|---------------------|-------------------------------|----------------------|---|------------------------|---|----------------------------|--|-----------------------|--------------------------------|-------------|---|---|-------------------|--|
| Outcome 9:                              |                      |                            |                                 | Responsive, Accountable, Effective and Efficient Local Government System                          |                     |                               |                      |   |                        |   |                            |  |                       |                                |             |   |   |                   |  |
| Outputs 1 & 7:                          |                      |                            |                                 | Implementation of the community works programme<br>Actions supportive of human settlement outcome |                     |                               |                      |   |                        |   |                            |  |                       |                                |             |   |   |                   |  |
| Key Strategic Organizational Objective: |                      |                            |                                 | To enhance conditions of economic growth and job creation   |                     |                               |                      |   |                        |   |                            |  |                       |                                |             |   |   |                   |  |
| Project No.                             | Key Performance Area | Strategic Objectives       | Project Name                    | Project Description (major activities)  | Location            | Key performance indicator     | Baseline             | 2019/20 Annual Targets                    | Reviewed Annual Target | Quarter 3 Targets                         | Reviewed Quarter 3 Targets | Quarter 3 Progress   | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges  | Corrective Measures                       | Reason for Review | Means of verification                  |
|   |                      |                            |                                 | ipalities   |                     | local municipalities compiled |                      | es provided with recycling units compiled |                        | es provided with recycling units compiled |                            | service provider for procuring the 32 recycling bins was appointed on 24 March |                       |                                |             | SLA and delivery of recycling units will be delayed due to Covid-19 lockdown. | and reopening of the manufacturing sector |                   |  |
| DP EM S-08                              | Spatial Planning and | To protect the environment | Laboratory analysis air quality | Passive ambient air quality   | All municipal areas | Number of reports on passive  | 4 Reports on passive | 4 Reports on passive                      | Target not revised     | 1 Report on passive                       | Target not revised         | <b>Achieved</b> 1 Report on passive  | 23 000                | Budget not revised             | 5 370       | None  | None                                      | None              | Reports on passive ambient air quality |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                           |                      |                      |                          | Development, Planning and Environmental Management Services Department - Vote 5                   |          |   |   |   |                        |   |                            |  |                       |                                |             |            |                     |                   |                         |                    |
|---|----------------------|----------------------|--------------------------|---|----------|---|---|---|------------------------|---|----------------------------|--|-----------------------|--------------------------------|-------------|------------|---------------------|-------------------|-------------------------|--------------------|
| Outcome 9:                              |                      |                      |                          | Responsive, Accountable, Effective and Efficient Local Government System                          |          |   |   |   |                        |   |                            |  |                       |                                |             |            |                     |                   |                         |                    |
| Outputs 1 & 7:                          |                      |                      |                          | Implementation of the community works programme<br>Actions supportive of human settlement outcome |          |   |   |   |                        |   |                            |  |                       |                                |             |            |                     |                   |                         |                    |
| Key Strategic Organizational Objective: |                      |                      |                          | To enhance conditions of economic growth and job creation   |          |   |   |   |                        |   |                            |  |                       |                                |             |            |                     |                   |                         |                    |
| Project No.                             | Key Performance Area | Strategic Objectives | Project Name             | Project Description (major activities)  | Location | Key performance indicator                       | Baseline  | 2019/20 Annual Targets                          | Reviewed Annual Target | Quarter 3 Targets                               | Reviewed Quarter 3 Targets | Quarter 3 Progress                                 | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review | Means of verification   |                    |
|   | Rationale            |                      | (Air quality monitoring) | y monitoring (Laboratory Analysis Air Quality)  |          | ambient air quality monitoring results compiled | ambient air quality monitoring results compiled | ambient air quality monitoring results compiled |                        | ambient air quality monitoring results compiled |                            | ve ambient air quality monitoring results compiled |                       |                                |             |            |                     |                   |                         | monitoring results |
| DP EM                                   | Spatial Plan         | To protect the       | Air quality monitoring   | Calibration &   | CDM      | Number of air quality                           | 5 Monitoring                                    | 5 Air quality                                   | Target not             | 3 air quality-                                  | Target not                 | <b>Achieved</b><br>3 air                           | 127                   | 122000                         | 33350.00    | None       | None                | Budget red        | Invoice for calibration |                    |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                           |                      |                      |  | Development, Planning and Environmental Management Services Department - Vote 5                   |               |   |                                     |  |                        |   |                            |  |                       |                                |             |            |                     |                                      |                                 |
|---|----------------------|----------------------|--|---|---------------|---|-------------------------------------|--|------------------------|---|----------------------------|--|-----------------------|--------------------------------|-------------|------------|---------------------|--------------------------------------|---------------------------------|
| Outcome 9:                              |                      |                      |  | Responsive, Accountable, Effective and Efficient Local Government System                          |               |   |                                     |  |                        |   |                            |  |                       |                                |             |            |                     |                                      |                                 |
| Outputs 1 & 7:                          |                      |                      |  | Implementation of the community works programme<br>Actions supportive of human settlement outcome |               |   |                                     |  |                        |   |                            |  |                       |                                |             |            |                     |                                      |                                 |
| Key Strategic Organizational Objective: |                      |                      |  | To enhance conditions of economic growth and job creation   |               |   |                                     |  |                        |   |                            |  |                       |                                |             |            |                     |                                      |                                 |
| Project No.                             | Key Performance Area | Strategic Objectives | Project Name                                 | Project Description (major activities)  | Location      | Key performance indicator                       | Baseline                            | 2019/20 Annual Targets                           | Reviewed Annual Target | Quarter 3 Targets                                 | Reviewed Quarter 3 Targets | Quarter 3 Progress   | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review                    | Means of verification           |
| S-09                                    | ning and Ratio nale  | enviro nment         | ring ( Calibration and repair of equip ment) | repair of air quality monitoring equip ment   |               | monitor ing equipm ent calibrat ed and repaired | statio ns calibr ated and repair ed | monit oring equip ment calibr ated and repair ed | revis ed               | monit oring equip ment calibr ated and repair ed. | revis ed                   | qualit y monit oring equip ment calibr ated and repair ed. | 00 0                  |                                |             |            |                     | uce d to assi in criti cal proj ects | and repair of equipmen t        |
| DP EM                                   | Spati al Plan        | To protec t the      | Operat ion of a                              | Opera tion of a   | Polokwan e LM | Numbe r of continu                              | New Indica tor                      | 5 contin uous                                    | Tar get not            | 2 contin uous                                     | Tar get not                | <b>Achie ved</b> 2 contin                                  | 1 5 00                | Bud get not                    | 0.00        | Non e      | None                | Non e                                | Air quality monitorin g reports |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                           |                                |                            |   | Development, Planning and Environmental Management Services Department - Vote 5                   |          |   |  |  |                        |   |                            |  |                       |                                |             |            |                     |                     |  |
|---|--------------------------------|----------------------------|---|---|----------|---|--|--|------------------------|---|----------------------------|--|-----------------------|--------------------------------|-------------|------------|---------------------|---------------------|--|
| Outcome 9:                              |                                |                            |   | Responsive, Accountable, Effective and Efficient Local Government System                          |          |   |  |  |                        |   |                            |  |                       |                                |             |            |                     |                     |  |
| Outputs 1 & 7:                          |                                |                            |   | Implementation of the community works programme<br>Actions supportive of human settlement outcome |          |   |  |  |                        |   |                            |  |                       |                                |             |            |                     |                     |  |
| Key Strategic Organizational Objective: |                                |                            |   | To enhance conditions of economic growth and job creation   |          |   |  |  |                        |   |                            |  |                       |                                |             |            |                     |                     |  |
| Project No.                             | Key Performance Area           | Strategic Objectives       | Project Name  | Project Description (major activities)  | Location | Key performance indicator   | Baseline   | 2019/20 Annual Targets   | Reviewed Annual Target | Quarter 3 Targets   | Reviewed Quarter 3 Targets | Quarter 3 Progress   | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review   | Means of verification                                  |
| S-11                                    | Planning and Rationale         | environment                | continuous ambient air quality monitoring station               | continuous ambient air quality monitoring station   |          | air quality monitoring reports compiled                                   |  | air quality monitoring reports compiled                            | revised                | air quality monitoring reports compiled                           | revised                    | continuous air quality monitoring report compiled                        | 000                   | revised                        |             |            |                     |                     |  |
| DP EM S-12                              | Spatial Planning and Rationale | To protect the environment | Environmental compliance monitoring inspections and enforcement | Under take compliance monitoring inspections and enforcement actions                              | CDM      | Number of environmental compliance monitoring inspection reports compiled | 26 Environmental compliance inspection conducted | 12 Environmental compliance inspection monitoring reports compiled | Target not revised     | 3 Environmental compliance monitoring inspection reports compiled | Target not revised         | <b>Achieved</b> 6 environmental compliance monitoring inspection reports | 20000                 | 31000                          | 0.00        | None       | None                | Insufficient Budget | Environmental compliance monitoring inspection reports |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                           |                                |                            |                                       | Development, Planning and Environmental Management Services Department - Vote 5                   |                     |   |                                   |  |                        |   |                            |   |                       |                                |             |            |                     |                     |                          |  |
|---|--------------------------------|----------------------------|---------------------------------------|---|---------------------|---|-----------------------------------|--|------------------------|---|----------------------------|---|-----------------------|--------------------------------|-------------|------------|---------------------|---------------------|--------------------------|--|
| Outcome 9:                              |                                |                            |                                       | Responsive, Accountable, Effective and Efficient Local Government System                          |                     |   |                                   |  |                        |   |                            |   |                       |                                |             |            |                     |                     |                          |  |
| Outputs 1 & 7:                          |                                |                            |                                       | Implementation of the community works programme<br>Actions supportive of human settlement outcome |                     |   |                                   |  |                        |   |                            |   |                       |                                |             |            |                     |                     |                          |  |
| Key Strategic Organizational Objective: |                                |                            |                                       | To enhance conditions of economic growth and job creation   |                     |   |                                   |  |                        |   |                            |   |                       |                                |             |            |                     |                     |                          |  |
| Project No.                             | Key Performance Area           | Strategic Objectives       | Project Name                          | Project Description (major activities)  | Location            | Key performance indicator   | Baseline                          | 2019/20 Annual Targets                             | Reviewed Annual Target | Quarter 3 Targets   | Reviewed Quarter 3 Targets | Quarter 3 Progress  | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review   | Means of verification    |  |
|   |                                |                            |                                       |   |                     |   |                                   |  |                        |   |                            | completed.  |                       |                                |             |            |                     |                     |                          |  |
| DP EMS-13                               | Spatial Planning and Rationale | To protect the environment | Greening and beautifying the district | Planting of trees   | All municipal areas | Number of trees planted   | 800 trees purchased and delivered | 800 trees planted                                  | Target not revised     | No target for the quarter   | Target not revised         | <b>Not Applicable</b>   | 53 5000               | 601 000                        | 535 000.00  | None       | None                | Insufficient Budget | Tree Planting reports    |  |
| DP EMS-14                               | Spatial Planning and Rationale | To protect the environment | Renewable energy sources              | Provide biomass digesters, solar cookers and solar geyser to house                                | All municipal areas | Number of premises (households and schools) provided with alternative | New Indicator                     | 1 Premise equipped with alternative energy sources | Target not revised     | 1 Progress report on equipping 1 premises with alternative energy | Target not revised         | <b>Achieved</b><br>1 Progress report on equipping 1 premises with alternative | 60 000                | Budget not revised             | 600 000.00  | None       | None                | None                | Project progress reports |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                           |                                |                            |                                       | Development, Planning and Environmental Management Services Department - Vote 5                   |          |                                       |                             |                             |                        |                               |                            |   |                       |                                |             |            |                     |                   |   |
|---|--------------------------------|----------------------------|---------------------------------------|---|----------|---------------------------------------|-----------------------------|-----------------------------|------------------------|-------------------------------|----------------------------|---|-----------------------|--------------------------------|-------------|------------|---------------------|-------------------|---|
| Outcome 9:                              |                                |                            |                                       | Responsive, Accountable, Effective and Efficient Local Government System                          |          |                                       |                             |                             |                        |                               |                            |   |                       |                                |             |            |                     |                   |   |
| Outputs 1 & 7:                          |                                |                            |                                       | Implementation of the community works programme<br>Actions supportive of human settlement outcome |          |                                       |                             |                             |                        |                               |                            |   |                       |                                |             |            |                     |                   |   |
| Key Strategic Organizational Objective: |                                |                            |                                       | To enhance conditions of economic growth and job creation   |          |                                       |                             |                             |                        |                               |                            |   |                       |                                |             |            |                     |                   |   |
| Project No.                             | Key Performance Area           | Strategic Objectives       | Project Name                          | Project Description (major activities)  | Location | Key performance indicator             | Baseline                    | 2019/20 Annual Targets      | Reviewed Annual Target | Quarter 3 Targets             | Reviewed Quarter 3 Targets | Quarter 3 Progress                        | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review | Means of verification                                   |
|   |                                |                            |                                       | holds and schools   |          | energy sources                        |                             |                             |                        | y sources                     |                            | ative energy sources compiled             |                       |                                |             |            |                     |                   |   |
| DP EMS-16                               | Spatial Planning and Rationale | To protect the environment | Support to Wildlife and Environmental | Support provided to WESSA Eco   | CDM      | Number of signed MoUs for transfer of | 1 Signed MoU and 4 progress | 1 signed MoU and 4 progress | Target not revised     | 1 progress report on Eco-Scho | Target not revised         | <b>Achieved</b> 1 progress report on Eco- | 27 000                | Budget not revised             | 270 000     | None       | None                | None              | Signed MoU/Proof of transfer of funds/ progress reports |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                           |                      |                            |  | Development, Planning and Environmental Management Services Department - Vote 5                   |                     |                                   |                                  |                                  |                        |                           |                            |   |                       |                                |             |            |                     |                     |  |
|---|----------------------|----------------------------|--|---|---------------------|-----------------------------------|----------------------------------|----------------------------------|------------------------|---------------------------|----------------------------|---|-----------------------|--------------------------------|-------------|------------|---------------------|---------------------|--|
| Outcome 9:                              |                      |                            |  | Responsive, Accountable, Effective and Efficient Local Government System                          |                     |                                   |                                  |                                  |                        |                           |                            |   |                       |                                |             |            |                     |                     |  |
| Outputs 1 & 7:                          |                      |                            |  | Implementation of the community works programme<br>Actions supportive of human settlement outcome |                     |                                   |                                  |                                  |                        |                           |                            |   |                       |                                |             |            |                     |                     |  |
| Key Strategic Organizational Objective: |                      |                            |  | To enhance conditions of economic growth and job creation   |                     |                                   |                                  |                                  |                        |                           |                            |   |                       |                                |             |            |                     |                     |  |
| Project No.                             | Key Performance Area | Strategic Objectives       | Project Name   | Project Description (major activities)  | Location            | Key performance indicator         | Baseline                         | 2019/20 Annual Targets           | Reviewed Annual Target | Quarter 3 Targets         | Reviewed Quarter 3 Targets | Quarter 3 Progress                        | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review   | Means of verification                    |
|   |                      |                            | Society of South Africa (WESSA) Eco Schools Environmental Education awareness campaign | Schools Environmental Education campaign  |                     | funds to WESSA                    | reports on Eco-School activities | reports on Eco-School activities |                        | ol activities             |                            | School activities compiled                |                       |                                |             |            |                     |                     |  |
| DP EMS-17                               | Spatial Rationale    | To protect the environment | Environmental awareness  | Conduct environmental awareness   | All municipal areas | Number of environmental awareness | 12 Environmental awareness       | 12 Environmental awareness       | Target not revised     | 3 Environmental awareness | Target not revised         | <b>Achieved</b> 5 Environmental awareness | 40 000                | 559 000                        | 59 350.00   | None       | None                | Insufficient Budget | Environmental awareness campaign reports |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                           |                            |  |                            | Development, Planning and Environmental Management Services Department - Vote 5                   |          |  |                             |                              |                        |   |                            |  |                       |                                |             |            |                     |                   |   |  |
|---|----------------------------|--|----------------------------|---|----------|--|-----------------------------|------------------------------|------------------------|---|----------------------------|--|-----------------------|--------------------------------|-------------|------------|---------------------|-------------------|---|--|
| Outcome 9:                              |                            |  |                            | Responsive, Accountable, Effective and Efficient Local Government System                          |          |  |                             |                              |                        |   |                            |  |                       |                                |             |            |                     |                   |   |  |
| Outputs 1 & 7:                          |                            |  |                            | Implementation of the community works programme<br>Actions supportive of human settlement outcome |          |  |                             |                              |                        |   |                            |  |                       |                                |             |            |                     |                   |   |  |
| Key Strategic Organizational Objective: |                            |  |                            | To enhance conditions of economic growth and job creation   |          |  |                             |                              |                        |   |                            |  |                       |                                |             |            |                     |                   |   |  |
| Project No.                             | Key Performance Area       | Strategic Objectives                         | Project Name               | Project Description (major activities)  | Location | Key performance indicator                      | Baseline                    | 2019/20 Annual Targets       | Reviewed Annual Target | Quarter 3 Targets                           | Reviewed Quarter 3 Targets | Quarter 3 Progress                                 | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review | Means of verification   |  |
|   |                            |  | campaigns                  | ness campaigns  |          | campaigns conducted                            | campaigns conducted.        | campaigns conducted.         |                        | campaigns conducted.                        |                            | ness campaign conducted.                           |                       |                                |             |            |                     |                   |   |  |
| DP EM S-18                              | Local Economic Development | To create a conducive environment and ensure | LED stakeholder engagement | Hosting of LED forum meetings to integrate plans  | CDM      | Number of LED Forum Meetings held.             | 4 LED Forum Meetings held.  | 4 LED Forum Meetings held.   | Target not revised     | 1 LED Forum Meetings held.                  | Target not revised         | <b>Achieved</b> 1 LED Forum Meeting held.          | OP EX                 | OP EX                          | OPE X       | None       | None                | None              | Means of verification revised from forum reports to Attendance register and minutes |  |
| DP EM S-19                              | Local Economic Development | support to key economic sectors Agriculture, | CDM Economic Profile       | Compilation of district economic profile  | CDM      | Number of district Economic Profiles produced. | 1 economic profile produced | 1 economic profile produced. | Target not revised     | Draft Economic Profile distributed to stake | Target not revised         | <b>Achieved</b> Draft Economic Profile distributed | OP EX                 | OP EX                          | OPE X       | None       | None                | None              | Data collection report/Draft District economic profile/District Economic profile    |  |



CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                           |                            |                                   |                         | Development, Planning and Environmental Management Services Department - Vote 5                   |          |  |                                  |                                  |                        |                                 |                            |   |                       |                                |             |   |                     |                   |                       |
|---|----------------------------|-----------------------------------|-------------------------|---|----------|--|----------------------------------|----------------------------------|------------------------|---------------------------------|----------------------------|---|-----------------------|--------------------------------|-------------|---|---------------------|-------------------|-----------------------|
| Outcome 9:                              |                            |                                   |                         | Responsive, Accountable, Effective and Efficient Local Government System                          |          |  |                                  |                                  |                        |                                 |                            |   |                       |                                |             |   |                     |                   |                       |
| Outputs 1 & 7:                          |                            |                                   |                         | Implementation of the community works programme<br>Actions supportive of human settlement outcome |          |  |                                  |                                  |                        |                                 |                            |   |                       |                                |             |   |                     |                   |                       |
| Key Strategic Organizational Objective: |                            |                                   |                         | To enhance conditions of economic growth and job creation   |          |  |                                  |                                  |                        |                                 |                            |   |                       |                                |             |   |                     |                   |                       |
| Project No.                             | Key Performance Area       | Strategic Objectives              | Project Name            | Project Description (major activities)  | Location | Key performance indicator                | Baseline                         | 2019/20 Annual Targets           | Reviewed Annual Target | Quarter 3 Targets               | Reviewed Quarter 3 Targets | Quarter 3 Progress                            | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges                                  | Corrective Measures | Reason for Review | Means of verification |
|   |                            | tourism, manufacturing and mining |                         |   |          |  |                                  |                                  |                        | holders for comment             |                            | to stakeholders for comment                   |                       |                                |             |   |                     |                   |                       |
| DP ES-21                                | Local Economic Development |                                   | Job creation monitoring | Monitor and report on the number of jobs created in   | CDM      | Number of job creation reports developed | 4 job creation reports developed | 4 job creation reports developed | Target not revised     | 1 job creation report developed | Target not revised         | <b>Not Achieved 1 Job Creation Report not</b> | OP EX                 | OP EX                          | OPE X       | <b>Declaration of the State of Disaster</b> | None                | None              | Job creation reports  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                           |                            | Development, Planning and Environmental Management Services Department - Vote 5                   |                                    |  |          |                                      |   |   |                        |                                    |                            |  |                       |                                |             |              |                     |                   |                                    |
|---|----------------------------|---|------------------------------------|--|----------|--------------------------------------|---|---|------------------------|------------------------------------|----------------------------|--|-----------------------|--------------------------------|-------------|--------------|---------------------|-------------------|------------------------------------|
| Outcome 9:                              |                            | Responsive, Accountable, Effective and Efficient Local Government System                          |                                    |  |          |                                      |   |   |                        |                                    |                            |  |                       |                                |             |              |                     |                   |                                    |
| Outputs 1 & 7:                          |                            | Implementation of the community works programme<br>Actions supportive of human settlement outcome |                                    |  |          |                                      |   |   |                        |                                    |                            |  |                       |                                |             |              |                     |                   |                                    |
| Key Strategic Organizational Objective: |                            | To enhance conditions of economic growth and job creation   |                                    |  |          |                                      |   |   |                        |                                    |                            |  |                       |                                |             |              |                     |                   |                                    |
| Project No.                             | Key Performance Area       | Strategic Objectives  | Project Name                       | Project Description (major activities)           | Location | Key performance indicator            | Baseline  | 2019/20 Annual Targets                                      | Reviewed Annual Target | Quarter 3 Targets                  | Reviewed Quarter 3 Targets | Quarter 3 Progress                           | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges   | Corrective Measures | Reason for Review | Means of verification              |
|   |                            |   |                                    | the district.                                    |          |                                      |   |   |                        |                                    |                            | developed.                                   |                       |                                |             | and Lockdown |                     |                   |                                    |
| DP EMS-22                               | Local Economic Development |   | Entrepreneurship Support (farmers) | Supporting farmers with linkages and information | CDM      | Number of SMMEs supported in farming | 5 farmers supported with linkage to markets and information | 5 farmers supported with linkage to markets and information | Target not revised     | 1 information sharing session held | Target not revised         | Achieved 1 information Sharing Session held. | 110000                | Budget not revised             |             | None         | None                | None              | Reports on markets and information |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                           |                            |  |  | Development, Planning and Environmental Management Services Department - Vote 5                   |          |                           |                     |                        |                        |                     |                            |   |                       |                                |             |            |                     |                   |   |  |
|---|----------------------------|--|--|---|----------|---------------------------|---------------------|------------------------|------------------------|---------------------|----------------------------|---|-----------------------|--------------------------------|-------------|------------|---------------------|-------------------|---|--|
| Outcome 9:                              |                            |  |  | Responsive, Accountable, Effective and Efficient Local Government System                          |          |                           |                     |                        |                        |                     |                            |   |                       |                                |             |            |                     |                   |   |  |
| Outputs 1 & 7:                          |                            |  |  | Implementation of the community works programme<br>Actions supportive of human settlement outcome |          |                           |                     |                        |                        |                     |                            |   |                       |                                |             |            |                     |                   |   |  |
| Key Strategic Organizational Objective: |                            |  |  | To enhance conditions of economic growth and job creation   |          |                           |                     |                        |                        |                     |                            |   |                       |                                |             |            |                     |                   |   |  |
| Project No.                             | Key Performance Area       | Strategic Objectives   | Project Name                                     | Project Description (major activities)  | Location | Key performance indicator | Baseline            | 2019/20 Annual Targets | Reviewed Annual Target | Quarter 3 Targets   | Reviewed Quarter 3 Targets | Quarter 3 Progress                      | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review | Means of verification                             |  |
| PE MS -23                               | Local Economic Development | To create a conducive environment and ensure support to key economic sectors Agriculture, tourism, manufacturing | Entrepreneurship Support for (SMME's incubation) | Incubation of SMM Es  | CDM      | Number of SMMEs incubated | 15 SMM Es incubated | 15 SMM Es incubated    | Target not revised     | 15 SMM Es incubated | Target not revised         | <b>Achieved</b><br>15 SMM Es incubated. | 17 5000               | Budget not revised             |             | None       | None                | None              | Project charter/List of farmers/incubation report |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                           |                            |  |  | Development, Planning and Environmental Management Services Department - Vote 5                   |          |  |                                |                                |                        |                               |                            |  |                       |                                |             |            |                     |                     |                        |
|---|----------------------------|--|--|---|----------|--|--------------------------------|--------------------------------|------------------------|-------------------------------|----------------------------|--|-----------------------|--------------------------------|-------------|------------|---------------------|---------------------|------------------------|
| Outcome 9:                              |                            |  |  | Responsive, Accountable, Effective and Efficient Local Government System                          |          |  |                                |                                |                        |                               |                            |  |                       |                                |             |            |                     |                     |                        |
| Outputs 1 & 7:                          |                            |  |  | Implementation of the community works programme<br>Actions supportive of human settlement outcome |          |  |                                |                                |                        |                               |                            |  |                       |                                |             |            |                     |                     |                        |
| Key Strategic Organizational Objective: |                            |  |  | To enhance conditions of economic growth and job creation   |          |  |                                |                                |                        |                               |                            |  |                       |                                |             |            |                     |                     |                        |
| Project No.                             | Key Performance Area       | Strategic Objectives                         | Project Name                                 | Project Description (major activities)  | Location | Key performance indicator              | Baseline                       | 2019/20 Annual Targets         | Reviewed Annual Target | Quarter 3 Targets             | Reviewed Quarter 3 Targets | Quarter 3 Progress                             | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review   | Means of verification  |
|   |                            | and mining                                   |  |   |          |  |                                |                                |                        |                               |                            |  |                       |                                |             |            |                     |                     |                        |
| DP EMS-24                               | Local Economic Development | To create a conducive environment and ensure | Entrepreneurship support (SMMEs exhibitions) | Coordination of SMME exhibitions  | CDM      | Number of SMME exhibitions coordinated | 4 exhibitions coordinated      | 5 exhibitions coordinated      | Target not revised     | 1 exhibitions coordinated     | Target not revised         | <b>Achieved</b> 1 exhibition coordinated.      | 23 9000               | 264 000                        |             | None       | None                | Insufficient Budget | SMME exhibition report |
| DP EMS-26                               | Local Economic Development | support to key economic sectors Agric        | Motumo Trading Post                          | Development of Motumo Trading Post  | CDM      | Number of monitoring reports developed | 4 Monitoring Reports developed | 4 Monitoring Reports developed | Target not revised     | 1 Monitoring Report developed | Target not revised         | <b>Achieved</b> 1 Monitoring Report developed. | OP EX                 | OP EX                          | OPEX        | None       | None                | None                | Monitoring report      |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                           |                            |  |  | Development, Planning and Environmental Management Services Department - Vote 5                   |          |   |                                |                                |                        |                               |                            |  |                       |                                |             |            |                     |                   |                       |
|---|----------------------------|--|--|---|----------|---|--------------------------------|--------------------------------|------------------------|-------------------------------|----------------------------|--|-----------------------|--------------------------------|-------------|------------|---------------------|-------------------|-----------------------|
| Outcome 9:                              |                            |  |  | Responsive, Accountable, Effective and Efficient Local Government System                          |          |   |                                |                                |                        |                               |                            |  |                       |                                |             |            |                     |                   |                       |
| Outputs 1 & 7:                          |                            |  |  | Implementation of the community works programme<br>Actions supportive of human settlement outcome |          |   |                                |                                |                        |                               |                            |  |                       |                                |             |            |                     |                   |                       |
| Key Strategic Organizational Objective: |                            |  |  | To enhance conditions of economic growth and job creation   |          |   |                                |                                |                        |                               |                            |  |                       |                                |             |            |                     |                   |                       |
| Project No.                             | Key Performance Area       | Strategic Objectives   | Project Name                                     | Project Description (major activities)  | Location | Key performance indicator               | Baseline                       | 2019/20 Annual Targets         | Reviewed Annual Target | Quarter 3 Targets             | Reviewed Quarter 3 Targets | Quarter 3 Progress                             | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review | Means of verification |
| DP EMS-27                               | Local Economic Development | culture, tourism, manufacturing and mining                           | Agri-Parks                                       | Development of an Agri-Park in the District   | CDM      | Number of monitoring reports developed. | 4 Monitoring Reports developed | 4 monitoring reports developed | Target not revised     | 1 monitoring report developed | Target not revised         | <b>Achieved</b> 1 Monitoring Report developed. | OP EX                 | OP EX                          | OPE X       | None       | None                | None              | Monitoring report     |
| DP EMS-28                               | Local Economic Development | To create a conducive environment and ensure support to key economic | Monitoring of SETA S initiatives in the district | Monitoring of SETA S initiatives in the district  | CDM      | Number of monitoring reports developed  | 4 monitoring reports developed | 4 monitoring reports developed | Target not revised     | 1 monitoring report developed | Target not revised         | <b>Achieved</b> 1 Monitoring Report developed. | OP EX                 | OP EX                          | OPE X       | None       | None                | None              | monitoring reports    |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                           |                            | Development, Planning and Environmental Management Services Department - Vote 5                   |                       |  |          |   |          |                                     |                        |  |                            |  |                       |                                |             |            |                     |                     |  |
|---|----------------------------|---|-----------------------|--|----------|---|----------|-------------------------------------|------------------------|--|----------------------------|--|-----------------------|--------------------------------|-------------|------------|---------------------|---------------------|--|
| Outcome 9:                              |                            | Responsive, Accountable, Effective and Efficient Local Government System                          |                       |  |          |   |          |                                     |                        |  |                            |  |                       |                                |             |            |                     |                     |  |
| Outputs 1 & 7:                          |                            | Implementation of the community works programme<br>Actions supportive of human settlement outcome |                       |  |          |   |          |                                     |                        |  |                            |  |                       |                                |             |            |                     |                     |  |
| Key Strategic Organizational Objective: |                            | To enhance conditions of economic growth and job creation   |                       |  |          |   |          |                                     |                        |  |                            |  |                       |                                |             |            |                     |                     |  |
| Project No.                             | Key Performance Area       | Strategic Objectives  | Project Name          | Project Description (major activities) | Location | Key performance indicator                   | Baseline | 2019/20 Annual Targets              | Reviewed Annual Target | Quarter 3 Targets                                    | Reviewed Quarter 3 Targets | Quarter 3 Progress   | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review   | Means of verification  |
|   |                            | mic sectors<br>Agriculture, tourism, manufacturing and mining                                     |                       |  |          |   |          |                                     |                        |  |                            |  |                       |                                |             |            |                     |                     |  |
| DP ES-29                                | Local Economic Development | To create a conducive environment and ensure  | Investment Attraction | Marketing of Investment Opportunities  | CDM      | Number of Investment initiatives undertaken | 1        | 1 Investment initiative under taken | Target not revised     | Consultation with stakeholders on the updated Invest | Target not revised         | <b>Achieved</b><br>Consultation with stakeholders on the updated | 16 000                | 585 000                        | 72 249.76   | None       | None                | Insufficient Budget | Means of verification revised from Appointment letter/Draft Diagnostic |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                           |                      |  |              | Development, Planning and Environmental Management Services Department - Vote 5                   |          |                           |          |                        |                        |                   |                            |                                    |                       |                                |             |            |                     |                   |                       |   |
|---|----------------------|--|--------------|---|----------|---------------------------|----------|------------------------|------------------------|-------------------|----------------------------|------------------------------------|-----------------------|--------------------------------|-------------|------------|---------------------|-------------------|-----------------------|---|
| Outcome 9:                              |                      |  |              | Responsive, Accountable, Effective and Efficient Local Government System                          |          |                           |          |                        |                        |                   |                            |                                    |                       |                                |             |            |                     |                   |                       |   |
| Outputs 1 & 7:                          |                      |  |              | Implementation of the community works programme<br>Actions supportive of human settlement outcome |          |                           |          |                        |                        |                   |                            |                                    |                       |                                |             |            |                     |                   |                       |   |
| Key Strategic Organizational Objective: |                      |  |              | To enhance conditions of economic growth and job creation   |          |                           |          |                        |                        |                   |                            |                                    |                       |                                |             |            |                     |                   |                       |   |
| Project No.                             | Key Performance Area | Strategic Objectives   | Project Name | Project Description (major activities)  | Location | Key performance indicator | Baseline | 2019/20 Annual Targets | Reviewed Annual Target | Quarter 3 Targets | Reviewed Quarter 3 Targets | Quarter 3 Progress                 | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review | Means of verification |   |
|   |                      | support to key economic sectors Agriculture, tourism, manufacturing and mining |              |   |          |                           |          |                        |                        | ment Brochure     |                            | ed Investment Brochure in progress |                       |                                |             |            |                     |                   |                       | Report/Draft Investment and Marketing Strategy Report/Reviewed Investment and Marketing Strategy to Appointment letter/Draft Diagnostic Report/Draft Investment and Marketing |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                           |                            | Development, Planning and Environmental Management Services Department - Vote 5                   |                   |  |          |                                   |                           |                           |                        |                          |                            |                                   |                       |                                |             |            |                     |                   |                                  |  |
|---|----------------------------|---|-------------------|--|----------|-----------------------------------|---------------------------|---------------------------|------------------------|--------------------------|----------------------------|-----------------------------------|-----------------------|--------------------------------|-------------|------------|---------------------|-------------------|----------------------------------|--|
| Outcome 9:                              |                            | Responsive, Accountable, Effective and Efficient Local Government System                          |                   |  |          |                                   |                           |                           |                        |                          |                            |                                   |                       |                                |             |            |                     |                   |                                  |  |
| Outputs 1 & 7:                          |                            | Implementation of the community works programme<br>Actions supportive of human settlement outcome |                   |  |          |                                   |                           |                           |                        |                          |                            |                                   |                       |                                |             |            |                     |                   |                                  |  |
| Key Strategic Organizational Objective: |                            | To enhance conditions of economic growth and job creation   |                   |  |          |                                   |                           |                           |                        |                          |                            |                                   |                       |                                |             |            |                     |                   |                                  |  |
| Project No.                             | Key Performance Area       | Strategic Objectives  | Project Name      | Project Description (major activities) | Location | Key performance indicator         | Baseline                  | 2019/20 Annual Targets    | Reviewed Annual Target | Quarter 3 Targets        | Reviewed Quarter 3 Targets | Quarter 3 Progress                | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review | Means of verification            |  |
|   |                            |   |                   |  |          |                                   |                           |                           |                        |                          |                            |                                   |                       |                                |             |            |                     |                   |                                  | Strategy Report/Final investment brochure/ Report on investment undertaken |
| DP EMS-30                               | Local Economic Development | To address unemployment through EPWP  | EPWP Coordination | EPWP Forums                            | CDM      | Number of EPWP Forums coordinated | 4 EPWP Forums coordinated | 4 EPWP Forums coordinated | Target not revised     | 1 EPWP Forum coordinated | Target not revised         | Achieved 1 EPWP Forum coordinated | OP EX                 | OP EX                          | OPEX        | None       | None                | None              | EPWP Report/ Attendance Register |  |



CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                           |                            |                                      |                                       | Development, Planning and Environmental Management Services Department - Vote 5                   |          |   |                                      |                                      |                        |                                     |                            |  |                       |                                |             |            |                     |                   |  |  |
|---|----------------------------|--------------------------------------|---------------------------------------|---|----------|---|--------------------------------------|--------------------------------------|------------------------|-------------------------------------|----------------------------|--|-----------------------|--------------------------------|-------------|------------|---------------------|-------------------|--|--|
| Outcome 9:                              |                            |                                      |                                       | Responsive, Accountable, Effective and Efficient Local Government System                          |          |   |                                      |                                      |                        |                                     |                            |  |                       |                                |             |            |                     |                   |  |  |
| Outputs 1 & 7:                          |                            |                                      |                                       | Implementation of the community works programme<br>Actions supportive of human settlement outcome |          |   |                                      |                                      |                        |                                     |                            |  |                       |                                |             |            |                     |                   |  |  |
| Key Strategic Organizational Objective: |                            |                                      |                                       | To enhance conditions of economic growth and job creation   |          |   |                                      |                                      |                        |                                     |                            |  |                       |                                |             |            |                     |                   |  |  |
| Project No.                             | Key Performance Area       | Strategic Objectives                 | Project Name                          | Project Description (major activities)  | Location | Key performance indicator                 | Baseline                             | 2019/20 Annual Targets               | Reviewed Annual Target | Quarter 3 Targets                   | Reviewed Quarter 3 Targets | Quarter 3 Progress                                   | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review | Means of verification                  |  |
| DP EMS-31                               | Local Economic Development | To address unemployment through EPWP | EPWP Coordination                     | EPWP work opportunities created   | CDM      | Number of EPWP work opportunities created | 2646 EPWP work opportunities created | 2700 EPWP work opportunities created | Target not revised     | 675 EPWP work opportunities created | Target not revised         | <b>Achieved</b> 1832 EPWP work opportunities created | OP EX                 | OP EX                          | OPE X       | None       | None                | None              | EPWP Reports                           |  |
| DP EMS-32                               | Local Economic Development |                                      | Implementation of EPWP grant projects | Implementation of EPWP grant projects   | CDM      | Number of EPWP grant projects implemented | 22 Expanded Works Programs projects  | 8 Expanded Works Programs projects   | Target not revised     | 2 Expanded Works Programs projects  | Target not revised         | <b>Achieved</b> 9 Expanded Works Programs            | 434000                | Budget not revised             | 1366572.00  | None       | None                | None              | EPWP RS Reports/ EPWP projects reports |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                           |                      |   |   | Development, Planning and Environmental Management Services Department - Vote 5                   |          |   |   |  |                        |  |                            |  |                       |                                |             |   |   |                    |   |  |
|---|----------------------|---|---|---|----------|---|---|--|------------------------|--|----------------------------|--|-----------------------|--------------------------------|-------------|---|---|--------------------|---|--|
| Outcome 9:                              |                      |   |   | Responsive, Accountable, Effective and Efficient Local Government System                          |          |   |   |  |                        |  |                            |  |                       |                                |             |   |   |                    |   |  |
| Outputs 1 & 7:                          |                      |   |   | Implementation of the community works programme<br>Actions supportive of human settlement outcome |          |   |   |  |                        |  |                            |  |                       |                                |             |   |   |                    |   |  |
| Key Strategic Organizational Objective: |                      |   |   | To enhance conditions of economic growth and job creation   |          |   |   |  |                        |  |                            |  |                       |                                |             |   |   |                    |   |  |
| Project No.                             | Key Performance Area | Strategic Objectives  | Project Name  | Project Description (major activities)  | Location | Key performance indicator                                     | Baseline  | 2019/20 Annual Targets   | Reviewed Annual Target | Quarter 3 Targets                                    | Reviewed Quarter 3 Targets | Quarter 3 Progress   | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges  | Corrective Measures   | Reason for Review  | Means of verification                   |  |
|   |                      |   |   |   |          |   | implemented   | implemented  |                        |  |                            | projects   |                       |                                |             |   |   |                    |   |  |
| DP EMS-33                               | Spatial Planning     | To manage and coordinate spatial planning within the district | Implementation of SPLUMA (District Municipal Planning Tribunal) | Coordination of District Municipal Planning Tribunal  | CDM      | Number of reports on the District Municipal Planning Tribunal | 2 District Municipal Planning Tribunal establishments of the District Municipal Planning Tribunal | 4 reports on the District Municipal Planning Tribunal meetings coordinated | Target not revised     | 1 report on the District Municipal Planning Tribunal | Target not revised         | <b>Achieved</b> 1 report on the District Municipal Planning Tribunal coordinated | 15 000                | 11 500 000                     |             | District Municipal Planning Tribunal not yet functional | Fast track finalisation of the District Municipal Planning Tribunal | Inefficient Budget | Progress Reports / Attendance Registers |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                           |                      |   |                                     | Development, Planning and Environmental Management Services Department - Vote 5                   |          |                                    |                            |                              |                        |                              |                            |  |                       |                                |             |            |                     |                   |  |
|---|----------------------|---|-------------------------------------|---|----------|------------------------------------|----------------------------|------------------------------|------------------------|------------------------------|----------------------------|--|-----------------------|--------------------------------|-------------|------------|---------------------|-------------------|--|
| Outcome 9:                              |                      |   |                                     | Responsive, Accountable, Effective and Efficient Local Government System                          |          |                                    |                            |                              |                        |                              |                            |  |                       |                                |             |            |                     |                   |  |
| Outputs 1 & 7:                          |                      |   |                                     | Implementation of the community works programme<br>Actions supportive of human settlement outcome |          |                                    |                            |                              |                        |                              |                            |  |                       |                                |             |            |                     |                   |  |
| Key Strategic Organizational Objective: |                      |   |                                     | To enhance conditions of economic growth and job creation   |          |                                    |                            |                              |                        |                              |                            |  |                       |                                |             |            |                     |                   |  |
| Project No.                             | Key Performance Area | Strategic Objectives  | Project Name                        | Project Description (major activities)  | Location | Key performance indicator          | Baseline                   | 2019/20 Annual Targets       | Reviewed Annual Target | Quarter 3 Targets            | Reviewed Quarter 3 Targets | Quarter 3 Progress                       | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review | Means of verification  |
|   |                      |   |                                     |   |          |                                    | received                   |                              |                        |                              |                            |  |                       |                                |             |            |                     |                   |  |
| DP EMS-34                               | Spatial Planning     | To manage and coordinate spatial planning within the district | Implementation of SDF               | Implementation of the Spatial Development Framework   | CDM      | Number of SDF projects implemented | 1 SDF projects implemented | 1 SDF project implemented    | Target not revised     | Draft report available       | Target not revised         | <b>Achieved</b> Draft report available   | 50 000                | Budget not revised             | 116 091.54  | None       | None                | None              | Means of verification revised from Spatial Development Framework to Progress report on Spatial Development |
| DP EMS-35                               | Spatial Planning     | To manage and coordinate spatial                              | Spatial Planning Awareness Sessions | Co-ordination of spatial awareness  | CDM      | Number of awareness sessions co-   | 2 awareness sessions co-   | 3 spatial planning awareness | Target not revised     | 1 spatial planning awareness | Target not revised         | <b>Achieved</b> 1 spatial planning aware | 50 000                | Budget not revised             | 24 170.00   | None       | None                | None              | Awareness session package/ Attendance Register   |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                           |                                |   |                               | Development, Planning and Environmental Management Services Department - Vote 5                   |          |                                       |  |                               |                        |                              |                            |  |                       |                                |             |            |                     |                   |   |  |
|---|--------------------------------|---|-------------------------------|---|----------|---------------------------------------|--|-------------------------------|------------------------|------------------------------|----------------------------|--|-----------------------|--------------------------------|-------------|------------|---------------------|-------------------|---|--|
| Outcome 9:                              |                                |   |                               | Responsive, Accountable, Effective and Efficient Local Government System                          |          |                                       |  |                               |                        |                              |                            |  |                       |                                |             |            |                     |                   |   |  |
| Outputs 1 & 7:                          |                                |   |                               | Implementation of the community works programme<br>Actions supportive of human settlement outcome |          |                                       |  |                               |                        |                              |                            |  |                       |                                |             |            |                     |                   |   |  |
| Key Strategic Organizational Objective: |                                |   |                               | To enhance conditions of economic growth and job creation   |          |                                       |  |                               |                        |                              |                            |  |                       |                                |             |            |                     |                   |   |  |
| Project No.                             | Key Performance Area           | Strategic Objectives  | Project Name                  | Project Description (major activities)  | Location | Key performance indicator             | Baseline   | 2019/20 Annual Targets        | Reviewed Annual Target | Quarter 3 Targets            | Reviewed Quarter 3 Targets | Quarter 3 Progress                                     | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review | Means of verification   |  |
|   |                                | al planning within the district                                   |                               | sessions  |          | ordinated                             | ordinated  | sessions coordinated          |                        | sessions coordinated         |                            | ness sessions coordinated.                             |                       |                                |             |            |                     |                   |   |  |
| DP EM S-36                              | Spatial Planning and Rationale | To capture the implemented CDM Departments and projects data into | Management of CDM GIS Systems | Integration of GIS system with CDM departmental and relevant stakeholder                          | CDM      | Number of reports on GIS coordination | 100 percent integration of GIS system with CDM departmental and relevant | 4 reports on GIS coordination | Target not revised     | 1 report on GIS coordination | Target not revised         | <b>Achieved</b> 1 report on GIS coordination available | 50000                 | Budget not revised             | 0.00        | None       | None                | None              | Means of verification revised from integration of GIS system to progress report on GIS system |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                           |  |   |                      | Development, Planning and Environmental Management Services Department - Vote 5                   |          |                               |                         |                        |                        |   |                            |  |                       |                                |             |            |                     |                   |                       |  |
|---|--|---|----------------------|---|----------|-------------------------------|-------------------------|------------------------|------------------------|---|----------------------------|--|-----------------------|--------------------------------|-------------|------------|---------------------|-------------------|-----------------------|--|
| Outcome 9:                              |  |   |                      | Responsive, Accountable, Effective and Efficient Local Government System                          |          |                               |                         |                        |                        |   |                            |  |                       |                                |             |            |                     |                   |                       |  |
| Outputs 1 & 7:                          |  |   |                      | Implementation of the community works programme<br>Actions supportive of human settlement outcome |          |                               |                         |                        |                        |   |                            |  |                       |                                |             |            |                     |                   |                       |  |
| Key Strategic Organizational Objective: |  |   |                      | To enhance conditions of economic growth and job creation   |          |                               |                         |                        |                        |   |                            |  |                       |                                |             |            |                     |                   |                       |  |
| Project No.                             | Key Performance Area                     | Strategic Objectives  | Project Name         | Project Description (major activities)  | Location | Key performance indicator     | Baseline                | 2019/20 Annual Targets | Reviewed Annual Target | Quarter 3 Targets                         | Reviewed Quarter 3 Targets | Quarter 3 Progress   | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review | Means of verification |  |
|   |  | CDM GIS System  |                      | s data.   |          |                               | nt stake holder s data. |                        |                        |   |                            |  |                       |                                |             |            |                     |                   |                       |  |
| DP EM S-37                              | Good Governance and public participation | To manage and coordinate the development and review of IDP/Budget | Review of IDP/Budget | Review of Integrated Development Plan   | CDM      | Number of IDP/Budget reviewed | 1 IDP/Budget developed  | 1 IDP/Budget reviewed  | Target not revised     | 1 (one) 2020/21 Draft IDP/Budget reviewed | Target not revised         | <b>Achieved</b> 1 (one) 2020/21 Draft IDP/Budget reviewed. | 62 40 00              | Budget not revised             |             | None       | None                | None              | IDP/Budget            |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                           |  | Development, Planning and Environmental Management Services Department - Vote 5                   |                             |   |          |   |   |   |                        |   |                            |   |                       |                                |             |            |                     |                   |  |
|---|--|---|-----------------------------|---|----------|---|---|---|------------------------|---|----------------------------|---|-----------------------|--------------------------------|-------------|------------|---------------------|-------------------|--|
| Outcome 9:                              |  | Responsive, Accountable, Effective and Efficient Local Government System                          |                             |   |          |   |   |   |                        |   |                            |   |                       |                                |             |            |                     |                   |  |
| Outputs 1 & 7:                          |  | Implementation of the community works programme<br>Actions supportive of human settlement outcome |                             |   |          |   |   |   |                        |   |                            |   |                       |                                |             |            |                     |                   |  |
| Key Strategic Organizational Objective: |  | To enhance conditions of economic growth and job creation   |                             |   |          |   |   |   |                        |   |                            |   |                       |                                |             |            |                     |                   |  |
| Project No.                             | Key Performance Area                     | Strategic Objectives  | Project Name                | Project Description (major activities)      | Location | Key performance indicator                         | Baseline                                  | 2019/20 Annual Targets                    | Reviewed Annual Target | Quarter 3 Targets                         | Reviewed Quarter 3 Targets | Quarter 3 Progress  | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review | Means of verification  |
|   |  | within the District   |                             |   |          |   |   |   |                        |   |                            |   |                       |                                |             |            |                     |                   |  |
| DP EMS-38                               | Good Governance and Public Participation |   | Strategic Planning Sessions | Coordination of strategic planning sessions | CDM      | Number of strategic planning sessions coordinated | 8 strategic planning sessions coordinated | 8 strategic planning sessions coordinated | Target not revised     | 6 strategic planning sessions coordinated | Target not revised         | <b>Achieved</b> 7 strategic planning sessions coordinated | 77 5000               | Budget not revised             | 0.00        | None       | None                | None              | Strategic planning session packages/ Attendance register/ Strat Plan reports |
| DP EMS-39                               | Good Governance and Public Participation | To manage and coordinate the development  | IDP Awareness Sessions      | Co-ordination of IDP awareness sessions     | CDM      | Number of IDP awareness sessions coordinated      | 5 IDP awareness sessions coordinated      | 4 IDP awareness sessions coordinated      | Target not revised     | No target for the quarter                 | Target not revised         | <b>Not Applicable</b>                                     | 70000                 | Budget not revised             | 0.00        | None       | None                | None              | IDP awareness report/Attendance register                                     |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                           |  |   |                            | Development, Planning and Environmental Management Services Department - Vote 5                   |          |  |          |   |                        |  |                            |  |                       |                                |             |            |                     |                   |                                       |  |
|---|--|---|----------------------------|---|----------|--|----------|---|------------------------|--|----------------------------|--|-----------------------|--------------------------------|-------------|------------|---------------------|-------------------|---------------------------------------|--|
| Outcome 9:                              |  |   |                            | Responsive, Accountable, Effective and Efficient Local Government System                          |          |  |          |   |                        |  |                            |  |                       |                                |             |            |                     |                   |                                       |  |
| Outputs 1 & 7:                          |  |   |                            | Implementation of the community works programme<br>Actions supportive of human settlement outcome |          |  |          |   |                        |  |                            |  |                       |                                |             |            |                     |                   |                                       |  |
| Key Strategic Organizational Objective: |  |   |                            | To enhance conditions of economic growth and job creation   |          |  |          |   |                        |  |                            |  |                       |                                |             |            |                     |                   |                                       |  |
| Project No.                             | Key Performance Area                     | Strategic Objectives  | Project Name               | Project Description (major activities)  | Location | Key performance indicator                                  | Baseline | 2019/20 Annual Targets                  | Reviewed Annual Target | Quarter 3 Targets                      | Reviewed Quarter 3 Targets | Quarter 3 Progress   | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review | Means of verification                 |  |
|   |  | nt and review of IDP/Budget within the District                   |                            |   |          |  |          |   |                        |  |                            |  |                       |                                |             |            |                     |                   |                                       |  |
| DP EM S-40                              | Good Governance and Public Participation | To manage and coordinate the development and review of IDP/Budget | Implementation of 2040 GDS | Implementation of 2040 GDS  | CDM      | Number of reports on implementation of 2040 GDS developed. | 4        | 4 reports on implementation of 2040 GDS | Target not revised     | 1 report on implementation of 2040 GDS | Target not revised         | <b>Achieved</b> 1 report on implementation of 2040 GDS due at the end of the | OP EX                 | OP EX                          | OPE X       | None       | None                | None              | reports on implementation of 2040 GDS |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                           |  |  |                     | Development, Planning and Environmental Management Services Department - Vote 5                   |          |                                     |  |  |                        |                           |                            |                       |                       |                                |             |            |                     |                   |                                  |
|---|--|--|---------------------|---|----------|-------------------------------------|--|--|------------------------|---------------------------|----------------------------|-----------------------|-----------------------|--------------------------------|-------------|------------|---------------------|-------------------|----------------------------------|
| Outcome 9:                              |  |  |                     | Responsive, Accountable, Effective and Efficient Local Government System                          |          |                                     |  |  |                        |                           |                            |                       |                       |                                |             |            |                     |                   |                                  |
| Outputs 1 & 7:                          |  |  |                     | Implementation of the community works programme<br>Actions supportive of human settlement outcome |          |                                     |  |  |                        |                           |                            |                       |                       |                                |             |            |                     |                   |                                  |
| Key Strategic Organizational Objective: |  |  |                     | To enhance conditions of economic growth and job creation   |          |                                     |  |  |                        |                           |                            |                       |                       |                                |             |            |                     |                   |                                  |
| Project No.                             | Key Performance Area                         | Strategic Objectives                                 | Project Name        | Project Description (major activities)  | Location | Key performance indicator           | Baseline   | 2019/20 Annual Targets                                 | Reviewed Annual Target | Quarter 3 Targets         | Reviewed Quarter 3 Targets | Quarter 3 Progress    | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review | Means of verification            |
|   |  | within the district                                  |                     |   |          |                                     |  |  |                        |                           |                            | quarter               |                       |                                |             |            |                     |                   |                                  |
| FD-02                                   | Municipal Financial Viability and Management | To prepare and submit credible financial information | Financial reporting | Budget Treasury   | CDM      | Number of Unqualified audit opinion | 1 Unqualified audit opinion (without material matters) | 1 Unqualified audit opinion (without material matters) | Target not revised     | No target for the quarter | Target not revised         | <b>Not Applicable</b> | OP EX                 | OP EX                          | OPE X       | None       | None                | None              | Unqualified audit opinion report |



CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                           |  | Development, Planning and Environmental Management Services Department - Vote 5                   |                   |  |          |  |  |  |                        |                           |                            |                       |                       |                                |             |            |                     |                   |                            |
|---|--|---|-------------------|--|----------|--|--|--|------------------------|---------------------------|----------------------------|-----------------------|-----------------------|--------------------------------|-------------|------------|---------------------|-------------------|----------------------------|
| Outcome 9:                              |  | Responsive, Accountable, Effective and Efficient Local Government System                          |                   |  |          |  |  |  |                        |                           |                            |                       |                       |                                |             |            |                     |                   |                            |
| Outputs 1 & 7:                          |  | Implementation of the community works programme<br>Actions supportive of human settlement outcome |                   |  |          |  |  |  |                        |                           |                            |                       |                       |                                |             |            |                     |                   |                            |
| Key Strategic Organizational Objective: |  | To enhance conditions of economic growth and job creation   |                   |  |          |  |  |  |                        |                           |                            |                       |                       |                                |             |            |                     |                   |                            |
| Project No.                             | Key Performance Area                         | Strategic Objectives  | Project Name      | Project Description (major activities)         | Location | Key performance indicator                                      | Baseline   | 2019/20 Annual Targets                                 | Reviewed Annual Target | Quarter 3 Targets         | Reviewed Quarter 3 Targets | Quarter 3 Progress    | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review | Means of verification      |
| FD-06                                   | Municipal Financial Viability and Management | To ensure that the resources required to fulfil the needs identified in the strategic             | Demand management | Development and Implement the procurement plan | CDM      | Number of municipal procurement plan developed and implemented | 1 municipal procurement plan developed and implemented | 1 municipal procurement plan developed and implemented | Target not revised     | No target for the quarter | Target not revised         | <b>Not Applicable</b> | OP EX                 | OP EX                          | OPE X       | None       | None                | None              | Municipal procurement plan |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| <b>Business Unit</b>                           |                             |  |                     | <b>Development, Planning and Environmental Management Services Department - Vote 5</b>                    |                 |                                  |                 |                               |                               |                          |                                   |                           |                              |                                       |                    |                   |                            |                          |                              |
|--|-----------------------------|--|---------------------|---|-----------------|----------------------------------|-----------------|-------------------------------|-------------------------------|--------------------------|-----------------------------------|---------------------------|------------------------------|---------------------------------------|--------------------|-------------------|----------------------------|--------------------------|------------------------------|
| <b>Outcome 9:</b>                              |                             |  |                     | <b>Responsive, Accountable, Effective and Efficient Local Government System</b>                           |                 |                                  |                 |                               |                               |                          |                                   |                           |                              |                                       |                    |                   |                            |                          |                              |
| <b>Outputs 1 &amp; 7:</b>                      |                             |  |                     | <b>Implementation of the community works programme<br/>Actions supportive of human settlement outcome</b> |                 |                                  |                 |                               |                               |                          |                                   |                           |                              |                                       |                    |                   |                            |                          |                              |
| <b>Key Strategic Organizational Objective:</b> |                             |  |                     | <b>To enhance conditions of economic growth and job creation</b>  |                 |                                  |                 |                               |                               |                          |                                   |                           |                              |                                       |                    |                   |                            |                          |                              |
| <b>Project No.</b>                             | <b>Key Performance Area</b> | <b>Strategic Objectives</b>  | <b>Project Name</b> | <b>Project Description (major activities)</b>   | <b>Location</b> | <b>Key performance indicator</b> | <b>Baseline</b> | <b>2019/20 Annual Targets</b> | <b>Reviewed Annual Target</b> | <b>Quarter 3 Targets</b> | <b>Reviewed Quarter 3 Targets</b> | <b>Quarter 3 Progress</b> | <b>2019/20 Annual Budget</b> | <b>Reviewed 2019/20 Annual Budget</b> | <b>Expenditure</b> | <b>Challenges</b> | <b>Corrective Measures</b> | <b>Reason for Review</b> | <b>Means of verification</b> |
|  |                             | plan of the institution are efficient and effective (at the correct time, price and place and that the quantity and quality will satisfy |                     |   |                 |                                  |                 |                               |                               |                          |                                   |                           |                              |                                       |                    |                   |                            |                          |                              |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                           |  |   |                        | Development, Planning and Environmental Management Services Department - Vote 5                   |          |   |   |   |                        |   |                            |   |                       |                                |             |            |                     |                   |   |
|---|--|---|------------------------|---|----------|---|---|---|------------------------|---|----------------------------|---|-----------------------|--------------------------------|-------------|------------|---------------------|-------------------|---|
| Outcome 9:                              |  |   |                        | Responsive, Accountable, Effective and Efficient Local Government System                          |          |   |   |   |                        |   |                            |   |                       |                                |             |            |                     |                   |   |
| Outputs 1 & 7:                          |  |   |                        | Implementation of the community works programme<br>Actions supportive of human settlement outcome |          |   |   |   |                        |   |                            |   |                       |                                |             |            |                     |                   |   |
| Key Strategic Organizational Objective: |  |   |                        | To enhance conditions of economic growth and job creation   |          |   |   |   |                        |   |                            |   |                       |                                |             |            |                     |                   |   |
| Project No.                             | Key Performance Area                         | Strategic Objectives  | Project Name           | Project Description (major activities)  | Location | Key performance indicator   | Baseline  | 2019/20 Annual Targets  | Reviewed Annual Target | Quarter 3 Targets   | Reviewed Quarter 3 Targets | Quarter 3 Progress  | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review | Means of verification                       |
|   |  | those needs )   |                        |   |          |   |   |   |                        |   |                            |   |                       |                                |             |            |                     |                   |   |
| FD-07                                   | Municipal Financial Viability and Management | To ensure that the resources required to fulfil the needs identified in the strategic plan of the institution are | Acquisition management | Compliance to the SCM regulations   | CDM      | percent of compliance to the SCM regulations that result in R nil irregular expenditure | 100 percent of compliance to the SCM regulations that result in R nil irregular expenditure | 100 percent of compliance to the SCM regulations that result in R nil irregular expenditure | Target not revised     | 100 percent of compliance to the SCM regulations that result in R nil irregular expenditure | Target not revised         | <b>Achieved</b> 100 percent of compliance to the SCM regulations that result in R nil irregular expenditure | OP EX                 | OP EX                          | OPE X       | None       | None                | None              | Zero irregular expenditure/Payment Vouchers |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                           |                      |   |              | Development, Planning and Environmental Management Services Department - Vote 5                   |          |                           |          |                        |                        |                   |                            |                    |                       |                                |             |            |                     |                   |                       |  |
|---|----------------------|---|--------------|---|----------|---------------------------|----------|------------------------|------------------------|-------------------|----------------------------|--------------------|-----------------------|--------------------------------|-------------|------------|---------------------|-------------------|-----------------------|--|
| Outcome 9:                              |                      |   |              | Responsive, Accountable, Effective and Efficient Local Government System                          |          |                           |          |                        |                        |                   |                            |                    |                       |                                |             |            |                     |                   |                       |  |
| Outputs 1 & 7:                          |                      |   |              | Implementation of the community works programme<br>Actions supportive of human settlement outcome |          |                           |          |                        |                        |                   |                            |                    |                       |                                |             |            |                     |                   |                       |  |
| Key Strategic Organizational Objective: |                      |   |              | To enhance conditions of economic growth and job creation   |          |                           |          |                        |                        |                   |                            |                    |                       |                                |             |            |                     |                   |                       |  |
| Project No.                             | Key Performance Area | Strategic Objectives  | Project Name | Project Description (major activities)  | Location | Key performance indicator | Baseline | 2019/20 Annual Targets | Reviewed Annual Target | Quarter 3 Targets | Reviewed Quarter 3 Targets | Quarter 3 Progress | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review | Means of verification |  |
|   |                      | efficient and effective (at the correct time, price and place and that the quantity and quality will satisfy those needs) |              |   |          |                           |          |                        |                        |                   |                            |                    |                       |                                |             |            |                     |                   |                       |  |

1.6 COMMUNITY SERVICES- VOTE 6

| Business Unit                            |                         |  |                                | Community Services Department - Vote 6  |            |   |  |   |                        |                           |                            |                    |                       |                                |             |  |  |                   |  |  |
|--|-------------------------|--|--------------------------------|---|------------|---|--|---|------------------------|---------------------------|----------------------------|--------------------|-----------------------|--------------------------------|-------------|--|--|-------------------|--|--|
| Outcome 9:                               |                         |  |                                | Responsive, Accountable, Effective and Efficient Local Government System  |            |   |  |   |                        |                           |                            |                    |                       |                                |             |  |  |                   |  |  |
| Outputs 1 & 7:                           |                         |  |                                | <ul style="list-style-type: none"> <li>Improving access to basic service</li> <li>Actions supportive of human settlement outcome</li> </ul> |            |   |  |   |                        |                           |                            |                    |                       |                                |             |  |  |                   |  |  |
| Key Strategic Organisational Objectives: |                         |  |                                | <ul style="list-style-type: none"> <li>To provide sustainable basic services and infrastructure development</li> </ul>                      |            |   |  |   |                        |                           |                            |                    |                       |                                |             |  |  |                   |  |  |
| Project No.                              | Key Performance Area    | Strategic Objectives   | Project Name                   | Project Description (major activities)  | Location   | Key performance indicators                          | Baseline                               | 2019/20 Annual Targets                  | Reviewed Annual Target | Quarter 3 Targets         | Reviewed Quarter 3 Targets | Quarter 3 Progress | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges   | Corrective Measures  | Reason for Review | Means of verification                      |  |
| CMSD-01                                  | Basic Services Delivery | To ensure provision of effective fire fighting and rescue services in the district | Fire and rescue infrastructure | Establishment of Fire Station in the former Aganang (Tsholo Fire Station)   | Polo kwane | Percentage of establishment of Aganang Fire Station | 80 percent of Fire station established | 100 percent of Fire station established | Target not revised     | No target for the quarter | Target not revised         | Not Applicable     | 155000                | Budget not revised             |             | 97% of fire station completed due to contractor and consultant dispute | completion date to be extended further from 27 March 2020 to 4th quarter which | None              | Progress Report and Completion certificate |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                      | Community Services Department - Vote 6   |              |  |          |                            |          |                          |                        |                   |                            |                    |                         |                                  |             |            |  |                   |                       |
|--|----------------------|--|--------------|--|----------|----------------------------|----------|--------------------------|------------------------|-------------------|----------------------------|--------------------|-------------------------|----------------------------------|-------------|------------|--|-------------------|-----------------------|
| Outcome 9:                               |                      | Responsive, Accountable, Effective and Efficient Local Government System   |              |  |          |                            |          |                          |                        |                   |                            |                    |                         |                                  |             |            |  |                   |                       |
| Outputs 1 & 7:                           |                      | <ul style="list-style-type: none"> <li>Improving access to basic service</li> </ul> Actions supportive of human settlement outcome |              |  |          |                            |          |                          |                        |                   |                            |                    |                         |                                  |             |            |  |                   |                       |
| Key Strategic Organisational Objectives: |                      | <ul style="list-style-type: none"> <li>To provide sustainable basic services and infrastructure development</li> </ul>             |              |  |          |                            |          |                          |                        |                   |                            |                    |                         |                                  |             |            |  |                   |                       |
| Project No.                              | Key Performance Area | Strategic Objectives   | Project Name | Project Description (major activities) | Location | Key performance indicators | Baseline | 2019/2020 Annual Targets | Reviewed Annual Target | Quarter 3 Targets | Reviewed Quarter 3 Targets | Quarter 3 Progress | 2019/2020 Annual Budget | Reviewed 2019/2020 Annual Budget | Expenditure | Challenges | Corrective Measures                              | Reason for Review | Means of verification |
|  |                      |  |              |  |          |                            |          |                          |                        |                   |                            |                    |                         |                                  |             |            | h is affected by State of Disaster and Lock down |                   |                       |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                         |  |                                 | Community Services Department - Vote 6  |          |   |  |                                    |                        |                           |                            |                       |                         |                                  |             |            |                     |                   |                            |
|--|-------------------------|--|---------------------------------|---|----------|---|--|------------------------------------|------------------------|---------------------------|----------------------------|-----------------------|-------------------------|----------------------------------|-------------|------------|---------------------|-------------------|----------------------------|
| Outcome 9:                               |                         |  |                                 | Responsive, Accountable, Effective and Efficient Local Government System  |          |   |  |                                    |                        |                           |                            |                       |                         |                                  |             |            |                     |                   |                            |
| Outputs 1 & 7:                           |                         |  |                                 | <ul style="list-style-type: none"> <li>Improving access to basic service</li> <li>Actions supportive of human settlement outcome</li> </ul> |          |   |  |                                    |                        |                           |                            |                       |                         |                                  |             |            |                     |                   |                            |
| Key Strategic Organisational Objectives: |                         |  |                                 | <ul style="list-style-type: none"> <li>To provide sustainable basic services and infrastructure development</li> </ul>                      |          |   |  |                                    |                        |                           |                            |                       |                         |                                  |             |            |                     |                   |                            |
| Project No.                              | Key Performance Area    | Strategic Objectives   | Project Name                    | Project Description (major activities)  | Location | Key performance indicators                        | Baseline                                   | 2019/2020 Annual Targets           | Reviewed Annual Target | Quarter 3 Targets         | Reviewed Quarter 3 Targets | Quarter 3 Progress    | 2019/2020 Annual Budget | Reviewed 2019/2020 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review | Means of verification      |
| CMSD-03                                  | Basic Services Delivery | To ensure provision of effective fire fighting and rescue services in the district | Fire safety awareness programme | Fire safety week  | CDM area | Number of Fire safety awareness week events held. | 1 fire safety week - awareness event held. | 1 fire safety awareness event held | Target not revised     | No target for the quarter | Target not revised         | <b>Not Applicable</b> | 250000                  | Budget not revised               | 0.00        | None       | None                | None              | Agenda Attendance register |
| CMS                                      | Basic Services          | To ensure  | Miscellaneous                   | Procurement of  | CDM      | Number of   | New indicator                              | 1 set of miscel                    | Target not             | No target for the         | Target not                 | <b>Not Applicable</b> | 400                     | 600000                           | 0.00        | None       | None                | Insufficient      | Invoices                   |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                         |  |  | Community Services Department - Vote 6  |          |  |          |   |                        |   |                            |  |                       |                                |             |            |                     |                     |                            |
|--|-------------------------|--|--|---|----------|--|----------|---|------------------------|---|----------------------------|--|-----------------------|--------------------------------|-------------|------------|---------------------|---------------------|----------------------------|
| Outcome 9:                               |                         |  |  | Responsive, Accountable, Effective and Efficient Local Government System  |          |  |          |   |                        |   |                            |  |                       |                                |             |            |                     |                     |                            |
| Outputs 1 & 7:                           |                         |  |  | <ul style="list-style-type: none"> <li>Improving access to basic service</li> <li>Actions supportive of human settlement outcome</li> </ul> |          |  |          |   |                        |   |                            |  |                       |                                |             |            |                     |                     |                            |
| Key Strategic Organisational Objectives: |                         |  |  | <ul style="list-style-type: none"> <li>To provide sustainable basic services and infrastructure development</li> </ul>                      |          |  |          |   |                        |   |                            |  |                       |                                |             |            |                     |                     |                            |
| Project No.                              | Key Performance Area    | Strategic Objectives   | Project Name   | Project Description (major activities)  | Location | Key performance indicators                   | Baseline | 2019/20 Annual Targets                  | Reviewed Annual Target | Quarter 3 Targets                       | Reviewed Quarter 3 Targets | Quarter 3 Progress                               | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review   | Means of verification      |
| D-04                                     | Delivery                | provision of effective fire fighting and rescue services in the district | equipment  | small gear equipment and tools  |          | miscellaneous equipment procured             |          | miscellaneous equipment procured        | revised                | quarter                                 | revised                    |  | 000                   |                                |             |            |                     | Budget              |                            |
| CMSD-05                                  | Basic services delivery | To promote and sustain an integrated approach                            | Disaster risk management capacity building workshops for | Capacity building workshops on disaster management  | LMs      | Number of Disaster Management building works | 4        | 4 disaster management capacity building | Target not revised     | 1 disaster management capacity building | Target not revised         | Achieved 3 disaster management capacity building | 60000                 | 74000                          | 41510       | None       | None                | Insufficient Budget | Agenda Attendance register |



CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                         |   |  | Community Services Department - Vote 6  |          |  |   |   |                       |   |                           |   |                       |                               |             |            |                     |                   |  |  |
|--|-------------------------|---|--|---|----------|--|---|---|-----------------------|---|---------------------------|---|-----------------------|-------------------------------|-------------|------------|---------------------|-------------------|--|--|
| Outcome 9:                               |                         |   |  | Responsive, Accountable, Effective and Efficient Local Government System  |          |  |   |   |                       |   |                           |   |                       |                               |             |            |                     |                   |  |  |
| Outputs 1 & 7:                           |                         |   |  | <ul style="list-style-type: none"> <li>Improving access to basic service</li> <li>Actions supportive of human settlement outcome</li> </ul> |          |  |   |   |                       |   |                           |   |                       |                               |             |            |                     |                   |  |  |
| Key Strategic Organisational Objectives: |                         |   |  | <ul style="list-style-type: none"> <li>To provide sustainable basic services and infrastructure development</li> </ul>                      |          |  |   |   |                       |   |                           |   |                       |                               |             |            |                     |                   |  |  |
| Project No.                              | Key Performance Area    | Strategic Objectives  | Project Name   | Project Description (major activities)  | Location | Key performance indicators                                     | Baseline  | 2019/20 Annual Targets                                  | Revised Annual Target | Quarter 3 Targets                                       | Revised Quarter 3 Targets | Quarter 3 Progress  | 2019/20 Annual Budget | Revised 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review | Means of verification                    |  |
|  |                         | to disaster management continuum in CDM   | community based structures   | for community based structures  |          | hops conducted   | works hops conducted                                      | works hops conducted                                    |                       | works hop conducted                                     |                           | g works hop conducted   |                       |                               |             |            |                     |                   |  |  |
| CMSD-06                                  | Basic services delivery | To promote and sustain an integrated approach to disaster management continuity | Recruitment, engagement and registration of disaster management volunteers | Recruitment, engagement and registration of disaster management volunteers  | CDM      | Number of Disaster management volunteers engaged and monitored | 51 Disaster management volunteers, engaged and registered | 50 Disaster management volunteers engaged and monitored | Target not revised    | 13 Disaster management volunteers engaged and monitored | Target not revised        | <b>Achieved</b> 58 Disaster management volunteers engaged and monitored | 230000                | Budget not revised            | 200000.00   | None       | None                | None              | List of volunteers engaged (per quarter) |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                      |   |   | Community Services Department - Vote 6  |          |  |  |   |                       |                           |                           |                       |                       |                               |             |            |                     |                     |                            |
|--|----------------------|---|---|---|----------|--|--|---|-----------------------|---------------------------|---------------------------|-----------------------|-----------------------|-------------------------------|-------------|------------|---------------------|---------------------|----------------------------|
| Outcome 9:                               |                      |   |   | Responsive, Accountable, Effective and Efficient Local Government System  |          |  |  |   |                       |                           |                           |                       |                       |                               |             |            |                     |                     |                            |
| Outputs 1 & 7:                           |                      |   |   | <ul style="list-style-type: none"> <li>Improving access to basic service</li> <li>Actions supportive of human settlement outcome</li> </ul> |          |  |  |   |                       |                           |                           |                       |                       |                               |             |            |                     |                     |                            |
| Key Strategic Organisational Objectives: |                      |   |   | <ul style="list-style-type: none"> <li>To provide sustainable basic services and infrastructure development</li> </ul>                      |          |  |  |   |                       |                           |                           |                       |                       |                               |             |            |                     |                     |                            |
| Project No.                              | Key Performance Area | Strategic Objectives  | Project Name  | Project Description (major activities)  | Location | Key performance indicators                               | Baseline   | 2019/20 Annual Targets  | Revised Annual Target | Quarter 3 Targets         | Revised Quarter 3 Targets | Quarter 3 Progress    | 2019/20 Annual Budget | Revised 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review   | Means of verification      |
|  |                      | num in CDM  |   |   |          |  |  |   |                       |                           |                           |                       |                       |                               |             |            |                     |                     |                            |
| CMSD-07                                  | Basic Services       | To promote and sustain an integrated approach to disaster management continuum in CDM | Procurement of Disaster relief materials and shelters | Procurement of disaster relief material (tents, sleeping mats, mattresses, blankets, lamps, salvage sheets, foldable shacks)                | CDM      | Number of Disaster relief material and shelters procured | Procurement of 150 sleeping mats, 800 blankets, 100 lamps not procured | Procurement of 90, tents, 150 sleeping mats, 800 blankets, 100 lamps, and 100 salvage sheets, 5 foldable shacks | Target not revised    | No target for the quarter | Target not revised        | <b>Not Applicable</b> | 140000                | 189000                        | 0.00        | None       | None                | Insufficient Budget | Delivery note and invoice/ |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                      |   |  | Community Services Department - Vote 6   |          |   |   |  |                        |                           |                           |                       |                         |                                  |             |            |                     |                     |                                   |
|--|----------------------|---|--|--|----------|---|---|--|------------------------|---------------------------|---------------------------|-----------------------|-------------------------|----------------------------------|-------------|------------|---------------------|---------------------|-----------------------------------|
| Outcome 9:                               |                      |   |  | Responsive, Accountable, Effective and Efficient Local Government System   |          |   |   |  |                        |                           |                           |                       |                         |                                  |             |            |                     |                     |                                   |
| Outputs 1 & 7:                           |                      |   |  | <ul style="list-style-type: none"> <li>Improving access to basic service</li> </ul> Actions supportive of human settlement outcome |          |   |   |  |                        |                           |                           |                       |                         |                                  |             |            |                     |                     |                                   |
| Key Strategic Organisational Objectives: |                      |   |  | <ul style="list-style-type: none"> <li>To provide sustainable basic services and infrastructure development</li> </ul>             |          |   |   |  |                        |                           |                           |                       |                         |                                  |             |            |                     |                     |                                   |
| Project No.                              | Key Performance Area | Strategic Objectives  | Project Name                           | Project Description (major activities)   | Location | Key performance indicators  | Baseline  | 2019/2020 Annual Targets               | Reviewed Annual Target | Quarter 3 Targets         | Revised Quarter 3 Targets | Quarter 3 Progress    | 2019/2020 Annual Budget | Reviewed 2019/2020 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review   | Means of verification             |
|  |                      |   |  |  |          |   |   |  |                        |                           |                           |                       |                         |                                  |             |            |                     |                     |                                   |
| CMSD-08                                  | Basic Services       | To promote and sustain an integrated approach to disaster management continuum in CDM | Disaster management awareness services | Commemoration of International day for disaster risk reduction (IDDR)  | CDM      | Number of International Day for Disaster Risk Reduction (IDDR) awareness event held | 1 IDDR awareness and disaster risk management summit held | 1 IDDR awareness and summit event held | Target not revised     | No target for the quarter | Target not revised        | <b>Not Applicable</b> | 15 000                  | 208 000                          |             | None       | None                | Insufficient Budget | Attendance register/Agenda/Report |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                      |   |   | Community Services Department - Vote 6  |          |   |   |   |                        |   |                            |  |                       |                                |             |            |                     |                     |   |
|--|----------------------|---|---|---|----------|---|---|---|------------------------|---|----------------------------|--|-----------------------|--------------------------------|-------------|------------|---------------------|---------------------|---|
| Outcome 9:                               |                      |   |   | Responsive, Accountable, Effective and Efficient Local Government System  |          |   |   |   |                        |   |                            |  |                       |                                |             |            |                     |                     |   |
| Outputs 1 & 7:                           |                      |   |   | <ul style="list-style-type: none"> <li>Improving access to basic service</li> <li>Actions supportive of human settlement outcome</li> </ul> |          |   |   |   |                        |   |                            |  |                       |                                |             |            |                     |                     |   |
| Key Strategic Organisational Objectives: |                      |   |   | <ul style="list-style-type: none"> <li>To provide sustainable basic services and infrastructure development</li> </ul>                      |          |   |   |   |                        |   |                            |  |                       |                                |             |            |                     |                     |   |
| Project No.                              | Key Performance Area | Strategic Objectives  | Project Name  | Project Description (major activities)  | Location | Key performance indicators  | Baseline  | 2019/20 Annual Targets  | Reviewed Annual Target | Quarter 3 Targets   | Reviewed Quarter 3 Targets | Quarter 3 Progress   | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review   | Means of verification                                       |
| CMSD-09                                  | Basic Services       | To promote and sustain an integrated approach to disaster management continuum in CDM | Disaster Risk Management Support Schools Competition for Learners | Disaster Risk Management Support Schools Competition for Learners   | CDM      | Number of Disaster Risk Management Support Schools Competition for Learners coordinated | 1 Disaster Risk Management Support Schools Competition for Learners coordinated | 1 Disaster Risk Management Support Schools Competition for Learners coordinated | Target not revised     | 1 Disaster Risk Management Support Schools Competition for Learners coordinated | Target not revised         | Achieved 1 Disaster Risk Management Support Schools Competition for Learners coordinated | 100000                | 202000                         | 111500.00   | None       | None                | Insufficient Budget | Disaster Risk Management Support Schools Competition Report |
| CMSD-10                                  | Basic Services       | To promote and sustain an integr  | School support programs   | Disaster Management safety and resilient  | CDM      | Number of schools assisted to imple   | New Indicator   | 8 Schools supported on imple  | Target not revised     | No target for the quarter   | Target not revised         | Not Applicable   | 70000                 | Budget not revised             |             | None       | None                | None                | Attendance Register/Correspondence                          |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                      |  |   | Community Services Department - Vote 6   |          |   |               |  |                        |                           |                            |                       |                         |                                  |             |            |                     |                           |                       |
|--|----------------------|--|---|--|----------|---|---------------|--|------------------------|---------------------------|----------------------------|-----------------------|-------------------------|----------------------------------|-------------|------------|---------------------|---------------------------|-----------------------|
| Outcome 9:                               |                      |  |   | Responsive, Accountable, Effective and Efficient Local Government System   |          |   |               |  |                        |                           |                            |                       |                         |                                  |             |            |                     |                           |                       |
| Outputs 1 & 7:                           |                      |  |   | <ul style="list-style-type: none"> <li>Improving access to basic service</li> </ul> Actions supportive of human settlement outcome |          |   |               |  |                        |                           |                            |                       |                         |                                  |             |            |                     |                           |                       |
| Key Strategic Organisational Objectives: |                      |  |   | <ul style="list-style-type: none"> <li>To provide sustainable basic services and infrastructure development</li> </ul>             |          |   |               |  |                        |                           |                            |                       |                         |                                  |             |            |                     |                           |                       |
| Project No.                              | Key Performance Area | Strategic Objectives   | Project Name                            | Project Description (major activities)   | Location | Key performance indicators                                      | Baseline      | 2019/2020 Annual Targets                             | Reviewed Annual Target | Quarter 3 Targets         | Reviewed Quarter 3 Targets | Quarter 3 Progress    | 2019/2020 Annual Budget | Reviewed 2019/2020 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review         | Means of verification |
|  |                      | ated approach to disaster management continuum in CDM          |   | ce programs implemented at schools   |          | ment disaster risk reduction programs                           |               | mentation of disaster risk reduction programs        |                        |                           |                            |                       |                         |                                  |             |            |                     |                           |                       |
| CMSD-11                                  | Basic Services       | To promote and sustain an integrated approach to disaster mana | Disaster Management operating equipment | Procurement of disaster management of operating equipment  | CDM      | Number Disaster Management operating and monitoring accessories | New indicator | 4 Disaster Management operating accessories procured | Target not revised     | No target for the quarter | Target not revised         | <b>Not Applicable</b> | 70000                   | 0.00                             |             | None       | None                | Budget reallocated to IT. | Invoices              |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                        |  |   | Community Services Department - Vote 6   |          |   |  |  |                        |   |                            |   |                         |                                  |             |            |                     |                   |  |
|--|------------------------|--|---|--|----------|---|--|--|------------------------|---|----------------------------|---|-------------------------|----------------------------------|-------------|------------|---------------------|-------------------|--|
| Outcome 9:                               |                        |  |   | Responsive, Accountable, Effective and Efficient Local Government System   |          |   |  |  |                        |   |                            |   |                         |                                  |             |            |                     |                   |  |
| Outputs 1 & 7:                           |                        |  |   | <ul style="list-style-type: none"> <li>Improving access to basic service</li> </ul> Actions supportive of human settlement outcome |          |   |  |  |                        |   |                            |   |                         |                                  |             |            |                     |                   |  |
| Key Strategic Organisational Objectives: |                        |  |   | <ul style="list-style-type: none"> <li>To provide sustainable basic services and infrastructure development</li> </ul>             |          |   |  |  |                        |   |                            |   |                         |                                  |             |            |                     |                   |  |
| Project No.                              | Key Performance Area   | Strategic Objectives   | Project Name                            | Project Description (major activities)   | Location | Key performance indicators                              | Baseline   | 2019/2020 Annual Targets                         | Reviewed Annual Target | Quarter 3 Targets                               | Reviewed Quarter 3 Targets | Quarter 3 Progress  | 2019/2020 Annual Budget | Reviewed 2019/2020 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review | Means of verification                      |
|  |                        | geme nt continuum in CDM   |   |  |          | procur ed   |  |  |                        |   |                            |   |                         |                                  |             |            |                     |                   |  |
| CMSD-12                                  | Basic service delivery | To ensure provision of effective Municipal Health Services in the District that efficiently adre | Food handling facilities monitoring for | Food handling facilities monitoring  | All LM's | Number of reports on monitored food handling facilities | 12 reports on monitored food handling facilities | 12 reports on monitored food handling facilities | Target not revised     | 3 reports on monitored food handling facilities | Target not revised         | <b>Achieved</b> 3 reports on monitored food handling facilities | OP EX                   | OP EX                            | OPE X       | None       | None                | None              | Food handling facilities monitoring report |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                        |   |                             | Community Services Department - Vote 6   |          |   |   |   |                        |   |                            |   |                         |                                  |             |            |                     |                     |                            |  |
|--|------------------------|---|-----------------------------|--|----------|---|---|---|------------------------|---|----------------------------|---|-------------------------|----------------------------------|-------------|------------|---------------------|---------------------|----------------------------|--|
| Outcome 9:                               |                        |   |                             | Responsive, Accountable, Effective and Efficient Local Government System   |          |   |   |   |                        |   |                            |   |                         |                                  |             |            |                     |                     |                            |  |
| Outputs 1 & 7:                           |                        |   |                             | <ul style="list-style-type: none"> <li>Improving access to basic service</li> </ul> Actions supportive of human settlement outcome |          |   |   |   |                        |   |                            |   |                         |                                  |             |            |                     |                     |                            |  |
| Key Strategic Organisational Objectives: |                        |   |                             | <ul style="list-style-type: none"> <li>To provide sustainable basic services and infrastructure development</li> </ul>             |          |   |   |   |                        |   |                            |   |                         |                                  |             |            |                     |                     |                            |  |
| Project No.                              | Key Performance Area   | Strategic Objectives  | Project Name                | Project Description (major activities)   | Location | Key performance indicators                        | Baseline                                  | 2019/2020 Annual Targets                  | Reviewed Annual Target | Quarter 3 Targets                         | Reviewed Quarter 3 Targets | Quarter 3 Progress  | 2019/2020 Annual Budget | Reviewed 2019/2020 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review   | Means of verification      |  |
|  |                        | Address all the felt needs and aspirations of local communities |                             |  |          |   |   |   |                        |   |                            |   |                         |                                  |             |            |                     |                     |                            |  |
| CMSD-13                                  | Basic service delivery | To ensure provision of effective Municipal Health Services in   | Cleanest school competition | Cleanest school competition  | Molemole | Number of Cleanest school competition coordinated | 1 Cleanest school competition coordinated | 1 Cleanest school competition coordinated | Target not revised     | 1 Cleanest school competition coordinated | Target not revised         | <b>Achieved</b> 1 Cleanest school competition coordinated | 200000                  | 277000                           | 70366.46    | None       | None                | Insufficient Budget | Agenda/Attendance register |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                        |   |                           | Community Services Department - Vote 6  |          |                                     |                                       |                                       |                        |                           |                            |                       |                       |                                |             |            |                     |                     |                               |
|--|------------------------|---|---------------------------|---|----------|-------------------------------------|---------------------------------------|---------------------------------------|------------------------|---------------------------|----------------------------|-----------------------|-----------------------|--------------------------------|-------------|------------|---------------------|---------------------|-------------------------------|
| Outcome 9:                               |                        |   |                           | Responsive, Accountable, Effective and Efficient Local Government System  |          |                                     |                                       |                                       |                        |                           |                            |                       |                       |                                |             |            |                     |                     |                               |
| Outputs 1 & 7:                           |                        |   |                           | <ul style="list-style-type: none"> <li>Improving access to basic service</li> <li>Actions supportive of human settlement outcome</li> </ul> |          |                                     |                                       |                                       |                        |                           |                            |                       |                       |                                |             |            |                     |                     |                               |
| Key Strategic Organisational Objectives: |                        |   |                           | <ul style="list-style-type: none"> <li>To provide sustainable basic services and infrastructure development</li> </ul>                      |          |                                     |                                       |                                       |                        |                           |                            |                       |                       |                                |             |            |                     |                     |                               |
| Project No.                              | Key Performance Area   | Strategic Objectives  | Project Name              | Project Description (major activities)  | Location | Key performance indicators          | Baseline                              | 2019/20 Annual Targets                | Reviewed Annual Target | Quarter 3 Targets         | Reviewed Quarter 3 Targets | Quarter 3 Progress    | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review   | Means of verification         |
|  |                        | the District that efficiently address all the felt needs and aspirations of local communities |                           |   |          |                                     |                                       |                                       |                        |                           |                            |                       |                       |                                |             |            |                     |                     |                               |
| CMSD-14                                  | Basic service delivery | To ensure provision of effective  | Health awareness campaign | Health awareness campaign   | Blouberg | Number of health awareness campaign | 1 health awareness campaign conducted | 1 health awareness campaign conducted | Target not revised     | No target for the quarter | Target not revised         | <b>Not Applicable</b> | 15 000                | 206 000                        |             | None       | None                | Insufficient Budget | Agendas, Attendance registers |



CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                      |  |              | Community Services Department - Vote 6   |          |                            |          |                        |                        |                   |                            |                    |                       |                                |             |            |                     |                   |                       |  |
|--|----------------------|--|--------------|--|----------|----------------------------|----------|------------------------|------------------------|-------------------|----------------------------|--------------------|-----------------------|--------------------------------|-------------|------------|---------------------|-------------------|-----------------------|--|
| Outcome 9:                               |                      |  |              | Responsive, Accountable, Effective and Efficient Local Government System   |          |                            |          |                        |                        |                   |                            |                    |                       |                                |             |            |                     |                   |                       |  |
| Outputs 1 & 7:                           |                      |  |              | <ul style="list-style-type: none"> <li>Improving access to basic service</li> </ul> Actions supportive of human settlement outcome |          |                            |          |                        |                        |                   |                            |                    |                       |                                |             |            |                     |                   |                       |  |
| Key Strategic Organisational Objectives: |                      |  |              | <ul style="list-style-type: none"> <li>To provide sustainable basic services and infrastructure development</li> </ul>             |          |                            |          |                        |                        |                   |                            |                    |                       |                                |             |            |                     |                   |                       |  |
| Project No.                              | Key Performance Area | Strategic Objectives   | Project Name | Project Description (major activities)   | Location | Key performance indicators | Baseline | 2019/20 Annual Targets | Reviewed Annual Target | Quarter 3 Targets | Reviewed Quarter 3 Targets | Quarter 3 Progress | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review | Means of verification |  |
|  |                      | Municipal Health Services in the District that efficiently address all the felt needs and aspirations of local communities |              |  |          | conducted                  |          |                        |                        |                   |                            |                    |                       |                                |             |            |                     |                   |                       |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                        |  |   | Community Services Department - Vote 6   |          |  |                                       |                                      |                        |                                     |                            |  |                       |                                |             |            |                     |                   |                                |
|--|------------------------|--|---|--|----------|--|---------------------------------------|--------------------------------------|------------------------|-------------------------------------|----------------------------|--|-----------------------|--------------------------------|-------------|------------|---------------------|-------------------|--------------------------------|
| Outcome 9:                               |                        |  |   | Responsive, Accountable, Effective and Efficient Local Government System   |          |  |                                       |                                      |                        |                                     |                            |  |                       |                                |             |            |                     |                   |                                |
| Outputs 1 & 7:                           |                        |  |   | <ul style="list-style-type: none"> <li>Improving access to basic service</li> </ul> Actions supportive of human settlement outcome |          |  |                                       |                                      |                        |                                     |                            |  |                       |                                |             |            |                     |                   |                                |
| Key Strategic Organisational Objectives: |                        |  |   | <ul style="list-style-type: none"> <li>To provide sustainable basic services and infrastructure development</li> </ul>             |          |  |                                       |                                      |                        |                                     |                            |  |                       |                                |             |            |                     |                   |                                |
| Project No.                              | Key Performance Area   | Strategic Objectives   | Project Name                              | Project Description (major activities)   | Location | Key performance indicators                   | Baseline                              | 2019/20 Annual Targets               | Reviewed Annual Target | Quarter 3 Targets                   | Reviewed Quarter 3 Targets | Quarter 3 Progress                                   | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review | Means of verification          |
| CMSD-15                                  | Basic service delivery | To ensure provision of effective Municipal Health Services in the District that efficiently address all the felt needs and aspir | Water quality inspected/tested at sources | Monitoring of water sources  | All LM's | Number of reports on water sources inspected | 12 reports on water sources inspected | 4 reports on water sources inspected | Target not revised     | 1 report on water sources inspected | Target not revised         | <b>Achieved</b> 3 reports on water sources inspected | OP EX                 | OP EX                          | OPE X       | None       | None                | None              | Water source inspected reports |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                        |   |   | Community Services Department - Vote 6   |          |   |  |   |                        |                           |                            |                       |                         |                                  |             |            |                     |                   |                         |  |
|--|------------------------|---|---|--|----------|---|--|---|------------------------|---------------------------|----------------------------|-----------------------|-------------------------|----------------------------------|-------------|------------|---------------------|-------------------|-------------------------|--|
| Outcome 9:                               |                        |   |   | Responsive, Accountable, Effective and Efficient Local Government System   |          |   |  |   |                        |                           |                            |                       |                         |                                  |             |            |                     |                   |                         |  |
| Outputs 1 & 7:                           |                        |   |   | <ul style="list-style-type: none"> <li>Improving access to basic service</li> </ul> Actions supportive of human settlement outcome |          |   |  |   |                        |                           |                            |                       |                         |                                  |             |            |                     |                   |                         |  |
| Key Strategic Organisational Objectives: |                        |   |   | <ul style="list-style-type: none"> <li>To provide sustainable basic services and infrastructure development</li> </ul>             |          |   |  |   |                        |                           |                            |                       |                         |                                  |             |            |                     |                   |                         |  |
| Project No.                              | Key Performance Area   | Strategic Objectives  | Project Name                                  | Project Description (major activities)   | Location | Key performance indicators  | Baseline   | 2019/2020 Annual Targets  | Reviewed Annual Target | Quarter 3 Targets         | Reviewed Quarter 3 Targets | Quarter 3 Progress    | 2019/2020 Annual Budget | Reviewed 2019/2020 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review | Means of verification   |  |
|  |                        | ation of local communities  |   |  |          |   |  |   |                        |                           |                            |                       |                         |                                  |             |            |                     |                   |                         |  |
| CMSD-16                                  | Basic service delivery | To ensure provision of effective Municipal Health Services in the District that efficiently | Food and Water quality monitoring accessories | Procurement of Food and Water quality monitoring accessories   | CDM      | Number of set of food and water quality monitoring accessories procured | 18 Chlorine meter, 18 oil test kit, 36 boxes gauze swabs, 18 butane gas cartridge, 36 boxes latex gloves, 36 twine | 1 Set of food and water quality monitoring accessories procured | Target not revised     | No target for the quarter | Target not revised         | <b>Not Applicable</b> | 85000                   | Budget not revised               |             | None       | None                | None              | Delivery note, Invoice/ |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                        |   |   | Community Services Department - Vote 6   |          |  |   |   |                        |                           |                            |                       |                       |                                |             |            |                     |                     |                        |
|--|------------------------|---|---|--|----------|--|---|---|------------------------|---------------------------|----------------------------|-----------------------|-----------------------|--------------------------------|-------------|------------|---------------------|---------------------|------------------------|
| Outcome 9:                               |                        |   |   | Responsive, Accountable, Effective and Efficient Local Government System   |          |  |   |   |                        |                           |                            |                       |                       |                                |             |            |                     |                     |                        |
| Outputs 1 & 7:                           |                        |   |   | <ul style="list-style-type: none"> <li>Improving access to basic service</li> </ul> Actions supportive of human settlement outcome |          |  |   |   |                        |                           |                            |                       |                       |                                |             |            |                     |                     |                        |
| Key Strategic Organisational Objectives: |                        |   |   | <ul style="list-style-type: none"> <li>To provide sustainable basic services and infrastructure development</li> </ul>             |          |  |   |   |                        |                           |                            |                       |                       |                                |             |            |                     |                     |                        |
| Project No.                              | Key Performance Area   | Strategic Objectives  | Project Name                                | Project Description (major activities)   | Location | Key performance indicators                                     | Baseline  | 2019/20 Annual Targets                                  | Reviewed Annual Target | Quarter 3 Targets         | Reviewed Quarter 3 Targets | Quarter 3 Progress    | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review   | Means of verification  |
|  |                        | address all the felt needs and aspirations of local communities |   |  |          |  | cotton strings procured                                 |   |                        |                           |                            |                       |                       |                                |             |            |                     |                     |                        |
| CMSD-17                                  | Basic service delivery | To ensure provision of effective Municipal Health Servi         | Food and water quality monitoring equipment | Procurement of Food and water quality monitoring equipment   | CDM      | Number of food and water quality monitoring equipment procured | 50 food and water quality monitoring equipment procured | 10 food and water quality monitoring equipment procured | Target not revised     | No target for the quarter | Target not revised         | <b>Not Applicable</b> | 100000                | 150000                         |             | None       | None                | Insufficient Budget | Delivery note, Invoice |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                        |  |                        | Community Services Department - Vote 6   |          |                            |                              |                              |                        |                             |                            |                             |                       |                                |             |            |                     |                   |                       |  |
|--|------------------------|--|------------------------|--|----------|----------------------------|------------------------------|------------------------------|------------------------|-----------------------------|----------------------------|-----------------------------|-----------------------|--------------------------------|-------------|------------|---------------------|-------------------|-----------------------|--|
| Outcome 9:                               |                        |  |                        | Responsive, Accountable, Effective and Efficient Local Government System   |          |                            |                              |                              |                        |                             |                            |                             |                       |                                |             |            |                     |                   |                       |  |
| Outputs 1 & 7:                           |                        |  |                        | <ul style="list-style-type: none"> <li>Improving access to basic service</li> </ul> Actions supportive of human settlement outcome |          |                            |                              |                              |                        |                             |                            |                             |                       |                                |             |            |                     |                   |                       |  |
| Key Strategic Organisational Objectives: |                        |  |                        | <ul style="list-style-type: none"> <li>To provide sustainable basic services and infrastructure development</li> </ul>             |          |                            |                              |                              |                        |                             |                            |                             |                       |                                |             |            |                     |                   |                       |  |
| Project No.                              | Key Performance Area   | Strategic Objectives   | Project Name           | Project Description (major activities)   | Location | Key performance indicators | Baseline                     | 2019/20 Annual Targets       | Reviewed Annual Target | Quarter 3 Targets           | Reviewed Quarter 3 Targets | Quarter 3 Progress          | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review | Means of verification |  |
|  |                        | ces in the District that efficiently address all the felt needs and aspirations of local communities |                        |  |          |                            |                              |                              |                        |                             |                            |                             |                       |                                |             |            |                     |                   |                       |  |
| CMSD-18                                  | Basic service delivery | To ensure provision of   | Water quality sampling | Water sampling   | All LMs  | Number of reports water    | 12 reports on food and water | 12 reports on water sampling | Target not revised     | 3 reports on water sampling | Target not revised         | Achieved 3 reports on water | 5000                  | Budget not revised             |             | None       | None                | None              | water sampling report |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| <b>Business Unit</b>                            |                             |  |                     | <b>Community Services Department - Vote 6</b>   |                 |                                   |                 |                               |                               |                          |                                   |                           |                              |                                       |                    |                   |                            |                          |                              |
|---|-----------------------------|--|---------------------|---|-----------------|-----------------------------------|-----------------|-------------------------------|-------------------------------|--------------------------|-----------------------------------|---------------------------|------------------------------|---------------------------------------|--------------------|-------------------|----------------------------|--------------------------|------------------------------|
| <b>Outcome 9:</b>                               |                             |  |                     | <b>Responsive, Accountable, Effective and Efficient Local Government System</b>   |                 |                                   |                 |                               |                               |                          |                                   |                           |                              |                                       |                    |                   |                            |                          |                              |
| <b>Outputs 1 &amp; 7:</b>                       |                             |  |                     | <ul style="list-style-type: none"> <li>Improving access to basic service</li> </ul> <b>Actions supportive of human settlement outcome</b> |                 |                                   |                 |                               |                               |                          |                                   |                           |                              |                                       |                    |                   |                            |                          |                              |
| <b>Key Strategic Organisational Objectives:</b> |                             |  |                     | <ul style="list-style-type: none"> <li>To provide sustainable basic services and infrastructure development</li> </ul>                    |                 |                                   |                 |                               |                               |                          |                                   |                           |                              |                                       |                    |                   |                            |                          |                              |
| <b>Project No.</b>                              | <b>Key Performance Area</b> | <b>Strategic Objectives</b>  | <b>Project Name</b> | <b>Project Description (major activities)</b>   | <b>Location</b> | <b>Key performance indicators</b> | <b>Baseline</b> | <b>2019/20 Annual Targets</b> | <b>Reviewed Annual Target</b> | <b>Quarter 3 Targets</b> | <b>Reviewed Quarter 3 Targets</b> | <b>Quarter 3 Progress</b> | <b>2019/20 Annual Budget</b> | <b>Reviewed 2019/20 Annual Budget</b> | <b>Expenditure</b> | <b>Challenges</b> | <b>Corrective Measures</b> | <b>Reason for Review</b> | <b>Means of verification</b> |
|   |                             | effective Municipal Health Services in the District that efficiently address all the felt needs and aspirations of local communities |                     |   |                 | sampling                          | sampling        |                               |                               |                          |                                   | sampling                  |                              |                                       |                    |                   |                            |                          |                              |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                        |   |  | Community Services Department - Vote 6  |          |  |   |   |                        |  |                            |   |                         |                                  |             |            |                     |                     |  |
|--|------------------------|---|--|---|----------|--|---|---|------------------------|--|----------------------------|---|-------------------------|----------------------------------|-------------|------------|---------------------|---------------------|--|
| Outcome 9:                               |                        |   |  | Responsive, Accountable, Effective and Efficient Local Government System  |          |  |   |   |                        |  |                            |   |                         |                                  |             |            |                     |                     |  |
| Outputs 1 & 7:                           |                        |   |  | <ul style="list-style-type: none"> <li>Improving access to basic service</li> <li>Actions supportive of human settlement outcome</li> </ul> |          |  |   |   |                        |  |                            |   |                         |                                  |             |            |                     |                     |  |
| Key Strategic Organisational Objectives: |                        |   |  | <ul style="list-style-type: none"> <li>To provide sustainable basic services and infrastructure development</li> </ul>                      |          |  |   |   |                        |  |                            |   |                         |                                  |             |            |                     |                     |  |
| Project No.                              | Key Performance Area   | Strategic Objectives  | Project Name                             | Project Description (major activities)  | Location | Key performance indicators   | Baseline                                  | 2019/2020 Annual Targets                                    | Reviewed Annual Target | Quarter 3 Targets  | Reviewed Quarter 3 Targets | Quarter 3 Progress  | 2019/2020 Annual Budget | Reviewed 2019/2020 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review   | Means of verification                    |
| CMSD-19                                  | Basic service delivery | To ensure provision of effective Municipal Health Services in the District that efficiently address all the felt need | Food sampling and of Moore pads planting | Planting of Moore pads for cholera surveillance   | All LMs  | Number of food sampling and analysis reports on Moore pads planted | 12 analysis reports on Moore pads planted | 12 food sampling and analysis reports on Moore pads planted | Target not revised     | 3 food sampling and analysis reports on Moore pads planted | Target not revised         | <b>Achieved</b><br>3 food sampling and analysis reports on Moore pads planted | 15 5000                 | 238 000                          | 107 705     | None       | None                | Insufficient Budget | Food sampling /Moore pads planted report |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                        |   |  | Community Services Department - Vote 6   |          |   |  |  |                        |   |                            |  |                       |                                |             |            |                     |                   |  |
|--|------------------------|---|--|--|----------|---|--|--|------------------------|---|----------------------------|--|-----------------------|--------------------------------|-------------|------------|---------------------|-------------------|--|
| Outcome 9:                               |                        |   |  | Responsive, Accountable, Effective and Efficient Local Government System   |          |   |  |  |                        |   |                            |  |                       |                                |             |            |                     |                   |  |
| Outputs 1 & 7:                           |                        |   |  | <ul style="list-style-type: none"> <li>Improving access to basic service</li> </ul> Actions supportive of human settlement outcome |          |   |  |  |                        |   |                            |  |                       |                                |             |            |                     |                   |  |
| Key Strategic Organisational Objectives: |                        |   |  | <ul style="list-style-type: none"> <li>To provide sustainable basic services and infrastructure development</li> </ul>             |          |   |  |  |                        |   |                            |  |                       |                                |             |            |                     |                   |  |
| Project No.                              | Key Performance Area   | Strategic Objectives  | Project Name                                 | Project Description (major activities)   | Location | Key performance indicators  | Baseline   | 2019/20 Annual Targets                                   | Reviewed Annual Target | Quarter 3 Targets                                       | Reviewed Quarter 3 Targets | Quarter 3 Progress   | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review | Means of verification                    |
|  |                        | s and aspirations of local communities                            |  |  |          |   |  |  |                        |   |                            |  |                       |                                |             |            |                     |                   |  |
| CMSD-20                                  | Basic service delivery | To ensure provision of effective Municipal Health Services in the | Communicable diseases monitoring and control | Follow-up of reported communicable diseases  | All LMs  | Number of reports on reported communicable diseases cases followed up | 12 reports on reported communicable diseases followed up | 12 reports on reported communicable diseases followed up | Target not revised     | 3 reports on reported communicable diseases followed up | Target not revised         | Achieved 3 reports on reported communicable diseases followed up | OP EX                 | OP EX                          | OPE X       | None       | None                | None              | communicable diseases followed up report |



CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                        |   |  | Community Services Department - Vote 6   |          |  |  |  |                        |   |                            |   |                       |                                |             |            |                     |                   |   |  |
|--|------------------------|---|--|--|----------|--|--|--|------------------------|---|----------------------------|---|-----------------------|--------------------------------|-------------|------------|---------------------|-------------------|---|--|
| Outcome 9:                               |                        |   |  | Responsive, Accountable, Effective and Efficient Local Government System   |          |  |  |  |                        |   |                            |   |                       |                                |             |            |                     |                   |   |  |
| Outputs 1 & 7:                           |                        |   |  | <ul style="list-style-type: none"> <li>Improving access to basic service</li> </ul> Actions supportive of human settlement outcome |          |  |  |  |                        |   |                            |   |                       |                                |             |            |                     |                   |   |  |
| Key Strategic Organisational Objectives: |                        |   |  | <ul style="list-style-type: none"> <li>To provide sustainable basic services and infrastructure development</li> </ul>             |          |  |  |  |                        |   |                            |   |                       |                                |             |            |                     |                   |   |  |
| Project No.                              | Key Performance Area   | Strategic Objectives  | Project Name                                     | Project Description (major activities)   | Location | Key performance indicators             | Baseline                                 | 2019/20 Annual Targets                   | Reviewed Annual Target | Quarter 3 Targets                       | Reviewed Quarter 3 Targets | Quarter 3 Progress                      | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review | Means of verification                       |  |
|  |                        | District that efficiently address all the felt needs and aspirations of local communities |  |  |          |  |  |  |                        |   |                            |   |                       |                                |             |            |                     |                   |   |  |
| CMSD-21                                  | Basic service delivery | To ensure provision of effective  | Monitoring compliance with health legislation of | Monitoring of non-food handling premises   | CDM      | Number of reports on non-food handling | 12 reports on non-food handling premises | 12 reports on non-food handling premises | Target not revised     | 3 reports on non-food handling premises | Target not revised         | Achieved 3 reports on non-food handling | OP EX                 | OP EX                          | OPE X       | None       | None                | None              | non-food handling premises monitored report |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                      |  |                            | Community Services Department - Vote 6   |          |                            |               |                        |                        |                   |                            |                     |                       |                                |             |            |                     |                   |                       |  |
|--|----------------------|--|----------------------------|--|----------|----------------------------|---------------|------------------------|------------------------|-------------------|----------------------------|---------------------|-----------------------|--------------------------------|-------------|------------|---------------------|-------------------|-----------------------|--|
| Outcome 9:                               |                      |  |                            | Responsive, Accountable, Effective and Efficient Local Government System   |          |                            |               |                        |                        |                   |                            |                     |                       |                                |             |            |                     |                   |                       |  |
| Outputs 1 & 7:                           |                      |  |                            | <ul style="list-style-type: none"> <li>Improving access to basic service</li> </ul> Actions supportive of human settlement outcome |          |                            |               |                        |                        |                   |                            |                     |                       |                                |             |            |                     |                   |                       |  |
| Key Strategic Organisational Objectives: |                      |  |                            | <ul style="list-style-type: none"> <li>To provide sustainable basic services and infrastructure development</li> </ul>             |          |                            |               |                        |                        |                   |                            |                     |                       |                                |             |            |                     |                   |                       |  |
| Project No.                              | Key Performance Area | Strategic Objectives   | Project Name               | Project Description (major activities)   | Location | Key performance indicators | Baseline      | 2019/20 Annual Targets | Reviewed Annual Target | Quarter 3 Targets | Reviewed Quarter 3 Targets | Quarter 3 Progress  | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review | Means of verification |  |
|  |                      | Municipal Health Services in the District that efficiently address all the felt needs and aspirations of local communities | non-food handling premises |  |          | premises monitored         | ses monitored | es monitored           |                        | ses monitored     |                            | premi ses monitored |                       |                                |             |            |                     |                   |                       |  |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                        | Community Services Department - Vote 6   |   |  |          |   |          |                                       |                        |                           |                            |                       |                       |                                |             |            |                     |                   |  |
|--|------------------------|--|---|--|----------|---|----------|---------------------------------------|------------------------|---------------------------|----------------------------|-----------------------|-----------------------|--------------------------------|-------------|------------|---------------------|-------------------|--|
| Outcome 9:                               |                        | Responsive, Accountable, Effective and Efficient Local Government System   |   |  |          |   |          |                                       |                        |                           |                            |                       |                       |                                |             |            |                     |                   |  |
| Outputs 1 & 7:                           |                        | <ul style="list-style-type: none"> <li>Improving access to basic service</li> </ul> Actions supportive of human settlement outcome |   |  |          |   |          |                                       |                        |                           |                            |                       |                       |                                |             |            |                     |                   |  |
| Key Strategic Organisational Objectives: |                        | <ul style="list-style-type: none"> <li>To provide sustainable basic services and infrastructure development</li> </ul>             |   |  |          |   |          |                                       |                        |                           |                            |                       |                       |                                |             |            |                     |                   |  |
| Project No.                              | Key Performance Area   | Strategic Objectives   | Project Name                            | Project Description (major activities)       | Location | Key performance indicators                    | Baseline | 2019/20 Annual Targets                | Reviewed Annual Target | Quarter 3 Targets         | Reviewed Quarter 3 Targets | Quarter 3 Progress    | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review | Means of verification                      |
| CMSD-22                                  | Basic service delivery | To ensure co-ordination and promotion of sports and recreation, arts and culture in Capricorn District Municipality                | Coordination of Community Safety Forums | Coordination of four community safety forums | CDM      | Number of Community safety forums coordinated | 2        | 2 Community safety forums coordinated | Target not revised     | No target for the quarter | Target not revised         | <b>Not Applicable</b> | 100000                | Budget not revised             | 36365       | None       | None                | None              | Agenda Attendance register/ Correspondence |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                        |   |                            | Community Services Department - Vote 6  |          |                                      |                             |                             |                        |                           |                            |                       |                       |                                |             |            |                     |                     |                            |
|--|------------------------|---|----------------------------|---|----------|--------------------------------------|-----------------------------|-----------------------------|------------------------|---------------------------|----------------------------|-----------------------|-----------------------|--------------------------------|-------------|------------|---------------------|---------------------|----------------------------|
| Outcome 9:                               |                        |   |                            | Responsive, Accountable, Effective and Efficient Local Government System  |          |                                      |                             |                             |                        |                           |                            |                       |                       |                                |             |            |                     |                     |                            |
| Outputs 1 & 7:                           |                        |   |                            | <ul style="list-style-type: none"> <li>Improving access to basic service</li> <li>Actions supportive of human settlement outcome</li> </ul> |          |                                      |                             |                             |                        |                           |                            |                       |                       |                                |             |            |                     |                     |                            |
| Key Strategic Organisational Objectives: |                        |   |                            | <ul style="list-style-type: none"> <li>To provide sustainable basic services and infrastructure development</li> </ul>                      |          |                                      |                             |                             |                        |                           |                            |                       |                       |                                |             |            |                     |                     |                            |
| Project No.                              | Key Performance Area   | Strategic Objectives  | Project Name               | Project Description (major activities)  | Location | Key performance indicators           | Baseline                    | 2019/20 Annual Targets      | Reviewed Annual Target | Quarter 3 Targets         | Reviewed Quarter 3 Targets | Quarter 3 Progress    | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review   | Means of verification      |
| CMSD-23                                  | Basic service delivery | To ensure co-ordination and promotion of sports and recreation, arts and culture in Capricorn District Municipality | Heritage event celebration | Celebration of one heritage event   | LMS      | Number of heritage events celebrated | 1 heritage event celebrated | 1 heritage event celebrated | Target not revised     | No target for the quarter | Target not revised         | <b>Not Applicable</b> | 225000                | 280000                         |             | None       | None                | Insufficient Budget | Agenda Attendance register |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                        |  |                                    | Community Services Department - Vote 6  |          |   |             |   |                        |                           |                            |                      |                       |                                |             |            |                     |  |  |
|--|------------------------|--|------------------------------------|---|----------|---|-------------|---|------------------------|---------------------------|----------------------------|----------------------|-----------------------|--------------------------------|-------------|------------|---------------------|--|--|
| Outcome 9:                               |                        |  |                                    | Responsive, Accountable, Effective and Efficient Local Government System  |          |   |             |   |                        |                           |                            |                      |                       |                                |             |            |                     |  |  |
| Outputs 1 & 7:                           |                        |  |                                    | <ul style="list-style-type: none"> <li>Improving access to basic service</li> <li>Actions supportive of human settlement outcome</li> </ul> |          |   |             |   |                        |                           |                            |                      |                       |                                |             |            |                     |  |  |
| Key Strategic Organisational Objectives: |                        |  |                                    | <ul style="list-style-type: none"> <li>To provide sustainable basic services and infrastructure development</li> </ul>                      |          |   |             |   |                        |                           |                            |                      |                       |                                |             |            |                     |  |  |
| Project No.                              | Key Performance Area   | Strategic Objectives   | Project Name                       | Project Description (major activities)  | Location | Key performance indicators  | Baseline    | 2019/20 Annual Targets  | Reviewed Annual Target | Quarter 3 Targets         | Reviewed Quarter 3 Targets | Quarter 3 Progress   | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review                            | Means of verification  |
| CMSD-24                                  | Basic service delivery | To ensure coordination and promotion of sports and recreation, arts and culture in Capricorn District Municipality | Refurbishment of community assets. | Refurbishment of identified community sport and recreation, arts and culture facilities in local municipalities                             | LMS      | Number of community sport and recreation, arts and culture facilities refurbished | 0           | 1 community sport and recreation, arts and culture facility refurbished | Target not revised     | No target for the quarter | Project discontinued       | Project discontinued | 30000                 | 0.00                           | 0.00        | None       | None                | Project discontinued due to cost containment | community sport and recreation, arts and culture facility refurbished report |
| CMS                                      | Basic service          | To ensure co-  | Sport and Recre                    | Organising sport  | LM       | Number of sport   | 2 sport and | 2 sport and   | Target not             | 1 sport and               | Target not                 | Achieved 1 sport     | 550                   | 495000                         |             | None       | None                | Budget alloc                                 | sport and recreation, arts and   |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                      |  |   | Community Services Department - Vote 6   |          |   |  |  |                        |  |                            |  |                       |                                |             |            |                     |                           |                                |
|--|----------------------|--|---|--|----------|---|--|--|------------------------|--|----------------------------|--|-----------------------|--------------------------------|-------------|------------|---------------------|---------------------------|--------------------------------|
| Outcome 9:                               |                      |  |   | Responsive, Accountable, Effective and Efficient Local Government System   |          |   |  |  |                        |  |                            |  |                       |                                |             |            |                     |                           |                                |
| Outputs 1 & 7:                           |                      |  |   | <ul style="list-style-type: none"> <li>Improving access to basic service</li> </ul> Actions supportive of human settlement outcome |          |   |  |  |                        |  |                            |  |                       |                                |             |            |                     |                           |                                |
| Key Strategic Organisational Objectives: |                      |  |   | <ul style="list-style-type: none"> <li>To provide sustainable basic services and infrastructure development</li> </ul>             |          |   |  |  |                        |  |                            |  |                       |                                |             |            |                     |                           |                                |
| Project No.                              | Key Performance Area | Strategic Objectives   | Project Name                              | Project Description (major activities)   | Location | Key performance indicators  | Baseline   | 2019/20 Annual Targets                                       | Reviewed Annual Target | Quarter 3 Targets  | Reviewed Quarter 3 Targets | Quarter 3 Progress   | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review         | Means of verification          |
| D-25                                     | delivery             | ordination and promotion of sports and recreation, arts and culture in Capricorn District Municipality | Arts And Culture Development programme(s) | and recreation development event in collaboration with relevant stakeholders   |          | and recreation, arts and culture development programmes organised | recreation, arts and culture development programme organised | recreation, arts and culture development programme organised | revised                | recreation, arts and culture development programme organised | revised                    | and recreation, arts and culture development programme organised | 000                   |                                |             |            |                     | ated to critical projects | culture development programmes |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |  |   |                     | Community Services Department - Vote 6   |          |  |  |  |                        |                           |                            |                    |                       |                                |             |            |                     |                   |                                  |
|--|--|---|---------------------|--|----------|--|--|--|------------------------|---------------------------|----------------------------|--------------------|-----------------------|--------------------------------|-------------|------------|---------------------|-------------------|----------------------------------|
| Outcome 9:                               |  |   |                     | Responsive, Accountable, Effective and Efficient Local Government System   |          |  |  |  |                        |                           |                            |                    |                       |                                |             |            |                     |                   |                                  |
| Outputs 1 & 7:                           |  |   |                     | <ul style="list-style-type: none"> <li>Improving access to basic service</li> </ul> Actions supportive of human settlement outcome |          |  |  |  |                        |                           |                            |                    |                       |                                |             |            |                     |                   |                                  |
| Key Strategic Organisational Objectives: |  |   |                     | <ul style="list-style-type: none"> <li>To provide sustainable basic services and infrastructure development</li> </ul>             |          |  |  |  |                        |                           |                            |                    |                       |                                |             |            |                     |                   |                                  |
| Project No.                              | Key Performance Area                         | Strategic Objectives  | Project Name        | Project Description (major activities)   | Location | Key performance indicators                                     | Baseline   | 2019/20 Annual Targets                                 | Reviewed Annual Target | Quarter 3 Targets         | Reviewed Quarter 3 Targets | Quarter 3 Progress | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review | Means of verification            |
| FD-02                                    | Municipal Financial Viability and Management | To prepare and submit credible financial information                    | Financial reporting | Budget Treasury  | CDM      | Number of Unqualified audit opinion                            | 1 Unqualified audit opinion (without material matters) | 1 Unqualified audit opinion (without material matters) | Target not revised     | No target for the quarter | Target not revised         | Not Applicable     | OP EX                 | OP EX                          | OPE X       | None       | None                | None              | Unqualified audit opinion report |
| FD-06                                    | Municipal Financial Viability and Management | To ensure that the resources required to fulfil the needs identified in | Demand management   | Development and Implement the procurement plan   | CDM      | Number of municipal procurement plan developed and implemented | 1 municipal procurement plan developed and implemented | 1 municipal procurement plan developed and implemented | Target not revised     | No target for the quarter | Target not revised         | Not Applicable     | OP EX                 | OP EX                          | OPE X       | None       | None                | None              | municipal procurement plan       |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| <b>Business Unit</b>                            |                             |   |                     | <b>Community Services Department - Vote 6</b>   |                 |                                   |                 |                               |                               |                          |                                   |                           |                              |                                       |                    |                   |                            |                          |                              |
|---|-----------------------------|---|---------------------|---|-----------------|-----------------------------------|-----------------|-------------------------------|-------------------------------|--------------------------|-----------------------------------|---------------------------|------------------------------|---------------------------------------|--------------------|-------------------|----------------------------|--------------------------|------------------------------|
| <b>Outcome 9:</b>                               |                             |   |                     | <b>Responsive, Accountable, Effective and Efficient Local Government System</b>   |                 |                                   |                 |                               |                               |                          |                                   |                           |                              |                                       |                    |                   |                            |                          |                              |
| <b>Outputs 1 &amp; 7:</b>                       |                             |   |                     | <ul style="list-style-type: none"> <li>Improving access to basic service</li> </ul> <b>Actions supportive of human settlement outcome</b> |                 |                                   |                 |                               |                               |                          |                                   |                           |                              |                                       |                    |                   |                            |                          |                              |
| <b>Key Strategic Organisational Objectives:</b> |                             |   |                     | <ul style="list-style-type: none"> <li>To provide sustainable basic services and infrastructure development</li> </ul>                    |                 |                                   |                 |                               |                               |                          |                                   |                           |                              |                                       |                    |                   |                            |                          |                              |
| <b>Project No.</b>                              | <b>Key Performance Area</b> | <b>Strategic Objectives</b>   | <b>Project Name</b> | <b>Project Description (major activities)</b>   | <b>Location</b> | <b>Key performance indicators</b> | <b>Baseline</b> | <b>2019/20 Annual Targets</b> | <b>Reviewed Annual Target</b> | <b>Quarter 3 Targets</b> | <b>Reviewed Quarter 3 Targets</b> | <b>Quarter 3 Progress</b> | <b>2019/20 Annual Budget</b> | <b>Reviewed 2019/20 Annual Budget</b> | <b>Expenditure</b> | <b>Challenges</b> | <b>Corrective Measures</b> | <b>Reason for Review</b> | <b>Means of verification</b> |
|   |                             | the strategic plan of the institution are efficient and effective (at the correct time, price and place and that the quantity and |                     |   |                 |                                   |                 |                               |                               |                          |                                   |                           |                              |                                       |                    |                   |                            |                          |                              |



CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |  |   |                        | Community Services Department - Vote 6   |          |  |  |  |                        |  |                            |   |                       |                                |             |            |                     |                   |   |
|--|--|---|------------------------|--|----------|--|--|--|------------------------|--|----------------------------|---|-----------------------|--------------------------------|-------------|------------|---------------------|-------------------|---|
| Outcome 9:                               |  |   |                        | Responsive, Accountable, Effective and Efficient Local Government System   |          |  |  |  |                        |  |                            |   |                       |                                |             |            |                     |                   |   |
| Outputs 1 & 7:                           |  |   |                        | <ul style="list-style-type: none"> <li>Improving access to basic service</li> </ul> Actions supportive of human settlement outcome |          |  |  |  |                        |  |                            |   |                       |                                |             |            |                     |                   |   |
| Key Strategic Organisational Objectives: |  |   |                        | <ul style="list-style-type: none"> <li>To provide sustainable basic services and infrastructure development</li> </ul>             |          |  |  |  |                        |  |                            |   |                       |                                |             |            |                     |                   |   |
| Project No.                              | Key Performance Area                         | Strategic Objectives  | Project Name           | Project Description (major activities)   | Location | Key performance indicators   | Baseline   | 2019/20 Annual Targets   | Reviewed Annual Target | Quarter 3 Targets  | Reviewed Quarter 3 Targets | Quarter 3 Progress  | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review | Means of verification                               |
|  |  | quality will satisfy those needs)   |                        |  |          |  |  |  |                        |  |                            |   |                       |                                |             |            |                     |                   |   |
| FD-07                                    | Municipal Financial Viability and Management | To ensure that the resources required to fulfil the needs identified in the strategic plan of the institution | Acquisition management | Compliance to the SCM regulations  | CDM      | 100 percent of compliance to the SCM regulations that result in R nil irregular, fruitless and unaut | 100 percent of compliance to the SCM regulations that result in R nil irregular, fruitless and unaut | 100 percent of compliance to the SCM regulations that result in R nil irregular, fruitless and unaut | Target not revised     | 100 percent of compliance to the SCM regulations that result in R nil irregular, fruitless and unaut | Target not revised         | <b>Achieved</b><br>100 percent of compliance to the SCM regulations that result in R nil irregular, fruitless and | OP EX                 | OP EX                          | OPEX        | None       | None                | None              | Zero irregular, fruitless and irregular expenditure |

CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT

| Business Unit                            |                      |   |              | Community Services Department - Vote 6  |          |                            |          |                        |                        |                   |                            |                    |                       |                                |             |            |                     |                   |                       |  |
|--|----------------------|---|--------------|---|----------|----------------------------|----------|------------------------|------------------------|-------------------|----------------------------|--------------------|-----------------------|--------------------------------|-------------|------------|---------------------|-------------------|-----------------------|--|
| Outcome 9:                               |                      |   |              | Responsive, Accountable, Effective and Efficient Local Government System  |          |                            |          |                        |                        |                   |                            |                    |                       |                                |             |            |                     |                   |                       |  |
| Outputs 1 & 7:                           |                      |   |              | <ul style="list-style-type: none"> <li>Improving access to basic service</li> <li>Actions supportive of human settlement outcome</li> </ul> |          |                            |          |                        |                        |                   |                            |                    |                       |                                |             |            |                     |                   |                       |  |
| Key Strategic Organisational Objectives: |                      |   |              | <ul style="list-style-type: none"> <li>To provide sustainable basic services and infrastructure development</li> </ul>                      |          |                            |          |                        |                        |                   |                            |                    |                       |                                |             |            |                     |                   |                       |  |
| Project No.                              | Key Performance Area | Strategic Objectives  | Project Name | Project Description (major activities)  | Location | Key performance indicators | Baseline | 2019/20 Annual Targets | Reviewed Annual Target | Quarter 3 Targets | Reviewed Quarter 3 Targets | Quarter 3 Progress | 2019/20 Annual Budget | Reviewed 2019/20 Annual Budget | Expenditure | Challenges | Corrective Measures | Reason for Review | Means of verification |  |
|  |                      | tion are efficient and effective (at the correct time, price and place and that the quantity and quality will satisfy those |              |   |          | horised                    | horised  |                        |                        | horised           |                            | unaut horised      |                       |                                |             |            |                     |                   |                       |  |

**CAPRICORN DISTRICT MUNICIPALITY 3RD QUARTER REPORT**

| <b>Business Unit</b>                            |                             |                             |                     | <b>Community Services Department - Vote 6</b>   |                 |                                   |                 |                                 |                               |                          |                                   |                           |                                |   |                    |                   |                            |                          |                              |
|---|-----------------------------|-----------------------------|---------------------|---|-----------------|-----------------------------------|-----------------|---------------------------------|-------------------------------|--------------------------|-----------------------------------|---------------------------|--------------------------------|---|--------------------|-------------------|----------------------------|--------------------------|------------------------------|
| <b>Outcome 9:</b>                               |                             |                             |                     | <b>Responsive, Accountable, Effective and Efficient Local Government System</b>   |                 |                                   |                 |                                 |                               |                          |                                   |                           |                                |   |                    |                   |                            |                          |                              |
| <b>Outputs 1 &amp; 7:</b>                       |                             |                             |                     | <ul style="list-style-type: none"> <li>Improving access to basic service</li> </ul> <b>Actions supportive of human settlement outcome</b> |                 |                                   |                 |                                 |                               |                          |                                   |                           |                                |   |                    |                   |                            |                          |                              |
| <b>Key Strategic Organisational Objectives:</b> |                             |                             |                     | <ul style="list-style-type: none"> <li>To provide sustainable basic services and infrastructure development</li> </ul>                    |                 |                                   |                 |                                 |                               |                          |                                   |                           |                                |   |                    |                   |                            |                          |                              |
| <b>Project No.</b>                              | <b>Key Performance Area</b> | <b>Strategic Objectives</b> | <b>Project Name</b> | <b>Project Description (major activities)</b>   | <b>Location</b> | <b>Key performance indicators</b> | <b>Baseline</b> | <b>2019/2020 Annual Targets</b> | <b>Reviewed Annual Target</b> | <b>Quarter 3 Targets</b> | <b>Reviewed Quarter 3 Targets</b> | <b>Quarter 3 Progress</b> | <b>2019/2020 Annual Budget</b> | <b>Reviewed 2019/2020 Annual Budget</b> | <b>Expenditure</b> | <b>Challenges</b> | <b>Corrective Measures</b> | <b>Reason for Review</b> | <b>Means of verification</b> |
|   |                             | needs)                      |                     |   |                 |                                   |                 |                                 |                               |                          |                                   |                           |                                |   |                    |                   |                            |                          |                              |

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**Nokuthula Mazibuko**  
**Municipal Manager**

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**DATE**